

8.01.37		Inhaled Nitric Oxide	
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Section:	8.0 Therapy	Page:	Page 1 of 23

Policy Statement

- I. Inhaled nitric oxide (INO) may be considered **medically necessary** as a component of treatment of:
 - A. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation.
- II. Other indications for inhaled nitric oxide are considered **investigational**, including, but not limited to:
 - A. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure
 - B. Treatment of adults and children with acute hypoxemic respiratory failure
 - C. Postoperative use in adults and children with congenital heart disease
 - D. In lung transplantation, during and/or after graft reperfusion

NOTE: Refer to [Appendix A](#) to see the policy statement changes (if any) from the previous version.

Policy Guidelines

Inhaled nitric oxide (INO) appears to be of greatest benefit to neonates born at more than 34 weeks for whom primary or secondary pulmonary hypertension is a component of hypoxic respiratory failure.

The benefit of INO appears limited in term or near-term infants whose hypoxic respiratory failure is due to diaphragmatic hernia, unless there is associated pulmonary hypertension.

Hypoxic Respiratory Failure

The following criterion for hypoxic respiratory failure has been reported:

- An oxygenation index (OI) of at least 25 on 2 measurements made at least 15 minutes apart.

(The OI is calculated as the mean airway pressure times the fraction of inspired oxygen divided by the partial pressure of arterial oxygen times 100. An OI of 25 is associated with a 50% risk of requiring extracorporeal membrane oxygenation [ECMO] or dying. An OI of 40 or more is often used as a criterion to initiate ECMO therapy.)

If ECMO is initiated in near-term neonates, INO should be discontinued because there is no benefit to combined treatment.

The U.S. Food and Drug Administration Approval for INOmax™

INOmax™ (Ikaria®, Clinton, NJ) is a commercially available inhaled nitric oxide product approved by the U.S. Food and Drug Administration (FDA) for the following indication: INOmax, in conjunction with ventilatory support and other appropriate agents, is indicated for the treatment of term and near-term (greater than 34 weeks) neonates with hypoxic respiratory failure associated with clinical or echocardiographic evidence of pulmonary hypertension.

Description

Inhaled nitric oxide (INO) is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic respiratory failure to improve oxygenation and reduce the need for invasive extracorporeal membrane oxygenation. It is also proposed as a treatment for premature infants, critically ill

children, and adults with respiratory failure, as well as in the postoperative management of children undergoing repair of congenital heart disease and patients after lung transplantation to prevent or reduce reperfusion injury.

Related Policies

- N/A

Benefit Application

Benefit determinations should be based in all cases on the applicable contract language. To the extent there are any conflicts between these guidelines and the contract language, the contract language will control. Please refer to the member's contract benefits in effect at the time of service to determine coverage or non-coverage of these services as it applies to an individual member.

Some state or federal mandates (e.g., Federal Employee Program [FEP]) prohibits plans from denying Food and Drug Administration (FDA)-approved technologies as investigational. In these instances, plans may have to consider the coverage eligibility of FDA-approved technologies on the basis of medical necessity alone.

Regulatory Status

In 1999, INOmax™ (Ikaria) was cleared for marketing by the U.S. Food and Drug Administration (FDA) through the 510(k) process for the following indication: "INOmax, in conjunction with ventilatory support and other appropriate agents, is indicated for the treatment of term and near-term (>34 weeks) neonates with hypoxic respiratory failure associated with clinical or echocardiographic evidence of pulmonary hypertension." In 2015, Mallinckrodt acquired Ikaria.

In 2014, Advanced Inhalation Therapies received orphan drug designation for its proprietary formulation of nitric oxide as an adjunctive treatment of cystic fibrosis.

In 2020, the FDA granted emergency expanded access for INOpulse (Bellerophon Therapeutics) inhaled nitric oxide delivery system for treating COVID-19.

Rationale

Background

Hypoxic Respiratory Failure

Hypoxic respiratory failure may result from respiratory distress syndrome, persistent pulmonary hypertension, meconium aspiration, pneumonia, or sepsis.

Treatment

Treatment typically includes oxygen support, mechanical ventilation, induction of alkalosis, neuromuscular blockade, or sedation.

Extracorporeal membrane oxygenation is an invasive technique that may be considered in neonates when other therapies fail. Inhaled nitric oxide (INO) is both a vasodilator and a mediator in many physiologic and pathologic processes. Inhaled nitric oxide has also been proposed for use in preterm infants less than 34 weeks of gestation and in adults.

Also, there are several potential uses in surgery. One is the proposed use of INO to manage pulmonary hypertension after cardiac surgery in infants and children with congenital heart disease. In congenital heart disease patients, increased pulmonary blood flow can cause

pulmonary hypertension. Cardiac surgery can restore the pulmonary vasculature to normal, but there is the potential for complications, including postoperative pulmonary hypertension, which can prevent weaning from ventilation and is associated with substantial morbidity and mortality. Another potential surgical application is the use of INO in lung transplantation to prevent or reduce reperfusion injury.

Literature Review

Evidence reviews assess the clinical evidence to determine whether the use of a technology improves the net health outcome. Broadly defined, health outcomes are length of life, quality of life, and ability to function, including benefits and harms. Every clinical condition has specific outcomes that are important to patients and to managing the course of that condition. Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of a technology, 2 domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial (RCT) is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. Randomized controlled trials are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.

