Liver Transplant and Combined Liver-Kidney Transplant

Policy Statement

A liver transplant using a cadaver or living donor, may be considered medically necessary for carefully selected patients with end-stage liver failure due to irreversibly damaged livers.

Etiologies of end-stage liver disease include, but are not limited to, any of the following:

- **Hepatocellular diseases**
  - Alcoholic liver disease
  - Alpha-1 Antitrypsin deficiency
  - Autoimmune hepatitis
  - Hemochromatosis
  - Nonalcoholic steatohepatitis
  - Protoporphyria
  - Viral hepatitis (either A, B, C, or non-A, non-B)
  - Wilson disease
- **Cholestatic liver diseases**
  - Biliary atresia
  - Primary biliary cirrhosis
  - Primary sclerosing cholangitis with development of secondary biliary cirrhosis
- **Vascular disease**
  - Budd-Chiari syndrome
- **Primary hepatocellular carcinoma**
- **Inborn errors of metabolism**
- **Trauma and toxic reactions**
- **Miscellaneous**
  - Familial amyloid polyneuropathy

Liver transplantation may be considered medically necessary in patients with polycystic disease of the liver who have massive hepatomegaly causing obstruction or functional impairment.

Liver transplantation may be considered medically necessary in patients with unresectable hilar cholangiocarcinoma.

Liver transplantation may be considered medically necessary in pediatric patients with nonmetastatic hepatoblastoma.

Liver retransplantation may be considered medically necessary in patients with any of the following:

- chronic rejection
- hepatic artery thrombosis
- ischemic type biliary lesions after donation after cardiac death
- primary graft nonfunction
- recurrent non-neoplastic disease causing late graft failure

Combined liver-kidney transplantation may be considered medically necessary in patients who qualify for liver transplantation and have advanced irreversible kidney disease.

Liver transplantation is considered investigational in any of the following situations:

- Patients with intrahepatic cholangiocarcinoma
- Patients with neuroendocrine tumors metastatic to the liver

Liver transplantation is considered not medically necessary in either of the following patients:
Patients with hepatocellular carcinoma that has extended beyond the liver
Patients with ongoing alcohol and/or drug abuse. (Evidence for abstinence may vary among liver transplant programs, but generally a minimum of three months is required.)

Liver transplantation is considered investigational in all other situations not described above.

* See Policy Guidelines section for patient selection criteria.

## Policy Guidelines

### General
Potential contraindications subject to the judgment of the transplant center:
- Known current malignancy, including metastatic cancer
- Recent malignancy with high risk of recurrence
- Untreated systemic infection making immunosuppression unsafe, including chronic infection
- Other irreversible end-stage disease not attributed to liver disease
- History of cancer with a moderate risk of recurrence
- Systemic disease that could be exacerbated by immunosuppression
- Psychosocial conditions or chemical dependency affecting ability to adhere to therapy

### Liver-Specific Patient Selection Criteria
The Model for End-stage Liver Disease (MELD) and Pediatric End-stage Liver Disease (PELD) scores range from 6 (less ill) to 40 (gravely ill). The MELD and PELD scores will change during a patient’s tenure on the waiting list.

### Alcohol or Drug Abuse
Patients with liver disease related to alcohol or drug abuse must be actively involved in a substance abuse treatment program.

Tobacco consumption is a contraindication.

### Polycystic Disease of the Liver
Patients with polycystic disease of the liver do not develop liver failure but may require transplantation due to the anatomic complications of a hugely enlarged liver. The MELD and PELD score may not apply to these cases. One of the following complications should be present:
- Enlargement of liver impinging on respiratory function
- Extremely painful enlargement of liver
- Enlargement of liver significantly compressing and interfering with function of other abdominal organs

### Familial Amyloid Polyneuropathy
Patients with familial amyloid polyneuropathy do not experience liver disease per se, but develop polyneuropathy and cardiac amyloidosis due to the production of a variant transthyretin molecule by the liver. MELD and PELD exception criteria and scores may apply to these cases. Candidacy for liver transplant is an individual consideration based on the morbidity of the polyneuropathy. Many patients may not be candidates for liver transplant alone due to coexisting cardiac disease.

### Hepatocellular Carcinoma
Criteria used for patient selection of hepatocellular carcinoma (HCC) patients eligible for liver transplant include the Milan criteria, which is considered the criterion standard, the University of California, San Francisco (UCSF) expanded criteria, and United Network of Organ Sharing (UNOS) criteria.
Milan Criteria
A single tumor 5 cm or less in diameter or 2 to 3 tumors 3 cm or less.

University of California, San Francisco (UCSF) Expanded Criteria
A single tumor 6.5 cm or less or up to 3 tumors 4.5 cm or less, and a total tumor size of 8 cm or less.

UNOS T2 Criteria
A single tumor 1 cm or greater and up to 5 cm or less in diameter or 2 to 3 tumors 1 cm or greater and up to 3 cm or less and without extrahepatic spread or macrovascular invasion. UNOS criteria, which were updated in 2017, may prioritize T2 (HCC that meet specified staging and imaging criteria by allocating additional points equivalent to a MELD score predicting a 15% probability of death within 3 months) (http://optn.transplant.hrsa.gov/PoliciesandBylaws2/policies/pdfs/policy_8.pdf).

Patients with HCC are appropriate candidates for liver transplant only if the disease remains confined to the liver. Therefore, the patient should be periodically monitored while on the waiting list, and if metastatic disease develops, the patient should be removed from the transplant waiting list. Also, at the time of transplant, a backup candidate should be scheduled. If locally extensive or metastatic cancer is discovered at the time of exploration before hepatectomy, the transplant should be aborted, and the backup candidate scheduled for transplant.

Note that liver transplantation for those with T3 HCC is not prohibited by UNOS guidelines, but these patients do not receive any priority on the waiting list. All patients with HCC awaiting transplantation are reassessed at 3-month intervals. Those whose tumors have progressed and are no longer stage T2 will lose the additional allocation points.

Additionally, nodules identified through imaging of cirrhotic livers are given a class 5 designation. Class 5B and 5T nodules are eligible for automatic priority. Class 5B criteria consist of a single nodule 2 cm or larger and up to 5 cm (T2 stage) that meets specified imaging criteria. Class 5T nodules have undergone subsequent locoregional treatment after being automatically approved on initial application or extension. A single class 5A nodule (greater than 1 cm and less than 2 cm) corresponds to T1 HCC and does not qualify for automatic priority. However, combinations of class 5A nodules are eligible for automatic priority if they meet stage T2 criteria. Class 5X lesions are outside of stage T2 and are not eligible for automatic exception points. Nodules less than 1 cm are considered indeterminate and are not considered for additional priority. Therefore, the UNOS allocation system provides strong incentives to use locoregional therapies to downsize tumors to T2 status and to prevent progression while on the waiting list.

HIV-positive patients who meet the following criteria, as stated in the 2013 guidelines of the American Society of Transplantation, could be considered candidates for liver transplantation:

- CD4 count greater than 100 cells per cubic microliter, less than 200 cells per cubic microliter (without history of opportunistic infection)
- CD4 count greater than 200 cells per cubic microliter during 3 months before transplantation
- Undetectable HIV viral load while receiving antiretroviral HIV therapy
- Detectable HIV viral load due to intolerance of HAART, HIV can be suppressed post treatment
- Documented compliance with a stable antiretroviral regimen
- Absence of opportunistic infection
- Absence of chronic wasting or severe malnutrition
- Donor free of hepatitis C
Cholangiocarcinoma
According to the Organ Procurement and Transplantation Network (OPTN) policy on liver allocation, candidates with cholangiocarcinoma (CCA) meeting the following criteria will be eligible for a MELD or PELD exception with a 10% mortality equivalent increase every 3 months:

- Centers must submit a written protocol for patient care to the OPTN and UNOS Liver and Intestinal Organ Transplantation Committee before requesting a MELD score exception for a candidate with cholangiocarcinoma (CCA). This protocol should include selection criteria, administration of neoadjuvant therapy before transplantation, and operative staging to exclude patients with regional hepatic lymph node metastases, intrahepatic metastases, and/or extrahepatic disease. The protocol should include data collection as deemed necessary by the OPTN /UNOS Liver and Intestinal Organ Transplantation Committee.