Hypoxic Respiratory Failure in Term or Late Preterm Neonates

Clinical Context and Therapy Purpose

The purpose of inhaled nitric oxide (INO) is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure.

The question addressed in this evidence review is: Does INO improve the net health outcome in patients who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure?

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic respiratory failure to improve oxygenation and reduce the need for invasive extracorporeal membrane oxygenation (ECMO). In late preterm neonates, INO primarily functions as a vasodilator to treat pulmonary hypertension, often due to meconium aspiration or bacterial pneumonia. However, in earlier preterm neonates with respiratory failure, pulmonary hypertension with shunting is less of a risk. Therefore, these 2 groups of neonates represent distinct clinical issues, and the results of INO in late preterm neonates cannot be extrapolated to preterm neonates. Also, the risk of intraventricular hemorrhage associated with INO is higher in premature infants.

Comparators

The following practice is currently being used to treat hypoxic respiratory failure in term or late preterm neonates: standard neonatal specialty care without INO.

Outcomes

The general outcomes of interest are overall survival (OS), hospitalizations, resource utilization, and treatment-related morbidity.

Table 1. Outcomes of Interest

Outcomes	Details	Timing
Resource utilization	Evaluated through outcomes such as requirement for ECMO before hospital discharge	1 week – 6 months
Treatment-related morbidity	Evaluated through outcomes such as rates of adverse events including BPD and severe intracranial hemorrhage	1 week – 6 months

BPD: bronchopulmonary dysplasia; ECMO: extracorporeal membrane oxygenation.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

A number of RCTs and a Cochrane review of RCT data on INO in infants with hypoxia born at or late preterm (>34 weeks of gestation) have been published. The Cochrane review, last updated by Barrington et al (2017), identified 17 trials.¹ Ten trials compared INO with a control (placebo or standard neonatal intensive care without INO) in infants who had moderately severe illness scores. One trial permitted backup treatment with INO and 2 enrolled only infants with a diaphragmatic hernia. Another 6 trials included infants with moderately severe disease and compared immediate INO with INO only when infants' conditions deteriorated to a more severe illness. The remaining trial compared INO with high-frequency ventilation. In all trials, hypoxemic respiratory failure was required for study entry, and most also required echocardiographic evidence of persistent pulmonary hypertension. The main findings of the meta-analysis are provided in Table 2. Only findings of trials that did not allow backup INO or were not limited to patients with a diaphragmatic hernia are presented; there were too few studies on other subgroups to permit meaningful meta-analysis.

Table 2. Main Cochrane Findings on INO in Term or Near-Term Infants

No. of Trials	N	Outcomes	Relative Risk	95% CI	P	I ²	QOE ^a
8	860	Death before hospital discharge	0.89	0.60 to 1.31	.55	0%	High
7	815	ECMO before hospital discharge	0.60	0.50 to 0.71	<.001	0%	High
8	859	Death or requirement of ECMO	0.66	0.57 to 0.77	<.001	0%	High

Adapted from Barrington et al (2017).¹

CI: confidence interval; ECMO: extracorporeal membrane oxygenation; INO: inhaled nitric oxide; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Reviewers found that INO in hypoxic infants significantly reduced the incidence of the combined endpoint of death or the need for ECMO compared with controls, in studies that did not allow INO backup in controls. Inhaled nitric oxide did not have a statistically significant effect on mortality when analyzed as the sole outcome measure; however, there was a significant effect of INO on the need for ECMO only. The analysis of mortality alone may have been underpowered.

Section Summary: Hypoxic Respiratory Failure in Term or Late Preterm Neonates

Evidence from RCTs and a meta-analysis of RCTs has supported the use of INO in term or late preterm infants to improve the net health outcome. Pooled analyses of RCT data have found that INO leads to a significant reduction in the combined outcome of ECMO or death and a significant reduction of ECMO use before hospital discharge.

Hypoxic Respiratory Failure in Premature Neonates

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are neonates, are premature at birth, and have hypoxic respiratory failure.

The question addressed in this evidence review is: Does INO improve the net health outcome in patients who are neonates, are premature at birth, and have hypoxic respiratory failure? The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are neonates, are premature at birth, and have hypoxic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic respiratory failure to improve oxygenation and reduce the need for invasive ECMO.

Comparators

The following practice is currently being used to treat hypoxic respiratory failure in premature neonates: standard neonatal intensive care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity.

Table 3. Outcomes of Interest

Outcomes	Details	Timing
Resource utilization	Evaluated through outcomes such as utilization of ECMO before hospital discharge	1 week – 6 months
Treatment-related morbidity	Evaluated through outcomes such as rates of adverse events including BPD and severe intracranial hemorrhage	1 week – 6 months

BPD: bronchopulmonary dysplasia; ECMO: extracorporeal membrane oxygenation.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.

- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

Numerous systematic reviews and RCTs on INO for treating hypoxic respiratory failure in preterm neonates have been published. A Cochrane review by Barrington et al (2017) identified 17 RCTs on the efficacy of INO for treating premature infants (i.e., <35 weeks of gestation) with respiratory disease.² The main findings of the meta-analysis are provided in Table 4. Results are reported separately for studies with entry before 3 days based on oxygenation, studies with entry after 3 days based on oxygenation and bronchopulmonary dysplasia (BPD) risk, and studies of routine use of INO in premature infants on respiratory support. Pooled analyses of 3 or more studies are shown.

Table 4. Main Cochrane Findings on INO in Preterm Infants

No. of Trials	N	Outcomes	Relative Risk	95% CI	P	I ²	QOE ^a
Death before hospital discharge							
10	1066	Studies with entry before 3 days	1.02	0.89 to 1.18	.75	3%	High
3	1075	Studies with entry after 3 days	1.18	0.81 to 1.71	.39	0%	High
4	1924	Studies of routine use	0.90	0.74 to 1.10	.32	50%	Moderate
BPD at 36 weeks of gestation							
8	681	Studies with entry before 3 days	0.89	0.76 to 1.04	.13	29%	NR
3	990	Studies with entry after 3 days	0.91	0.83 to 1.01	.068	11%	NR
4	1782	Studies of routine use	0.95	0.85 to 1.05	.32	10%	NR
BPD or death at 36 weeks of gestation							
8	957	Studies with entry before 3 days	0.94	0.87 to 1.01	.084	26%	High
3	1075	Studies with entry after 3 days	0.92	0.85 to 1.01	.079	51%	High
4	1924	Studies of routine use	0.94	0.87 to 1.02	.12	11%	High

Adapted from Barrington et al (2017).²

BPD: bronchopulmonary dysplasia; CI: confidence interval; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Reviewers found that use of INO in premature infants with respiratory failure did not significantly improve individual outcomes (e.g., death before hospital discharge, BPD at 36 weeks of postmenstrual age) or the combined outcome (BPD or death at 36 weeks of postmenstrual age). Findings were not statistically significant in subgroups of studies that enrolled patients before 3 days old, enrolled patients after 3 days, and that used INO routinely. A fourth primary outcome (intraventricular hemorrhage) was only pooled in studies with entry before 3 days, and again did not find a significant benefit of INO versus control (relative risk [RR], 0.94; 95% confidence interval [CI], 0.69 to 1.28).

A meta-analysis by Yang et al (2016) identified 22 trials comparing INO with a control intervention in preterm infants.³ Reviewers did not define “preterm” as used to identify studies, beyond use of the keyword in literature searches. A pooled analysis of all 22 studies did not find a significant difference between groups in mortality (RR, 1.00; 95% CI, 0.92 to 1.09). There was also no significant difference between INO and control in the rate of severe intracranial hemorrhage in a pooled analysis of 17 studies (RR, 0.99; 95% CI, 0.83 to 1.16). However, a pooled analysis of 20 studies did find a significantly lower rate of BPD in the INO groups than in the control groups (RR, 0.88; 95% CI, 0.82 to 0.95). Reviewers noted that their findings on BPD differed from those in other meta-analyses and suggested that the difference might have been due to their inclusion of Chinese-language studies.

Previously, an Agency for Healthcare Research and Quality-sponsored systematic review by Donohue et al (2011) of randomized trials on INO for premature infants (<35 weeks of gestation) was published.⁴ Thirty-one articles were initially selected; the authors included 14 unique RCTs. Regardless of how mortality was reported or defined (e.g., death \leq 7 days or \leq 28 days, or death in the neonatal intensive care unit), there were no statistically significant differences between the INO group and the control group in any of the 14 RCTs or pooled analyses of these RCTs. For example, in a pooled analysis of 11 trials that reported death by 36 weeks of postmenstrual age or in the neonatal intensive care unit, the RR was 0.97 (95% CI, 0.82 to 1.15). Twelve trials reported data on BPD at 36 weeks of postmenstrual age, and despite variations in reporting of BPD, there was no significant benefit of INO treatment in any trial. A pooled analysis of data from 8 trials reporting BPD at 36 weeks of postmenstrual age among survivors found a RR of 0.93 (95% CI, 0.86 to 1.00).

Randomized Controlled Trials

The largest trial to date was published by Mercier et al (2010).⁵ This multicenter industry-sponsored study, known as the European Union Nitric Oxide (EUNO) trial, evaluated low-dose INO therapy. Of 800 patients, 792 (99%) received their assigned treatment, and all 800 were included in the intention-to-treat analysis. Primary outcomes were survival without BPD at 36 weeks of postmenstrual age, OS at 36 weeks of postmenstrual age, and BPD at 36 weeks of postmenstrual age. The number of patients with BPD at 36 weeks of postmenstrual age was 81 (24%) in the INO group and 96 (27%) in the control group (RR, 0.83; 95% CI, 0.58 to 1.17; $p=.29$). The secondary endpoint (survival without brain injury at gestational age 36 weeks) also did not differ significantly between groups (RR, 0.78; 95% CI, 0.53 to 1.17; $p=.23$). This endpoint was attained by 181 (69%) patients in the INO group and 188 (76%) patients in the placebo group.