- Candidates must satisfy diagnostic criteria for hilar cholangiocarcinoma (CCA):
  - Malignant-appearing stricture on cholangiography and one of the following:
    - carbohydrate antigen 19-9 100 U/mL
    - biopsy or cytology results demonstrating malignancy
    - aneuploidy
  - The tumor should be considered unresectable on the basis of technical considerations or underlying liver disease (e.g., primary sclerosing cholangitis).

- If cross-sectional imaging studies (computed tomography scan, ultrasound, magnetic resonance imaging) demonstrate a mass, the mass should be 3 cm or less.

- Intra- and extrahepatic metastases should be excluded by cross-sectional imaging studies of the chest and abdomen at the time of initial exception and every 3 months before score increases.

- Regional hepatic lymph node involvement and peritoneal metastases should be assessed by operative staging after completion of neoadjuvant therapy and before liver transplantation. Endoscopic ultrasound-guided aspiration of regional hepatic lymph nodes may be advisable to exclude patients with obvious metastases before neoadjuvant therapy is initiated.

- Transperitoneal aspiration or biopsy of the primary tumor (either by endoscopic ultrasound, operative, or percutaneous approaches) should be avoided because of the high risk of tumor seeding associated with these procedures.

Donor Criteria: Living Donor Liver Transplant
Donor morbidity and mortality are prime concerns in donors undergoing right lobe, left lobe, or left lateral segment donor partial hepatectomy as part of living donor liver transplantation. Partial hepatectomy is a technically demanding surgery, the success of which may be related to the availability of an experienced surgical team. In 2000, the American Society of Transplant Surgeons proposed the following guidelines for living donors:

- Should be healthy individuals who are carefully evaluated and approved by a multidisciplinary team including hepatologists and surgeons to assure that they can tolerate the procedure.

- Should undergo evaluation to ensure that they fully understand the procedure and associated risks.

- Should be of legal age and have sufficient intellectual ability to understand the procedures and give informed consent.

- Should be emotionally related to the recipients.

- Must be excluded if the donor is felt or known to be coerced.

- Need to have the ability and willingness to comply with long-term follow-up.

Coding
The following CPT code may be used:

- **47399**: Unlisted procedure, liver

Combined liver-kidney transplant would be reported with the codes in this policy along with the codes on Blue Shield of California Medical Policy: Kidney Transplant.
Liver transplantation is currently performed routinely as a treatment of last resort for patients with end-stage liver disease. Liver transplantation may be performed with a liver donation after brain or cardiac death or with a liver segment donation from a living donor. Patients are prioritized for transplant by mortality risk and severity of illness criteria developed by the Organ Procurement and Transplantation Network and the United Network of Organ Sharing. The severity of illness is determined by the Model for End-stage Liver Disease (MELD) and Pediatric End-stage Liver Disease (PELD) scores.

**Related Policies**

- N/A

**Benefit Application**

Benefit determinations should be based in all cases on the applicable contract language. To the extent there are any conflicts between these guidelines and the contract language, the contract language will control. Please refer to the member's contract benefits in effect at the time of service to determine coverage or non-coverage of these services as it applies to an individual member.

Some state or federal mandates [e.g., Federal Employee Program (FEP)] prohibits plans from denying Food and Drug Administration (FDA)-approved technologies as investigational. In these instances, plans may have to consider the coverage eligibility of FDA-approved technologies on the basis of medical necessity alone.

**Regulatory Status**

The U.S. Food and Drug Administration regulates human cells and tissues intended for implantation, transplantation, or infusion through the Center for Biologics Evaluation and Research, under Code of Federal Regulation title 21, parts 1270 and 1271. Liver transplants are included in these regulations.

**Rationale**

**Background**

**Liver transplantation**

**Recipients**

Liver transplantation is now routinely performed as a treatment of last resort for patients with end-stage liver disease. Liver transplantation may be performed with liver donation after brain or cardiac death or with a liver segment donation from a living donor. Patients are prioritized for transplant by mortality risk and severity of illness criteria developed by Organ Procurement and Transplantation Network and United Network of Organ Sharing. The original liver allocation system was based on assignment to status 1, 2A, 2B, or 3. Status 2A, 2B, and 3 were based on the Child-Turcotte-Pugh score, which included a subjective assessment of symptoms as part of the scoring system. In 2002, status 2A, 2B, and 3 were replaced with 2 disease severity scales: Model for End-stage Liver Disease (MELD) and Pediatric End-stage Liver Disease (PELD) for patients younger than age 12 years. In 2013, the Organ Procurement and Transplantation Network and United Network of Organ Sharing published its most recent allocation system, which previously expanded status 1 to status 1A and 1B in September 2012. Status 1A patients have acute liver failure with a life expectancy of less than 7 days without a liver transplant. Status 1A patients also include primary graft nonfunction, hepatic artery thrombosis, and acute Wilson disease. Status
1A patients must be recertified every 7 days. Status 1B patients are pediatric patients (age range, 0-17 years) with chronic liver disease, which may include the following: fulminant liver failure, primary nonfunction, hepatic artery thrombosis, acute decompensated Wilson disease, chronic liver disease; and nonmetastatic hepatoblastoma. Pediatric patients move to status 1A at age 18 but still qualify for pediatric indications.

Following status 1, donor livers will be prioritized to those with the highest scores on MELD or PELD. With this allocation system, the highest priority for liver transplantation is given to patients receiving the highest number of points. The scoring system for MELD and PELD is a continuous disease severity scale based entirely on objective laboratory values. These scales have been found to be highly predictive of the risk of dying from liver disease for patients waiting on the transplant list. The MELD score incorporates bilirubin, prothrombin time (i.e., international normalized ratio), and creatinine into an equation, producing a number that ranges from 6 to 40. The PELD score incorporates albumin, bilirubin, INR growth failure, and age at listing. Waiting time will only be used to break ties among patients with the same MELD or PELD score and blood type compatibility. In the previous system, waiting time was often a key determinant of liver allocation, and yet, waiting time was found to be a poor predictor of the urgency of liver transplant because some patients were listed early in the course of their disease, while others were listed only when they became sicker. In the revised allocation systems, patients with a higher mortality risk and higher MELD and PELD scores will always be considered before those with lower scores, even if some patients with lower scores have waited longer. Status 7 describes patients who are temporarily inactive on the transplant waiting list due to being temporarily unsuitable for transplantation. Pediatric patients who turn 18 are status X.

Donors
Due to the scarcity of donor livers, a variety of strategies have been developed to expand the donor pool. For example, split graft refers to dividing a donor liver into 2 segments that can be used for 2 recipients. Living donor liver transplantation (LDLT) is now commonly performed for adults and children from a related or unrelated donor. Depending on the graft size needed for the recipient, either the right lobe, left lobe or the left lateral segment can be used for LDLT. In addition to addressing the problem of donor organ scarcity, LDLT allows the procedure to be scheduled electively before the recipient’s condition deteriorates or serious complications develop. LDLT also shortens the preservation time for the donor liver and decreases disease transmission from donor to recipient.

Management
Management of acute rejection of liver transplant using either intravenous immunoglobulin or plasmapheresis is discussed in Blue Shield of California Medical Policy: Plasma Exchange. Also, the role of chemoembolization or radiofrequency ablation as a bridge to transplant in patients with hepatocellular cancer is addressed separately in Blue Shield of California Medical Policy: Transcatheter Arterial Chemoembolization to Treat Primary or Metastatic Liver Malignancies and Radiofrequency Ablation of Primary or Metastatic Liver Tumors, respectively.

Literature Review
Assessment of efficacy for therapeutic intervention involves a determination of whether an intervention improves health outcomes. The optimal study design for this purpose is a randomized controlled trial that includes clinically relevant measures of health outcomes. Intermediate outcome measures, also known as surrogate outcome measures, may also be adequate if there is an established link between the intermediate outcome and true health outcomes. Nonrandomized comparative studies and uncontrolled studies can sometimes provide useful information on health outcomes, but are prone to biases such as noncomparability of treatment groups, placebo effect, and variable natural history of the condition.
Relevant outcomes for studies on liver transplantation include waiting time duration, dropout rates, survival time, and recurrence. As experience with liver transplant has matured, patient selection criteria have broadened to include a wide variety of etiologies.