The most common adverse event was intracranial hemorrhage, which affected 114 (29%) patients in the INO group and 91 (23%) patients in the control group (p value not reported). Durrmeyer et al (2013) published 2-year outcomes of the EUNO trial.⁶ Of the original 800 patients, 737 (92%) were evaluable at this time point. There were also no statistically significant differences between groups in other outcomes (e.g., hospitalization rates, use of respiratory medications, growth). At 7 years of follow-up, 305 patients were available for evaluation, with no deaths reported from the end of the 2-year follow-up to the 7-year follow-up and no significant differences in any questionnaire-documented health outcomes between groups.⁷ Tables 5 and 6 summarize the key characteristics and results of the EUNO trial and its 2- and 7-year follow-ups.

Table 5. Summary of Key RCT Characteristics

Study; Trial	Countries	Sites	Dates	Participants	Interventions	
					Active	Comparator
Mercier (2010); EUNO ⁵	EU	35	2005-2008	Preterm infants (between 24 and 28 weeks gestational age) weighing \geq 500 g and requiring surfactant within 24 hours of birth	INO 5 ppm (n=399)	Placebo-equivalent nitrogen gas (n=401)
Durrmeyer (2013); EUNO ⁶	EU	35	2005-2008	Infants born at <29 weeks gestational age with moderate respiratory failure	INO 5 ppm (n=306)	Placebo-equivalent nitrogen gas (n=324)
Greenough (2021); EUNO ⁷	EU	24	2005-2008	Preterm infants (between 24 and 28 weeks gestational age) weighing \geq 500 g and requiring surfactant within 24 hours of birth	INO 5 ppm (n=152)	Placebo-equivalent nitrogen gas (n=153)

EU: European Union; EUNO: European Union Nitric Oxide trial; INO: inhaled nitric oxide; RCT: randomized controlled trial.

Table 6. Summary of Key RCT Results

Study	Survival Outcomes	Adverse Events
Mercier (2010); EUNO⁵.	OS at 36 weeks postmenstrual age	Serious adverse events^a
INO	343 (86%)	158 (40%)
Placebo	359 (90%)	164 (41%)
RR; 95% CI; P-value	0.74; 0.48 to 1.15;.21	NR; NR;.72
	Survival without BPD at 36 weeks postmenstrual age	
INO	258 (65%)	
Placebo	262 (66%)	
RR; 95% CI; P-value	1.05; 0.78 to 1.43;.73	
Durrmeyer (2013); EUNO⁶.	OS between 36 weeks postmenstrual age and 2 years	
INO	391 (99%)	
Placebo	390 (98.2%)	
RR; 95% CI; P-value	NR; NR; NR	
	Survival without severe or moderate disability at 2 years	
INO	244 (79.7%)	
Placebo	270 (83.3%)	
RR; 95% CI; P-value	NR; NR;.29	
Greenough (2021); EUNO⁷.	Hospitalization rates - end of 2 years to the 7-year follow-up	
INO	44 (28.9%)	
Placebo	53 (34.6%)	
P-value	.29	
	Proportion of patients using respiratory medications at 7 years	
INO	10 (6.6%)	
Placebo	14 (9.2%)	
P-value	.40	

BPD: bronchopulmonary dysplasia; CI: confidence interval; EUNO: European Union Nitric Oxide trial; INO: inhaled nitric oxide; NR: not reported; OS: overall survival; RCT: randomized controlled trial; RR: risk ratio.

^a Serious AEs included intraventricular hemorrhage, periventricular leukomalacia, patent ductus arteriosus, pneumothorax, pulmonary hemorrhage, necrotizing enterocolitis, and sepsis.

The purpose of the study design and conduct limitation table (Table 7) is to display notable limitations identified in each study. This information is synthesized as a summary of the body of evidence following each table and provides the conclusions on the sufficiency of evidence supporting the position statement. No relevance limitations were noted from these trials.

Table 7. Study Design and Conduct Limitations

Study	Allocation ^a	Blinding ^b	Selective Reporting ^c	Data Completeness ^d	Power ^e	Statistical ^f
Mercier (2010); EUNO⁵.	3. Allocation concealment unclear					
Durrmeyer (2013); EUNO⁶.	3. Allocation concealment unclear					3. Confidence intervals not reported for all outcomes
Greenough (2021); EUNO⁷.	3. Allocation concealment unclear					3. Confidence intervals not reported

EUNO: European Union Nitric Oxide trial.

The evidence limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment.

^a Allocation key: 1. Participants not randomly allocated; 2. Allocation not concealed; 3. Allocation concealment unclear; 4. Inadequate control for selection bias.

^b Blinding key: 1. Not blinded to treatment assignment; 2. Not blinded outcome assessment; 3. Outcome assessed by treating physician.

^c Selective Reporting key: 1. Not registered; 2. Evidence of selective reporting; 3. Evidence of selective publication.

^d Data Completeness key: 1. High loss to follow-up or missing data; 2. Inadequate handling of missing data; 3. High number of crossovers; 4. Inadequate handling of crossovers; 5. Inappropriate exclusions; 6. Not intent to treat analysis (per protocol for noninferiority trials).

^e Power key: 1. Power calculations not reported; 2. Power not calculated for primary outcome; 3. Power not based on clinically important difference.

^f Statistical key: 1. Analysis is not appropriate for outcome type: (a) continuous; (b) binary; (c) time to event; 2. Analysis is not appropriate for multiple observations per patient; 3. Confidence intervals and/or p values not reported; 4. Comparative treatment effects not calculated.