**Hepatocellular Disease**

**Viral Hepatitis**
The presence of hepatitis B virus (HBV) and hepatitis C virus (HCV) have been controversial indications for liver transplantation because of the high potential for recurrence of the virus and subsequent recurrence of liver disease. However, registry data (1995) have indicated a long-term survival rate (7 years) of 47% in HBV-positive transplant recipients, which is lower than that seen in other primary liver diseases such as primary biliary cirrhosis (71%) or alcoholic liver disease (57%). Recurrence of HCV infection in transplant recipients has been nearly universal, and 10% to 20% of patients will develop cirrhosis within 5 years.

Mukherjee and Sorrell (2008), reviewing controversies in liver transplantation for hepatitis C, indicated that the greatest opportunity for HCV eradication is pretransplant before hepatic decompensation. Challenges of treatment posttransplantation include immunosuppressive drugs and abnormal hematologic, infectious, and liver function parameters. The authors listed the following factors associated with poor outcomes in liver transplantation for recurrent HCV: high HCV-RNA level pretransplant, non-Caucasian ethnicity, advanced donor age, T cell-depleting therapies, and inappropriate treatment of Banff A1 acute cellular rejection with steroid boluses, cytomegalovirus disease, and year of transplantation (outcomes tend to be worse with recent transplants).

**Nonalcoholic Steatohepatitis**
Liver transplantation is a treatment option for patients with nonalcoholic steatohepatitis (NASH) who progress to liver cirrhosis and failure. In a 2014 systematic review and meta-analysis, Wang et al evaluated 9 studies comparing liver transplantation outcomes in patients with and without NASH. Patients with NASH had similar 1-, 3-, and 5-year survival outcomes after liver transplantation as patients without NASH. Patients with NASH also had lower graft failure risk than those without NASH (OR [odds ratio], 0.21; 95% confidence interval [CI], 0.05 to 0.89; p=0.03). However, NASH liver transplant patients had a greater risk of death related to cardiovascular disease (OR=1.65; 95% CI, 1.01 to 2.70; p=0.05) and sepsis (OR=1.71; 95% CI, 1.17 to 2.50; p=0.006) than non-NASH liver transplant patients.

**Section Summary: Hepatocellular Disease**
The evidence on liver transplantation for hepatocellular disease includes case series, registry studies, and systematic reviews. Long-term survival rates in patients with viral hepatitis are significant in a group of patients who have no other treatment options. Also, survival can be improved by eradication of hepatitis virus before transplantation. For patients with NASH, a 2013 systematic review has indicated that overall survival rates are similar to other indications for liver transplantation.

**Hepatocellular Carcinoma**

**Liver Transplantation vs Liver Resection for Hepatocellular Carcinoma**
In 2014, Zheng et al reported on a meta-analysis of 62 cohort studies (total N=10,170 patients) comparing liver transplantation with liver resection for hepatocellular carcinoma (HCC). Overall 1-year survival was similar between procedures (OR=1.08; 95% CI, 0.81 to 1.43; p=0.61). However, overall 3- and 5-year survival significantly favored liver transplantation (OR=1.47; 95% CI, 1.18 to 1.84; p<0.001) over resection (OR=1.77; 95% CI, 1.45 to 2.16; p<0.001). Disease-free survival in liver transplant patients was 13%, 29%, and 39% higher than in liver resection patients at 1, 3, and 5 years, respectively (p<0.001). Recurrence rates were also 30% lower in liver transplantation than resection (OR=0.20; 95% CI, 0.15 to 0.28; p<0.001).
Recipient Selection Criteria
Liver transplantation selection criteria for patients with HCC have focused mainly on the number and size of tumors. In 1996, Mazzafero et al identified patient criteria associated with improved outcomes after liver transplantation for HCC with cirrhosis. These selection criteria became known as the Milan criteria and specify patients may have either a solitary tumor with a maximum diameter of 5 cm or less, or up to 3 tumors 3 cm or less. Patients with extrahepatic spread or macrovascular invasion have a poor prognosis. The United Network of Organ Sharing (UNOS) adopted the Milan criteria, combined with an additional criteria (no evidence of extrahepatic spread or macrovascular invasion), as its liver transplantation criteria. Interest in expanding liver transplant selection criteria for HCC and other indications is ongoing. Important outcomes in assessing expanded criteria include waiting time duration, death, or deselection due to disease progression while waiting (dropout), survival time, and time to recurrence (or related outcomes such as disease-free survival). Survival time can be estimated beginning when the patient is placed on the waiting list, using the intention-to-treat principle, or at the time of transplantation.

Ioannou et al (2008) analyzed UNOS data pre- and postadoption of the Model for End-stage Liver Disease (MELD) allocation system finding a 6-fold increase in recipients with HCC and survival rates in the MELD era similar to survival rates in patients without HCC. The subgroup of patients with larger (3-5 cm) tumors, serum alpha-fetoprotein level of 455 mg/mL or greater, or a MELD score of 20 or greater, however, had poor transplantation survival. A predictive cancer recurrence scoring system was developed by Chan et al based on a 2008 retrospective review and analysis of liver transplants at 2 centers to determine factors. Of 116 patients with findings of HCC in their explanted livers, 12 developed recurrent HCC. Four independent significant explant factors were identified by stepwise logistic regression: size of 1 tumor greater than 4.5 cm, macroinvasion, and bilobar tumor were positive predictors of recurrence, while the presence of only well-differentiated HCC was a negative predictor. Points were assigned to each factor in relation to its odds. The accuracy of the method was confirmed in 2 validation cohorts.

In 2010, Guiteau et al reported on 445 patients who received transplants for HCC in a multicenter, prospective study in UNOS Region 4. On preoperative imaging, 363 patients met Milan criteria, and 82 patients were under expanded Milan criteria; these expanded criteria consisted of 1 lesion less than 6 cm, 3 or fewer lesions, none greater than 5 cm and total diameter less than 9 cm. Patient allograft survival and recurrence-free survival at 3 years did not differ significantly between patients meeting Milan criteria versus patients not meeting the expanded criteria (71% vs 70.2% and 90.5% vs 86.9% respectively). While preliminary results showed similar outcomes when using expanded Milan criteria, the authors noted their results were influenced by waiting times in region 4 and that outcomes might differ in other regions with different waiting times. Additionally, the authors noted that a report from a 2010 national consensus conference on liver allocation for patients with HCC did not recommend expanding Milan criteria nationally and encouraged regional agreement.

Salvage Liver Transplantation
Liver transplantation is the criterion standard treatment for HCC meeting Milan criteria in decompensated livers as is the case in patients with Child-Pugh class B or C (moderate to severe cirrhosis). Liver resection is used for early HCC in livers classified as Child-Pugh class A. In patients who have an HCC recurrence after primary liver resection, salvage liver transplantation has been considered a treatment alternative to repeat hepatic resection, chemotherapy, or other local therapies such as radiofrequency ablation, transarterial chemoembolization, percutaneous ethanol ablation, or cryoablation.

Several systematic reviews have evaluated the evidence on outcomes of salvage transplant compared with primary transplant. In a 2013 meta-analysis of 14 nonrandomized comparative studies by Zhu et al, (n=1272 for primary transplant, n=236 for salvage), overall survival at 1, 3, and 5 years and disease-free survival at 1 and 3 years did not differ significantly between groups. Disease-free survival, however, was significantly lower at 5 years with salvage liver
transplantation than with primary transplantation (OR=0.62; 95% CI, 0.42 to 0.92; p=0.02). There was insufficient data to evaluate outcomes in patients exceeding Milan criteria; but, in patients meeting Milan criteria, survival outcomes did not differ significantly, suggesting salvage liver transplantation might be a viable option in these patients.

In 2014, Chan et al systematically reviewed 16 nonrandomized studies (total N=319 patients) assessing salvage liver transplantation after primary hepatic resection for HCC.14 Reviewers found that overall survival and disease-free survival outcomes with salvage liver transplantation were similar to reported primary liver transplantation outcomes. Median overall survival rates for salvage liver transplantation patients were 89%, 80%, and 62% at 1, 3, and 5 years, respectively. Disease-free survival rates were 86%, 68%, and 67% at 1, 3, and 5 years, respectively. Salvage liver transplantation studies had a median overall survival rate of 62% (range, 41%-89%) compared with a range of 61% to 80% in the literature for primary liver transplantation. The median disease-free survival rate for salvage liver transplantation was 67% (range, 29%-100%) compared with a range of 58% to 89% for primary liver transplantation.