Section Summary: Hypoxic Respiratory Failure in Premature Neonates

A large number of RCTs have evaluated INO for premature neonates, and most trials have reported no significant differences in primary endpoints such as mortality and BPD. Meta-analyses of these RCTs have not found better survival rates in patients who receive INO compared with a control intervention. Most meta-analyses also did not find other outcomes (e.g., BPD, intracranial hemorrhage) were improved by INO.

Acute Hypoxemic Respiratory Failure in Adults and Children Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are adults or children in acute hypoxemic respiratory failure. The question addressed in this evidence review is: Does INO improve the net health outcome in various pediatric and adult populations with acute hypoxemic respiratory failure? The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are adults or children in acute hypoxemic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat acute hypoxemic respiratory failure in adults and children: standard medical intensive care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity.

Table 8. Outcomes of Interest

Outcomes	Details	Timing
Treatment-related morbidity	Evaluated through outcomes such as rates of adverse events including renal dysfunction	1 week – 6 months

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.

- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

Several meta-analyses and RCTs have evaluated the efficacy of INO for treating acute respiratory distress syndrome (ARDS) and acute lung injury (together known as acute hypoxemic respiratory failure). A Cochrane review by Gebistorf et al (2016) identified 14 RCTs comparing INO with control interventions in adults and children with ARDS.⁸ The primary objective of the review was to evaluate the effects of INO on mortality, which was measured in several ways. The main findings of the meta-analysis are provided in Table 9.

Table 9. Main Cochrane Findings on INO in Patients With ARDS

No. of Trials	N	Outcomes	Relative Risk	95% CI	P	I ²	QOE ^a
11	1243	Overall mortality	1.04	0.90 to 1.19	.63	0%	Moderate
9	1105	Mortality at 28-30 days	1.08	0.92 to 1.27	.36	0%	Moderate
Overall mortality stratified by age group							
3	185	Pediatric	0.78	0.51 to 1.18	.24	22%	Moderate
10	1085	Adult	1.09	0.93 to 1.25	.32	0%	NR

Adapted from Gebistorf et al (2016).⁸

ARDS: acute respiratory distress syndrome; CI: confidence interval; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Inhaled nitric oxide was not found to significantly improve mortality when used to treat ARDS. Other outcomes (e.g., mean number of ventilator days, duration of mechanical ventilation) also did not differ significantly between groups. Regarding potential harms associated with INO use in this population, a pooled analysis of 4 trials found a significantly higher rate of renal impairment in groups treated with INO than with a control intervention (RR, 1.59; 95% CI, 1.17 to 2.16).

Other systematic reviews and meta-analyses have reported similar findings on mortality.^{9,10} For example, a systematic review by Adhikari et al (2014) identified 9 RCTs conducted with adults or children (other than neonates) in which at least 80% of patients, or a separately reported subgroup, had ARDS.⁹ The trials selected compared INO with placebo or no gas, used INO as a treatment of ARDS (i.e., not a preventive measure), and had less than 50% crossover between groups. Findings were not stratified by adult and pediatric populations. A pooled analysis of data from the 9 trials (N=1142 patients) found no statistically significant benefit of INO on mortality (RR, 1.10; 95% CI, 0.94 to 1.29; p=.24). In a preplanned subgroup analysis, INO did not reduce mortality in patients with severe ARDS (baseline partial pressure of oxygen, arterial [Pao₂]/fraction of expired oxygen [Fio₂] ≤100 mm Hg) or patients with mild-to-moderate ARDS (baseline Pao₂/Fio₂ >100 mg Hg).

A systematic review by Prakash et al (2021) reviewed the impact of INO compared to standard of care in the treatment of severe ARDS in the context of COVID-19.¹¹ The review included 14 retrospective or prospective studies including 423 patients (range, 5 to 169). Racial and ethnic demographics of patients included in these studies were not described. Across these studies, INO demonstrated a slight increase in oxygenation, but appeared to have no impact on mortality.

Adverse Events

A cohort study by Ruan et al (2016) evaluated the risk of renal dysfunction in patients with ARDS treated using INO.¹² Using electronic medical record data from a teaching hospital, 547 patients with ARDS were identified. Among these patients, 216 had been treated with and 331 without INO. The 30-day incidence of renal replacement therapy was 34% in the INO group and 23% in the non-INO group. In the final propensity-matched analysis, there was a significantly higher risk

of need for renal replacement therapy in the INO group than in the non-INO group (hazard ratio, 1.59; 95% CI, 1.08 to 2.34; $p=.02$). Similarly, in a meta-analysis of 15 RCTs involving 1853 patients, INO therapy was associated with a significant increase in the risk of acute kidney injury in patients with ARDS (RR, 1.55; 95% CI, 1.15 to 2.10; $p=.004$).¹³

Section Summary: Acute Hypoxemic Respiratory Failure in Adults and Children

A large number of RCTs have evaluated INO for treatment of acute hypoxemic respiratory failure in adults and children. Meta-analyses of these RCTs have not found that INO significantly reduced mortality or shortened the duration of mechanical ventilation.

Moreover, subgroup analysis by age group in a 2016 Cochrane review did not find a significant benefit of INO on mortality in either pediatric or adult studies. There is evidence from a meta-analysis of 4 RCTs included in the Cochrane review and from a cohort study and separate meta-analysis that INO increases the risk of renal impairment in patients with ARDS.

Adults and Children With Congenital Heart Disease Who Have had Heart Surgery

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are adults or children with congenital heart disease who have had heart surgery.

The question addressed in this evidence review is: Does INO improve the net health outcome in patients who are adults or children with congenital heart disease who have had heart surgery? The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are adults or children with congenital heart disease who have had heart surgery.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat adults and children with congenital heart disease who have had heart surgery: standard medical care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity.

Table 10. Outcomes of Interest

Outcomes	Details	Timing
Treatment-related morbidity	Evaluated through outcomes such as RVD, pulmonary arterial hypertension, mean arterial pressure, and neurodevelopmental disability	1 week – 6 months
Resource utilization	Evaluated through outcomes such as mean number of days on mechanical ventilation, length of stay in intensive care unit or hospital	1-6 weeks

RVD: right ventricular dysfunction.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.

- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Adults

A trial by Potapov et al (2011) evaluated the prophylactic use of INO in adults undergoing left ventricular assist device implantation for congestive heart failure.¹⁴ This double-blind trial was conducted at 8 centers in the US and Germany. Patients were randomized to INO 40 ppm (n=73) or placebo (n=77) beginning at least 5 minutes before the first weaning attempt from mechanical ventilation. The primary trial outcome was right ventricular dysfunction (RVD). Patients continued use of INO or placebo until they were extubated, reached the study criteria for RVD, or were treated for 48 hours, whichever came first. Patients were permitted to crossover to open-label INO if they failed to wean from mechanical ventilation, still required pulmonary vasodilator support at 48 hours, or met criteria for RVD. Thirteen (9%) of 150 randomized patients did not receive the trial treatment. Also, crossover to open-label INO occurred in 15 (21%) of 73 patients in the INO group and 20 (26%) of 77 in the placebo group. In an intention-to-treat analysis, RVD criteria were met by 7 (9.6%) of 73 patients in the INO group and 12 (15.6%) of 77 patients in the placebo group; this difference between groups was not statistically significant (p=.33). Other outcomes also did not differ significantly between groups; e.g., mean number of days on mechanical ventilation (5.4 days for INO vs. 11.1 days for placebo; p=.77) and mean number of days in the hospital (41 in each group).

Children

Systematic Reviews

A Cochrane review by Bizzarro et al (2014) identified 4 RCTs (N=210 patients) comparing postoperative INO with placebo or usual care in the management of children who had congenital heart disease.¹⁵ All trials included participants identified as having pulmonary hypertension in the preoperative or postoperative period. Three trials were parallel group, and 1 trial was a crossover. Mortality was the primary outcome of the meta-analysis. Two trials (n=162 patients) reported mortality before discharge. A pooled analysis of findings from these 2 trials did not find a significant difference in mortality between the INO group and the control group (odds ratio, 1.67; 95% CI, 0.38 to 7.30). Among secondary outcomes, a pooled analysis of 2 studies did not find a significant between-group difference in mean pulmonary arterial hypertension (pooled treatment effect, -2.94 mm Hg; 95% CI, -9.28 to 3.40 mm Hg), and likewise a pooled analysis of 3 studies did not find a significant difference between groups in mean arterial pressure (pooled treatment effect, -3.55 mm Hg; 95% CI, -11.86 to 4.76 mm Hg). Insufficient data were available for pooling other outcomes. Reviewers noted a lack of data on long-term mortality, length of stay in an intensive care unit or hospital, and neurodevelopmental disability, and concerns about the methodologic quality of studies, sample sizes, and heterogeneity between studies. These results did not support a benefit for INO treatment for this patient group. Wide CIs around the pooled treatment effects reflect the relative paucity of available data for each outcome.

Randomized Controlled Trials

The RCT assessing the largest sample was published by Miller et al (2000).¹⁶ This trial out of Australia included 124 infants (median age, 3 months) who were candidates for corrective heart surgery. Eligibility requirements included the presence of congenital heart lesions, high pulmonary flow pressure, or both, and objective evidence of pulmonary hypertension in the immediate preoperative period. Participants were randomized to INO gas 10 ppm (n=63) or placebo nitrogen gas (n=61) after surgery until just before extubation. Randomization was stratified by the presence (45/124 [36%]) or absence (79/124 [64%]) of Down syndrome. The primary outcome was a reduction of pulmonary hypertensive crisis episodes, defined as a pulmonary/systemic artery pressure ratio greater than 0.75. Episodes were classified as major if there was a fall in systemic artery pressure of at least 20% and/or a fall in transcutaneous oxygen saturation to less than 90%. Episodes were classified as minor if the systemic artery pressure and

transcutaneous oxygen saturation remained stable. The trial found that infants who received INO after surgery had significantly fewer pulmonary hypertensive crisis episodes (median, 4) than those who received placebo (median, 7; unadjusted RR, 0.66; 95% CI, 0.59 to 0.74; $p < .001$). Among secondary outcomes, the median time to eligibility for extubation was significantly shorter in the INO group (80 hours) than in the placebo group (112 hours; $p = .019$). There were 5 deaths in the INO group and 3 deaths in the placebo group; this difference was not statistically significant ($p = .49$). Similarly, there was no significant between-group difference in median time to discharge from intensive care (138 hours for INO vs. 162 hours for placebo; $p > .05$). Although this trial reported a reduction in pulmonary hypertensive crisis episodes, changes in this physiologic outcome did not result in improvements in survival or other clinical outcomes. The trial was likely underpowered to detect differences in these more clinically relevant secondary outcomes.