Section Summary: Hepatocellular Carcinoma

Use of standardized patient selection criteria, such as the Milan criteria (a solitary tumor with a maximum tumor diameter of ≤5 cm, or up to 3 tumors ≤ 3 cm and without extrahepatic spread or macrovascular invasion), has led to improved overall survival rates. In a systematic review of liver transplantation for HCC in 2012, Maggs et al found 5-year overall survival rates ranged from 65% to 94.7% in reported studies.15 Liver transplant has also been shown in a 2013 meta-analysis to result in higher survival rates than resection. In patients who present with unresectable organ-confined disease, transplant represents the only curative approach.

Note that expansion of patient selection criteria, bridging to transplant or downstaging of disease to qualify for liver transplantation, is frequently studied. Overall, the evidence base is insufficient to permit conclusions about health outcomes after liver transplantation among patients exceeding Milan criteria and meeting expanded University of California, San Francisco or other criteria.

Cholangiocarcinoma

Reports on outcomes after liver transplantation for cholangiocarcinoma, or bile duct carcinoma distinguish between intrahepatic and extrahepatic tumors, the latter including hilar or perihilar tumors. Recent efforts have focused on pretransplant downstaging of disease with neoadjuvant radiochemotherapy.

Extrahepatic Cholangiocarcinoma (Hilar or Perihilar)

In 2012, Gu et al reported on a systematic review and meta-analysis of 14 clinical trials on liver transplantation for cholangiocarcinoma.16 Most studies reported on patients with extrahepatic or hilar cholangiocarcinoma. Overall 1-, 3-, and 5-year pooled survival rates from 605 study patients were 73% (95% CI, 65% to 80%), 42% (95% CI, 33% to 51%), and 39% (95% CI, 28% to 51%), respectively. When patients received adjuvant therapies preoperatively, 1-, 3-, and 5-year pooled survival rates improved to 83% (95% CI, 57% to 98%), 57% (95% CI, 18% to 92%), and 65% (95% CI, 40% to 87%), respectively.

Heimbach et al (2006) reported on 65 patients underwent liver transplantation for unresectable perihilar cholangiocarcinoma or for perihilar tumor due to primary sclerosing cholangitis between 1993 and 2006 (see Table 1).17,18 Unresectable patients underwent neoadjuvant radiochemotherapy. The 1-year survival rate was 91%, and the 5-year survival rate was 76%.

In 2012, Darwish Murad et al reported on 287 patients from 12 transplant centers treated with neoadjuvant therapy for perihilar cholangiocarcinoma followed by liver transplantation (see Table 1).19 Intention-to-treat survival (after a loss of 71 patients before liver transplantation) was 68% at 2 years and 53% at 5 years, and recurrence-free survival rates post-transplant were 78% at 2 years and 65% at 5 years. Survival time was significantly shorter for patients who had a previous
malignancy or did not meet UNOS criteria because they had a tumor size greater than 3 cm, metastatic disease, or transperitoneal tumor biopsy (p<0.001).

In a 2008 review, Heimbach considered the published outcomes of the combined protocol in the context of data on outcomes for surgical resection. Heimbach concluded that outcomes were comparable between transplantation for patients with HCC and other chronic liver diseases and neoadjuvant chemoradiotherapy with subsequent liver transplantation for patients with early-stage hilar cholangiocarcinoma, which is unresectable, or arose in the setting of primary sclerosing cholangitis. The reviewer further concluded that both methods were superior to resection.

Mixed Populations with Intrahepatic or Extrahepatic Cholangiocarcinoma
Data from the European Liver Transplant Registry was assessed in a 2003 review article (see Table 1). Among 186 patients with intrahepatic cholangiocarcinoma, the 1-year survival rate was 58% and the 5-year survival rate was 29%. In 169 patients with extrahepatic cholangiocarcinoma, the probabilities were 63% and 29%, respectively.

In 2011, Friman et al reported on 53 patients who received liver transplants for cholangiocarcinoma from 1984 to 2005, in Norway, Sweden, and Finland (see Table 1). The 5-year survival rate was 25% overall, 36% in patients with TNM stage 2 or less, and 10% in patients with TNM greater than stage 2. On further analysis using only data from those patients transplanted after 1995, the 5-year survival rate increased to 38% vs 0% for those transplanted before 1995. Additionally, the 5-year survival rate increased to 58% in those patients transplanted after 1995 with TNM stage 2 or less and a CA 19-9 100 or less. The authors suggested transplantation might have acceptable outcomes in select patients.

The Cincinnati Transplant Registry reported in 2000 on 207 patients with intrahepatic or extrahepatic cholangiocarcinoma, finding a 1-year survival of 72% and a 5-year rate of 23% (see Table 1). The 2004 multicenter Spanish report included 36 patients with hilar tumors and 23 with peripheral intrahepatic disease. One-year survival was 82% and 77%, while 5-year survival was 30% and 23% for those with hilar tumors compared with peripheral intrahepatic disease, respectively.

Table 1. Percent Overall Survival Following Liver Transplantation in Patients with Intrahepatic or Extrahepatic (Hilar or Perihilar) Cholangiocarcinoma

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<tr>
<th>Study</th>
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EH: extrahepatic; IH: intrahepatic.

Section Summary: Cholangiocarcinoma
The evidence on liver transplant in patients with cholangiocarcinoma includes registry studies and a systematic review of observational studies.
However, for patients with extrahepatic (hilar or perihilar) cholangiocarcinoma who are treated with adjuvant chemotherapy, survival rates have been reported to be as high as 76%. Society guidelines have also supported liver transplant in select patients with unresectable extrahepatic cholangiocarcinoma.

The 5-year survival rate following liver transplantation in patients with intrahepatic cholangiocarcinoma was less than 30%. Intrahepatic cholangiocarcinoma is also listed as a contraindication for liver transplantation in society guidelines.

**Metastatic Neuroendocrine Tumors**

Two systematic reviews of case series have assessed metastatic neuroendocrine tumors (NETs). NETs are relatively rare neoplasms that are slow-growing but rarely cured when metastatic to the liver. Treatment options to control or downstage the disease include chemotherapy and debulking procedures, including hepatic resection.

In 2015, Fan et al reported on a systematic review of 46 studies on liver transplantation for NET liver metastases of any origin.26 A total of 706 patients were selected in the studies reviewed. Reported overall 5-year survival rates ranged from 0% to 100%, while 5-year disease-free survival rates ranged from 0% to 80%. In studies with more than 100 patients, the 5-year overall survival rate and disease-free survival rate averaged about 50% and 30%, respectively. Frequent and early NET recurrences after liver transplantation were reported in most studies.

In 2011, Mathe et al conducted a systematic review of the literature to evaluate patient survival after liver transplant for pancreatic NETs.27 Data from 89 transplanted patients treated at 20 clinical studies were reviewed. Sixty-nine patients had primary endocrine pancreatic tumors, 9 patients were carcinoids, and 11 patients were not further classified. Survival rates at 1, 3, and 5 years were 71%, 55%, and 44%, respectively. The mean calculated survival was 54.45 months, and the median calculated survival was 41 months (95% CI, 22 to 76 months).

**Section Summary: Metastatic Neuroendocrine Tumors**

The evidence on liver transplant for NETs includes systematic reviews of NETs for metastases of any origin. In select patients with nonresectable, hormonally active liver metastases refractory to medical therapy, liver transplantation has been considered as an option to extend survival and minimize endocrine symptoms. While there may be centers that perform liver transplantation on select patients with NETs, the available studies were limited by their heterogeneous populations. Further studies are needed to define appropriate selection criteria.

**Pediatric Hepatoblastoma**

Pediatric hepatoblastoma is a rare condition, and the available evidence consists of small case series. For example, in 2011 Barrena et al reported on 15 children with hepatoblastoma requiring liver transplantation.28 The overall survival rate after liver transplant was 93.3% at 1, 5, and 10 years. In 2010, Malek et al reported on liver transplantation results for 27 patients with primary liver tumor identified from a retrospective review of patients treated between 1990 and 2007.29 Tumor recurrence occurred in 1 patient after liver transplantation, and overall survival rate was 93%. In 2008, Browne et al reported on 14 hepatoblastoma patients treated with liver transplantation. The mean follow-up was 46 months, with overall survival in 10 (71%) of 14 patients.30 Tumor recurrence caused all 4 deaths. In the 10 patients receiving primary liver transplantation, 9 survived while only 1 of 4 patients transplanted after primary resection survived (90% vs 25%, p=0.02).

**Section Summary: Pediatric Hepatoblastoma**

Hepatoblastoma is a rare malignant primary solid tumor of the liver that occurs in children. Treatment consists of chemotherapy and resection; however, tumors are often not discovered until they are unresectable. In cases of unresectable tumors, liver transplantation with pre- and/or postchemotherapy is a treatment option with reports of good outcomes and high rates.
of survival.31 UNOS guidelines list nonmetastatic hepatoblastoma as a condition eligible for pediatric liver transplantation.32

Retransplantation
In 2012, Bellido et al reported on a retrospective cohort of 68 consecutive adults with liver retransplantations using registry data.33 Survival estimates using Kaplan-Meier curves with log-rank tests to compare 21 urgent with 47 elective retransplantations were calculated. Overall survival rates were significantly better in patients undergoing urgent procedures (87%), which were mostly due to vascular complications, than elective procedures (76.5%), which were mostly related to chronic rejection. In 2011, Remiszewski et al examined factors influencing survival outcomes in 43 liver retransplantation patients.34 When compared with primary liver transplantation patients, retransplantation patients had significantly lower 6-year survival rates (80% vs 58%, respectively; p<0.001). The authors also reported low negative correlations between survival time and time from original transplantation until retransplantation and between survival time and patient age. Survival time and cold ischemia time showed a low positive correlation.

Hong et al (2011) reported on a prospective study of 466 adults to identify risk factors for survival after liver retransplantation.35 Eight risk factors were identified as predictive of graft failure, including recipient age, MELD score greater than 27, more than 1 prior liver transplant, need for mechanical ventilation, serum albumin of less than 2.5 g/dL, donor age older than 45 years, need for more than 30 units of packed red blood cells transfused intraoperatively, and time between prior transplantation and retransplantation of 15 to 180 days. The authors proposed this risk-stratification model could be highly predictive of long-term outcomes after adult liver retransplantation and useful in patient selection.

Section Summary: Retransplantation
Observational studies have evaluated risk factors for survival after liver retransplantation. Overall survival has been reported as lower after retransplantation than after initial liver transplantation, but results in acceptable survival rates in appropriately selected patients.

Combined Liver-Kidney Transplantation
Adults
In 2012, Fong et al evaluated data from the Organ Procurement Transplant Network and UNOS database to compare outcomes of combined liver-kidney transplantation (CLKT) with liver transplantation alone for adults with cirrhosis and renal failure.36 The analysis evaluated cirrhotic patients with serum creatinine levels of 2.5 mg/dL or higher or who had received dialysis at least twice during the week before liver transplantation. Between 2002 and 2008, 2774 patients had both liver and renal failure and received a liver transplant alone and 1501 patients underwent CLKT. Patients who received CLKT were more likely to be over 60 years of age, have minimal liver disease, and have been on dialysis. Patients in the combined transplant group were also not as sick, with fewer patients having a MELD score over 35 at listing, fewer being hospitalized before transplant, and fewer on life support. Liver and patient survival were higher in patients who received CLKT compared with liver transplant alone. At 5 years posttransplant, 67.4% of patients had survived in the CLKT arm compared with 62.9% in the liver alone arm (p<0.001). The liver allograft survival rate after 5 years was 65.3% in the CLKT arm and 58.9% in the liver transplantation alone (p<0.001). After adjusting for confounding factors, liver transplant alone remained a significant risk factor for liver allograft loss (hazard ratio [HR], 1.24; p=0.002) and mortality compared with CLKT transplantation (HR=1.16; p=0.043).

In a 2017 retrospective study, Lunsford et al evaluated factors for renal failure in patients who underwent CLKT.37 Of 145 patients who had CLKT, 30 (20.7%) had renal failure. Survival at 1 and 3 years in the CLKT group with renal failure (18.2% and 13.5%) was significantly worse than in CLKT patients without renal failure (92.6% and 83.7%, p<0.001). Multivariate predictors of renal failure were pretransplant dialysis duration (OR=2.43 per log SD, p=0.008), kidney cold ischemia of more than 883 minutes (OR=3.43, p=0.011), kidney donor risk index (OR=1.96 per log SD, p=0.012), and recipient hyperlipidemia (OR=3.50, p=0.028).
In a 2010 series of 74 CLKT procedures performed at a single institution over a 23-year period, survival was 62% at 5 years. However, in patients who had a second CLKT or liver retransplantation, survival was 30% at 3 months. This finding led to a recommendation not to perform CLKT in patients requiring liver retransplantation. There was no significant difference in survival between patients who were on hemodialysis pretransplantation and those who were not. However, survival in patients who required hemodialysis after transplantation was significantly worse (≈30% at 5 years) than for patients who did not (≈70%, p=0.001 over follow-up), and kidney graft survival was only 56% at 5 years.

**Children**

In 2014, Calinescu et al evaluated CLKT outcomes in children using data from the Scientific Registry of Transplant Recipients from the Organ Procurement and Transplantation Network. There were 152 primary CLKTs performed between 1987 and 2011. Liver graft survival was 72.6% at 10 years, and kidney graft survival was 66.9%. Patient survival at 10 years after CLKT was 78.9%. In comparison, patient survival following isolated liver transplantation during the same period was 77.4% (n=10,084) and, for isolated kidney transplant, 90% at 10 years (n=14,800). Thus, CLKT resulted in survival outcomes that were no worse than liver transplant alone, but were inferior to kidney transplant alone. Indications for CLKT were noted as primary hyperoxaluria and other liver-based metabolic abnormalities affecting the kidney, along with structural diseases affecting both the liver and kidney such as congenital hepatic fibrosis and polycystic kidney disease. A table of the indications for CLKT in children treated between 1987 and 2011 is included in the Calinescu publication.

Some reports have suggested that liver transplantation may have a protective effect on kidney allografts. To test this hypothesis, de la Cerda et al (2010) evaluated kidney survival in children who had kidney-only transplant or CLKT. Examination of the Organ Procurement and Transplantation Network/UNOS database between 1995 and 2005 identified 111 CLKTs and 3798 kidney only transplants in children. The patients in the CLKT group were younger on average than those in the kidney-only group (9 years vs 12 years, p=0.007) and more had inherited disease as the primary cause (42% vs 28%), respectively. More patients in the CLKT group lost their kidney graft within 6 months (20.1% vs 5.9%, p=0.001); however, late kidney graft survival was significantly better at 5 years posttransplant compared with the kidney-only group (p<0.01). The authors described 2 situations when CLKT would be indicated in children: end-stage liver disease when the kidneys go into prolonged irreversible failure, and severe renal failure from an underlying disease that can be improved with liver transplant.

**Section Summary: Combined Liver-Kidney Transplantation**

The evidence on CLKT includes registry studies that have compared combined organ transplantation with liver or with kidney transplantation alone. In adults undergoing liver transplant with kidney failure, CLKT results in a modest improvement in patient survival compared with liver transplantation alone. Liver allograft survival was also higher in the patients who received CLKT compared with patients who received a liver transplant alone. Relatively few children have received CLKT. Patient survival has been reported to be worse with CLKT than with kidney transplantation alone, but no worse than for liver transplant alone. For kidney grafts that survive the first 6 months, the organ survival rate may be better than for a kidney graft alone. Together, these results would suggest that CLKT is no worse, and possibly better, for graft and patient survival in adults and children who meet the requirements for liver transplantation and have concomitant renal failure. Indications for CLKT in children are rare and often congenital, and include liver-based metabolic abnormalities affecting the kidney, along with structural diseases affecting both the liver and kidney.