Section Summary: Adults and Children With Congenital Heart Disease Who Have Had Heart Surgery

Evidence from a number of small RCTs and a systematic review of these trials did not find a significant benefit for INO on mortality and other health outcomes in the postoperative management of children with congenital heart disease. There is less evidence on the use of INO for adults with congenital heart disease. One RCT did not find a significant effect of INO treatment on the improvement of postoperative outcomes in adults with congestive heart failure who had left ventricular assist device surgery.

Lung Transplantation

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients with lung transplant.

The question addressed in this evidence review is: Does INO improve the net health outcome in patients with a lung transplant?

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals with a lung transplant.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat patients with a lung transplant: standard post-transplant care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity.

Table 11. Outcomes of Interest

Outcomes	Details	Timing
Resource utilization	Evaluated through outcomes such as length of hospital or intensive care unit stay	1 – 6 weeks
Treatment-related morbidity	Evaluated through outcomes such as time to extubation, duration of ventilation, fluid balance during 24 hours after intensive care unit admission, development of grade II-III primary graft dysfunction or gas exchange	1 week – 6 months

Study Selection Criteria

Methodologically credible studies were selected using the following principles

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

Tavare and Tsakok (2011) reviewed the literature to assess whether the use of prophylactic INO in patients undergoing a lung transplant reduces morbidity and mortality.¹⁷ They identified 6 studies, 2 RCTs (Meade et al [2003],¹⁸ Perrin et al [2006]¹⁹.) and 4 uncontrolled cohort studies. They also identified a third RCT (Botha et al [2007]²⁰), which they excluded from their review based on the utility of that trial's clinical outcomes. Reviewers noted the paucity of controlled studies and the small sample sizes of all available studies. Moreover, they found that none of the RCTs showed that INO reduced mortality or morbidity (e.g., time to extubation, length of hospital stay). Thus they concluded that "it is difficult to currently recommend the routine use of prophylactic inhaled NO [nitric oxide] in lung transplant surgery." Published RCTs are summarized in Table 12.

Table 12. Summary of RCTs Evaluating INO After Lung Transplantation

Study	N	Interventions	Primary Endpoints	Results
Meade et al (2003) ¹⁸ ,	84	INO 20 ppm 10 min after reperfusion vs. placebo gas mixture	Duration of mechanical ventilation from admission to intensive care unit to first successful extubation	No statistically significant difference in time to successful extubation (mean, 25.7 hours in INO group vs. 27.3 hours in control group; p=.76) No statistically significant differences in secondary outcomes (eg, severe reperfusion injury, time to hospital discharge, hospital mortality, 30-day mortality)
Perrin et al (2006) ¹⁹ ,	30	INO 20 ppm at reperfusion for 12 hours vs. no intervention	Not specified	No statistically significant differences between groups in outcomes (eg, intensive care unit length of stay, duration of ventilation, fluid balance during 24 hours after intensive care unit admission)
Botha et al (2007) ²⁰ ,	20	Prophylactic INO 20 ppm vs. standard gas mixture for 30 min of reperfusion	Not specified	No statistically significant differences between groups in development of grade II-III primary graft dysfunction or gas exchange

INO: Inhaled nitric oxide; RCT: randomized controlled trial.

Section Summary: Lung Transplantation

Three small RCTs have evaluated INO after lung transplantation, and none found statistically significant improvements in health outcomes. A systematic review of RCTs and observational studies concluded that available evidence did not support the routine use of INO after lung transplant.

Summary of Evidence

For individuals who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure who receive INO, the evidence includes RCTs and a systematic review. Relevant outcomes are OS, hospitalizations, resource utilization, and treatment-related morbidity.