**Potential Contraindications (Applies to all PREVIOUS Indications)**

**Living Donor vs Deceased Donor Liver Transplant Recipient Outcomes**

Due to the scarcity of donor organs and the success of living donation, living donor liver transplantation has become accepted practice. The living donor undergoes hepatectomy of
the right lobe, the left lobe, or the left lateral segment, which is then transplanted into the recipient. Because hepatectomy involves resection of up to 70% of the total volume of the donor liver, the safety of the donor has been a major concern. For example, the surgical literature suggests that right hepatectomy of diseased or injured livers is associated with mortality rates of about 5%. However, initial reports have suggested that right hepatectomy in healthy donors has a lower morbidity and mortality. The Medical College of Virginia reported in 2000 on the results of their first 40 adult-to-adult living donor liver transplantations, performed between 1998 and 1999.41 There were an equal number of related and unrelated donors. Minor complications occurred in 7 donors. The outcomes among recipients were similar to those associated with cadaveric donor livers performed during the same period. However, in the initial series of 20 patients, 4 of the 5 deaths occurred in recipients who were classified as 2A (see Description section). In the subsequent 20 patients, recipients classified as 2A were not considered candidates for living donor transplant. Reports of several donor deaths reemphasize the importance of careful patient selection based in part on a comprehensive consent process and an experienced surgical team.42-44

In December 2000, the National Institutes of Health convened a workshop focusing on living donor liver transplantation. A summary of this workshop was published in 2002.45 According to this document, the risk of mortality to the donor undergoing right hepatectomy was estimated to be approximately 0.2% to 0.5%. The median complication rate reported by responding transplant centers was 21%. Due to the potential morbidity and mortality experienced by the donor, the workshop also noted that donor consent for hepatectomy must be voluntary and free of coercion; therefore, it was preferable that the donor have a significant long-term and established relationship with the recipient.

Criteria for a recipient of a living-related liver were also controversial, with some groups advocating that living-related donor livers be only used in those most critically ill, while others stated that the risk to the donor is unacceptable in critically ill recipients due to the increased risk of postoperative mortality of the recipient. According to this line of thought, living-related livers are best used in stable recipients who have a higher likelihood of achieving long-term survival.45

In 2013, Grant et al reported on a systematic review and meta-analysis of 16 studies to compare recipient outcomes between living donor liver transplants and deceased donor liver transplants for HCC.46 For disease-free survival after living donor liver transplantation, the combined HR was 1.59 (95% confidence interval [CI], 1.02 to 2.49) compared with deceased donor liver transplantation. For overall survival, the combined HR was 0.97 (95% CI, 0.73 to 1.27). The studies included in the review were mostly retrospective and considered to be of low quality.

HIV-Positive Patients

Transplantation for patient with HIV infection has long been controversial, due to the long-term prognosis for HIV positivity, the impact of immunosuppression on HIV disease, and the interactions of immunosuppressive therapy with antiretroviral therapy in the setting of a transplanted liver. For example, most antiretroviral agents are metabolized through the liver and can cause varying degrees of hepatotoxicity. HIV candidates for liver transplantation are frequently coinfected with hepatitis B or C, and viral coinfection can further exacerbate drug-related hepatotoxicities. Nevertheless, HIV positivity is not an absolute contraindication to liver transplant due to the advent of highly active antiretroviral therapy, which has markedly changed the natural history of the disease and the increasing experience with liver transplant in HIV-positive patients. Furthermore, UNOS has suggested that asymptomatic HIV-positive patients should not necessarily be excluded for candidacy for organ transplantation, stating “A potential candidate for organ transplantation whose test for HIV is positive but who is in an asymptomatic state should not necessarily be excluded from candidacy for organ transplantation, but should be advised that he or she may be at increased risk of morbidity and mortality because of immunosuppressive therapy.” In 2001, the American Society of Transplantation proposed that the presence of AIDS could be considered a contraindication to kidney transplant unless the following criteria were present.47 These criteria may be extrapolated to other organs:
• CD4 count greater than 200 cells/mm³ for more than 6 months
• HIV-1 RNA undetectable
• On stable antiretroviral therapy more than 3 months
• No other complications from AIDS (e.g., opportunistic infection, including aspergillus, tuberculosis, coccidioses mycosis, resistant fungal infections, Kaposi sarcoma, or other neoplasm)
• Meeting all other criteria for transplantation.

It is likely that each transplant center will have explicit patient selection criteria for HIV-positive patients.

In 2011, Cooper et al conducted a systematic review to evaluate liver transplantation in patients coinfected with HIV and hepatitis.48 Reviewers included 15 cohort studies and 49 case series with individual patient data. The survival rate of patients was 84.4% (95% CI, 81.1% to 87.8%) at 12 months. Patients were 2.89 (95% CI, 1.41 to 5.91) times more likely to survive when HIV viral load at the time of transplantation was undetectable compared with those with detectable HIV viremia.

Terrault et al (2012) reported on a prospective, multicenter study to compare liver transplantation outcomes in 3 groups: patients with both hepatitis C virus (HCV) and HIV (n=89), patients with only HCV (n=235), and all transplant patients age 65 or older.49 Patient and graft survival reductions were significantly associated with only 1 factor: HIV infection. At 3 years, in the HCV-only group, patient and graft survival rates were significantly better at 79% (95% CI, 72% to 84%) and 74% (95% CI, 66% to 79%), respectively, than the group with HIV and HCV coinfection at 60% (95% CI, 47% to 71%) and 53% (95% CI, 40% to 64%). While HIV infection reduced 3-year survival rates after liver transplantation in patients coinfected with HCV, a majority of patients still experienced long-term survival.

Terrault et al (2012) also reported on the group of patients with HCV.49 HCV status was not significantly associated with reduced patient and graft survival. In the HCV-only group, patient and graft survival rates were significantly better at 79% (95% CI, 72% to 84%) and 74% (95% CI, 66% to 79%), respectively, than the group with HIV and HCV at 60% (95% CI, 47% to 71%) and 53% (95% CI, 40% to 64%).

**Section Summary: Potential Contraindications**

Living donor liver transplantation has become accepted practice with careful donor screening. Case series and case-control data has indicated that HIV infection is not an absolute contraindication to liver transplant; for patients who meet selection criteria, these studies have demonstrated patient and graft survival rates are similar to those in the general population of liver transplant recipients. HCV status is not significantly associated with reduced patient survival. Although HIV infection reduced 3-year survival rates after liver transplantation in patients coinfected with HCV, most patients still experienced long-term survival.

**Summary of Evidence**

For individuals who have hepatocellular disease who receive liver transplant, the evidence includes case series, registry studies, and systematic reviews. Relevant outcomes include overall survival (OS), morbid events, and treatment-related morbidity and mortality. Studies on liver transplantation for viral hepatitis have found that survival is lower than for other liver diseases. Although these statistics raise questions about the most appropriate use of a scarce resource (donor livers), the long-term survival rates are significant in a group of patients who have no other treatment options. Also, survival can be improved by eradication of hepatitis virus before transplantation. For patients with nonalcoholic steatohepatitis, OS rates have been shown to be similar to other indications for liver transplantation. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.
For individuals who have primary hepatocellular carcinoma who receive liver transplant, the evidence includes systematic reviews of observational studies. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. In the past, long-term outcomes in patients with primary hepatocellular malignancies had been poor (19%) compared with the OS of liver transplant recipients. However, recent use of standardized patient selection criteria (e.g., the Milan criteria diameter) has dramatically improved OS rates. In appropriately selected patients, liver transplant has been shown to result in higher survival rates than resection. In patients who present with unresectable organ-confined disease, transplant represents the only curative approach. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have extrahepatic cholangiocarcinoma who receive liver transplant, the evidence includes a systematic review of observational studies. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. For patients with extrahepatic (hilal or perihilar) cholangiocarcinoma who are treated with adjuvant chemotherapy, survival rates have been reported as high as 76%. Society guidelines also recommend liver transplant in select patients with unresectable extrahepatic cholangiocarcinoma. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have intrahepatic cholangiocarcinoma who receive liver transplant, the evidence includes registry studies. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. Five-year survival rates after liver transplantation in patients with cholangiocarcinoma are less than 30%. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have metastatic neuroendocrine tumors who receive liver transplant, the evidence includes systematic reviews of case series. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. In select patients with nonresectable, hormonally active liver metastases refractory to medical therapy, liver transplantation has been considered as an option to extend survival and minimize endocrine symptoms. While there may be centers that perform liver transplants on select patients with neuroendocrine tumors, the available studies are limited by their heterogeneous populations. Further studies are needed to determine appropriate selection criteria. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have pediatric hepatoblastoma who receive liver transplant, the evidence includes case series. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. The literature on liver transplantation for pediatric hepatoblastoma is limited, but case series have demonstrated good outcomes and high rates of long-term survival. Additionally, nonmetastatic pediatric hepatoblastoma is included in UNOS criteria for patients eligible for liver transplantation. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have a failed liver transplant who receive liver retransplant, the evidence includes observational studies. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. Case series have demonstrated favorable outcomes with liver retransplantation in certain populations, such as when criteria for an original liver transplantation are met for retransplantation. While some evidence has suggested outcomes after retransplantation may be less favorable than for initial transplantation in some patients, long-term survival benefits have been demonstrated. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals with indications for liver and kidney transplant who receive combined liver-kidney transplant, the evidence includes registry studies. Relevant outcomes include OS, morbid events, and treatment-related morbidity and mortality. Most of the evidence involves adults with...
cirrhosis and kidney failure. Indications for combined liver-kidney transplant in children are rare and often congenital, and include liver-based metabolic abnormalities affecting the kidney, along with structural diseases affecting both the liver and kidney. In both adults and children, comparisons with either liver or kidney transplantation alone would suggest that combined liver-kidney transplant is no worse, and possibly better, for graft and patient survival. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

**Supplemental Information**

**Clinical Input from Physician Specialty Societies and Academic Medical Centers**

While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

In response to requests from Blue Cross Blue Shield Association, input was received from 3 physician specialty societies and 5 academic medical centers. There was consensus of agreement by the reviewers that liver transplantation may be medically necessary for end-stage liver failure due to irreversibly damaged livers from various disease states such as those listed in the above policy statement. There was also consensus of agreement by the reviewers that liver retransplantation is appropriate in patients with acute or chronic liver failure such as primary graft nonfunction, ischemic type biliary injury after donation after cardiac death, hepatic artery thrombosis, chronic rejection or recurrent diseases such as primary sclerosing cholangitis, autoimmune hepatitis, and hepatitis C resulting in end-stage liver failure. There was general support for the use of liver transplantation for the treatment of cholangiocarcinoma for patients who meet strict eligibility criteria. In general, there was no support for the use of liver transplantation for a neuroendocrine tumor metastatic to the liver.

**Practice Guidelines and Position Statements**

**International Consensus Conference**

In 2010, 10 international liver diseases or transplantation societies held an international consensus conference on liver transplantation for hepatocellular carcinoma (HCC). Consensus criteria for selecting candidates for liver transplantation were developed at the conference. The Milan criteria were recommended for use as the benchmark for patient selection, although it is noted the Milan criteria may be modestly expanded based on data from expansion studies that demonstrated outcomes are comparable with outcomes from studies using the Milan criteria. Candidates for liver transplantation should also have a predicted survival of 5 years or more. The consensus criteria indicate alpha-fetoprotein concentrations may be used with imaging to assist in determining patient prognosis.

Regarding liver retransplantation, the consensus criteria issued a weak recommendation indicating retransplantation after graft failure of a living donor transplant for HCC is acceptable in patients meeting regional criteria for a deceased donor liver transplant. A strong recommendation was issued against liver retransplantation with a deceased donor for graft failure for patients exceeding regional criteria. Also, the consensus criteria issued a strong recommendation that liver retransplantation for recurrent HCC is not appropriate. However, a de novo HCC may be treated as a new tumor, and retransplantation may be considered even though data to support this are limited.

**American Association for the Study of Liver Diseases and American Society of Transplantation**

In 2013, the American Association for the Study of Liver Diseases and the American Society of Transplantation issued guidelines on evaluating patients for liver transplant. These guidelines indicated liver transplantation for severe acute or advanced chronic liver disease after all effective medical treatments have been attempted. The formal evaluation should confirm the irreversible nature of the liver disease and lack of effective alternative medical therapy.
The guidelines also stated that liver transplant is indicated for the following conditions:

- Acute liver failure complications of cirrhosis
- Liver-based metabolic condition with systemic manifestations
  - Alpha-1 Antitrypsin deficiency
  - Familial amyloidosis
  - Glycogen storage disease
  - Hemochromatosis
  - Primary oxaluria
  - Wilson disease
- Systemic complications of chronic liver disease.

Also, the guidelines included 1-A recommendations (strong recommendation with a high quality of evidence) for liver transplant that:

- “Tobacco consumption should be prohibited in LT [liver transplant] candidates.”
- “Patients with HIV infection are candidates for LT if immune function is adequate and the virus is expected to be undetectable by the time of LT.”
- “LT candidates with HCV [hepatitis C virus] have the same indications for LT as for other etiologies of cirrhosis.”

Contraindications to liver transplant included:

- “MELD [Model for End-stage Liver Disease] score < 15
- Severe cardiac or pulmonary disease
- AIDS
- Ongoing alcohol or illicit substance abuse
- Hepatocellular carcinoma with metastatic spread
- Uncontrolled sepsis
- Anatomic abnormality that precludes liver transplantation
- Intrahepatic cholangiocarcinoma
- Extrahepatic malignancy
- Fulminant hepatic failure
- Hemangiosarcoma
- Persistent noncompliance
- Lack of adequate social support system.”

American Association for the Study of Liver Diseases et al
The American Association for the Study of Liver Diseases, the American Society of Transplantation, and the North American Society for Pediatric Gastroenterology, Hepatology, and Nutrition provided joint guidelines on the evaluation of the pediatric patients for liver transplant in 2014.52 The guidelines stated that “disease categories suitable for referral to a pediatric LT program are similar to adults: acute liver failure, autoimmune, cholestasis, metabolic or genetic, oncologic, vascular, and infectious. However, specific etiologies and outcomes differ widely from adult patients, justifying independent pediatric guidelines.” The indications listed for liver transplantation included biliary atresia, Alagille syndrome, pediatric acute liver failure, hepatic tumors, hepatocellular carcinoma, hemangioendothelioma, cystic fibrosis-associated liver disease, urea cycle disorders, immune-mediated liver disease, along with other metabolic or genetic disorders.

European Neuroendocrine Society
The European Neuroendocrine Society issued consensus guidelines in 2008, updated in 2012, on the management of patients with liver metastases from neuroendocrine tumors (NETs).53 The Society guidelines indicated, in a “minimal consensus” statement, that liver transplantation may be considered for diffuse unresectable NET metastases or when hormonal disturbances refractory to medical therapy are life-threatening.
National Comprehensive Cancer Network
The National Comprehensive Cancer Network (NCCN) guidelines on hepatobiliary cancers (v.2.2017) recommend referral to a liver transplant center or bridge therapy for patients with HCC meeting United Network of Organ Sharing criteria of a single tumor 5 cm or less, or 2 to 3 tumors 3 cm or less with no macrovascular involvement or extrahepatic disease.12 Patients should be referred to the transplant center before biopsy. In patients meeting United Network of Organ Sharing criteria who are ineligible for transplant and in select patients with Child-Pugh class A or B liver function with tumors that are resectable, NCCN indicates resection is the preferred treatment option; locoregional therapy may also be considered. Patients with unresectable HCC should be evaluated for liver transplantation; if the patient is a transplant candidate, then referral to a transplant center should be given or bridge therapy should be considered. NCCN guidelines on hepatobiliary cancers also indicate liver transplant is appropriate in select patients with extrahepatic cholangiocarcinoma, which is unresectable, but biliary and hepatic function is otherwise normal or when underlying chronic liver disease precludes surgery. These are level 2A recommendations based on lower-level evidence and uniform consensus.

The NCCN guidelines on NETs (v.3.2017) indicate that liver transplantation for NET liver metastases is considered investigational.54

Organ Procurement and Transplantation Network
The Organ Procurement and Transplantation Network’s new prioritization guidelines for simultaneous liver-kidney allocation became effective August 10, 2017.55 The listed medical eligibility requirements related to kidney function are required for an adult liver-kidney candidate to receive a liver and kidney transplant from the same deceased donor.56

Council of the British Transplant Society et al
Liver transplantation guidelines for nonalcoholic steatohepatitis were developed by the Council of the British Transplant Society and approved by the British Society of Gastroenterology, the British Association for the Study of Liver, and the NHS Blood and Transplant in 2012.57 These guidelines indicated liver transplantation may be considered for the treatment of nonalcoholic steatohepatitis cirrhosis with end-stage liver disease or HCC. These guidelines were based primarily on consensus of expert opinion.

U.S. Preventive Services Task Force Recommendations
Not applicable.

Medicare National Coverage
Medicare covers adult liver transplantation for end-stage liver disease and HCC when performed in a facility approved by the Centers for Medicare & Medicaid Services as meeting institutional coverage criteria for liver transplants.38,59 The following conditions must be met for coverage of HCC:

- The patient is not a candidate for subtotal liver resection;
- The patient’s tumor(s) is less than or equal to 5 cm in diameter;
- There is no macrovascular involvement; and
- There is no identifiable extrahepatic spread of tumor to surrounding lymph nodes, lungs, abdominal organs or bone.

Beginning in June 2012, on review of this national coverage decision for new evidence, Medicare began covering adult liver transplantation at Medicare administrative contractor discretion, for extrahepatic unresectable cholangiocarcinoma, liver metastases due to a neuroendocrine tumor, and hemangioendothelioma. Adult liver transplantation is excluded for other malignancies.

Pediatric liver transplantation is covered for children (<18 years of age) when performed at pediatric hospitals approved by the Centers for Medicare & Medicaid Services. Coverage
includes extrahepatic biliary atresia or any other form of end-stage liver disease, except for children with a malignancy extending beyond the margins of the liver or those with persistent viremia.

**Ongoing and Unpublished Clinical Trials**

Some currently unpublished trials that might influence this review are listed in Table 2.

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<th>NCT No.</th>
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<td>NCT02878473</td>
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NCT: national clinical trial.

**References**


**Documentation for Clinical Review**

**Please provide the following documentation (if/when requested):**

- Referring physician history and physical
- Hepatology consultation report and/or progress notes documenting:
  - Diagnosis (including disease staging and prognosis)
  - Synopsis of alternative treatments performed and results
  - Specific transplant type being requested
- Surgical consultation report and/or progress notes
- Results of completed transplant evaluation including:
  - Clinical history
  - Specific issues identified during the transplant evaluation
  - Consultation reports/letters (when applicable)
  - Correspondence from referring physicians (when applicable)
  - Identification of donor for living liver transplant (when information is available)
- Medical social service/social worker and/or psychiatric (if issues are noted) evaluations including psychosocial assessment or impression of patient’s ability to be an adequate candidate for transplant
- Radiology reports including:
  - Abdominal Computerized Tomography (CT) scan, ultrasound, and/or Magnetic resonance imaging (MRI)
  - Chest x-ray (CXR)
- GI procedure reports:
  - Colonoscopy if more than 50 years of age
7.03.06 Liver Transplant and Combined Liver-Kidney Transplant

- Esophagogastroduodenoscopy (EGD)
- Cardiology procedures and respiratory function reports:
  - Electrocardiogram (EKG)
  - Cardiac echocardiogram, stress test, and cardiac catheterization (if indicated)
  - Pulmonary function tests (PFTs)
- Laboratory reports

**Coding**

This Policy relates only to the services or supplies described herein. Benefits may vary according to product design; therefore, contract language should be reviewed before applying the terms of the Policy. Inclusion or exclusion of a procedure, diagnosis or device code(s) does not constitute or imply member coverage or provider reimbursement.

**MN/IE**

The following services may be considered medically necessary in certain instances and investigational in others. Services may be considered medically necessary when policy criteria are met. Services may be considered investigational when the policy criteria are not met or when the code describes application of a product in the position statement that is investigational.

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<td>Liver allotransplantation, orthotopic, partial or whole, from cadaver or living donor, any age</td>
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<td>CPT®</td>
<td>47140</td>
<td>Donor hepatectomy (including cold preservation), from living donor; left lateral segment only (segments II and III)</td>
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<tr>
<td>CPT®</td>
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<td>Donor hepatectomy (including cold preservation), from living donor; total left lobectomy (segments II, III and IV)</td>
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<td>Backbench standard preparation of cadaver donor whole liver graft prior to allotransplantation, including cholecystectomy, if necessary, and dissection and removal of surrounding soft tissues to prepare the vena cava, portal vein, hepatic artery, and common bile duct for implantation; without trisegment or lobe split</td>
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<td>Backbench standard preparation of cadaver donor whole liver graft prior to allotransplantation, including cholecystectomy, if necessary, and dissection and removal of surrounding soft tissues to prepare the vena cava, portal vein, hepatic artery, and common bile duct for implantation; with trisegment split of whole liver graft into 2 partial liver grafts (i.e., left lateral segment [segments II and III] and right trisegment [segments I and IV through VIII])</td>
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<td>Backbench reconstruction of cadaver or living donor liver graft prior to allotransplantation; arterial anastomosis, each</td>
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7.03.06  Liver Transplant and Combined Liver-Kidney Transplant
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<thead>
<tr>
<th>Type</th>
<th>Code</th>
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<td>Procedure</td>
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<td>Excision of Liver, Open Approach</td>
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<td>OFB03Z2</td>
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| ICD-10       |        |                                                  |
| Diagnosis    |        |                                                  |
| All Diagnoses|        |                                                  |

**Policy History**

This section provides a chronological history of the activities, updates and changes that have occurred with this Medical Policy.

<table>
<thead>
<tr>
<th>Effective Date</th>
<th>Action</th>
<th>Reason</th>
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<tr>
<td>05/16/1984</td>
<td>Policy adopted</td>
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<tr>
<td>10/12/1994</td>
<td>Policy Revision</td>
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<td>05/17/2001</td>
<td>Policy clarification</td>
<td>Transplant Disease Management Review</td>
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<td>07/02/2007</td>
<td>Policy Updated. Adopted from BCBSA MPP. Benefit Guidelines from BSC COE program.</td>
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<td>02/05/2008</td>
<td>Coding Update</td>
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<td>06/28/2013</td>
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<td>03/28/2014</td>
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<td>11/01/2017</td>
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**Definitions of Decision Determinations**

**Medically Necessary:** A treatment, procedure, or drug is medically necessary only when it has been established as safe and effective for the particular symptoms or diagnosis, is not investigational or experimental, is not being provided primarily for the convenience of the patient or the provider, and is provided at the most appropriate level to treat the condition.

**Investigational/Experimental:** A treatment, procedure, or drug is investigational when it has not been recognized as safe and effective for use in treating the particular condition in accordance with generally accepted professional medical standards. This includes services where approval by the federal or state governmental is required prior to use, but has not yet been granted.
**Split Evaluation:** Blue Shield of California/Blue Shield of California Life & Health Insurance Company (Blue Shield) policy review can result in a split evaluation, where a treatment, procedure, or drug will be considered to be investigational for certain indications or conditions, but will be deemed safe and effective for other indications or conditions, and therefore potentially medically necessary in those instances.

### Prior Authorization Requirements (as applicable to your plan)

Within five days before the actual date of service, the provider must confirm with Blue Shield that the member's health plan coverage is still in effect. Blue Shield reserves the right to revoke an authorization prior to services being rendered based on cancellation of the member's eligibility. Final determination of benefits will be made after review of the claim for limitations or exclusions.

Questions regarding the applicability of this policy should also be directed to the Transplant Case Management Department. Please call 1-800-637-2066 ext. 3507708 or visit the Provider Portal at www.blueshieldca.com/provider.

Disclaimer: This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. Blue Shield of California may consider published peer-reviewed scientific literature, national guidelines, and local standards of practice in developing its medical policy. Federal and state law, as well as contract language, including definitions and specific contract provisions/exclusions, take precedence over medical policy and must be considered first in determining covered services. Member contracts may differ in their benefits. Blue Shield reserves the right to review and update policies as appropriate.