Evidence from RCTs and a meta-analysis have supported the use of INO in term or late preterm infants. Pooled analyses of RCT data have found that use of INO significantly reduced the need for ECMO and the combined outcome of ECMO or death. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are neonates, are premature at birth, and have hypoxic respiratory failure who receive INO, the evidence includes RCTs and systematic reviews. Relevant outcomes are OS, hospitalizations, resource utilization, and treatment-related morbidity. A large number of RCTs have evaluated INO for premature neonates, and most trials have reported no significant difference for primary endpoints such as mortality and BPD. Meta-analyses of these RCTs have not found better survival rates in patients who received INO compared with a control intervention. Most meta-analyses also did not report improvements in other outcomes with INO (e.g., BPD, intracranial hemorrhage). The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults or children in acute hypoxemic respiratory failure who receive INO, the evidence includes RCTs and systematic reviews. Relevant outcomes are OS, hospitalizations, resource utilization, and treatment-related morbidity. A large number of RCTs have evaluated INO for treatment of acute hypoxemic respiratory failure. Meta-analyses of these RCTs have not found that INO significantly reduced mortality or shortened the duration of mechanical ventilation. Some evidence from a meta-analysis of 4 RCTs, a cohort study, and a separate meta-analysis has suggested that INO may be associated with an increased risk of renal impairment in patients with ARDS. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults or children with congenital heart disease who have had heart surgery who receive INO, the evidence includes RCTs and a systematic review. Relevant outcomes are OS, hospitalizations, resource utilization, and treatment-related morbidity. Evidence from a number of small RCTs and a systematic review of these trials did not find a significant benefit for INO on mortality and other health outcomes in the postoperative management of children with congenital heart disease. There is less evidence on INO for adults with congenital heart disease. One RCT found that treatment with INO did not improve the postoperative outcomes of adults with congestive heart failure. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who have a lung transplant who receive INO, the evidence includes RCTs and a systematic review. Relevant outcomes are OS, hospitalizations, resource utilization, and treatment-related morbidity. Several small RCTs have evaluated INO after lung transplantation; none found statistically significant improvements in health outcomes with INO. A systematic review of RCTs and observational studies concluded that available evidence did not support the routine use of INO after lung transplant. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

Supplemental Information

The purpose of the following information is to provide reference material. Inclusion does not imply endorsement or alignment with the evidence review conclusions.

Clinical Input From Physician Specialty Societies and Academic Medical Centers

While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

2021 Input

Clinical input was sought to help determine whether the use of inhaled nitric oxide (INO) for individuals with various conditions would provide a clinically meaningful improvement in net

health outcome and whether the use is consistent with generally accepted medical practice. In response to requests, clinical input on the use of INO was received from 4 respondents, including: 3 physician-level responses with academic affiliations identified through 1 specialty society and 1 physician-level response identified through BCBSA.

For individuals who are neonates, premature at birth, and have hypoxic respiratory failure, a limited quantity of clinical input indicated high confidence that the use of INO provides a clinically meaningful improvement in the net health outcome and is consistent with generally accepted medical practice. Cited evidence notes that the majority of randomized controlled trials (RCTs), and meta-analyses of these RCTs, have reported no significant difference with INO therapy for primary endpoints such as survival and bronchopulmonary dysplasia (BPD).

Guidelines from the American Heart Association/American Thoracic Society and an expert workshop consensus statement state that INO can be beneficial for a subset of preterm infants with severe hypoxemia that is primarily due to persistent pulmonary hypertension of the newborn physiology rather than parenchymal disease; however, this recommendation is based on case series. Limited quantity of clinical input and insufficient published evidence showing improved health outcomes provide insufficient support regarding the effect on net health outcome.

For individuals who are adults or children in acute hypoxemic respiratory failure, clinical input responses were mixed as to whether use of INO provides a clinically meaningful improvement in net health outcome. Clinical input indicates this use of INO is consistent with generally accepted medical practice, and some respondents suggested that INO is often used as a rescue therapy and bridge to extracorporeal membrane oxygenation (ECMO). Cited evidence notes improved physiologic outcomes such as transient improvement of oxygenation in the first 24 hours; however, the evidence does not demonstrate significant improvements in health outcomes such as overall mortality.

For individuals who are adults or children with congenital heart disease who have had heart surgery, clinical input responses indicate moderate confidence that the use of INO provides a clinically meaningful improvement in net health outcome and moderate to high confidence that this use is consistent with generally accepted medical practice. This appears to be based on cited evidence suggesting that INO can improve perioperative pulmonary hypertension; however, it is unclear that health outcomes are improved as no significant mortality benefit was observed in these patients. Further, some evidence suggests that use of INO may be associated with an increase in mortality for those without pulmonary hypertension.

For individuals with lung transplant, a limited quantity of clinical input respondents provided moderate to high confidence that use of INO during the perioperative period to manage pulmonary vascular resistance and pulmonary hypertension provides a clinically meaningful improvement in net health outcome and is consistent with generally accepted medical practice. Cited evidence includes small RCTs that found no statistically significant improvement in health outcomes with INO and cases series or non-randomized trials of a limited number of patients with inconsistent endpoints, suggesting that INO may decrease the incidence of graft rejection and dysfunction and potentially prevent reperfusion injury. Limited quantity of clinical input and insufficient published evidence showing improved health outcomes provide insufficient support regarding the effect on net health outcome.

2012 Input

Input was received from 2 physician specialty societies and 9 academic medical centers while this policy was under review in 2012. There was a consensus that INO may be considered medically necessary as a component of treatment of hypoxic respiratory failure in neonates born at more than 34 weeks of gestation. There was general agreement with the criterion in the Policy Guidelines section for hypoxic respiratory failure: an oxygenation index of at least 25 on 2 measurements made at least 15 minutes apart. Also, input was mixed on whether other

indications for INO should be considered investigational. Several reviewers stated that INO is clinically useful for the postoperative treatment of select patients with congenital heart disease. Also, clinician reviewers generally agreed that INO should be discontinued when ECMO is initiated. There was near-consensus agreement that prolonged use of INO (e.g., >1 to 2 weeks in near-term neonates) does not improve outcomes (i.e., beyond a transient improvement in oxygenation). However, there was a wide range of responses to the question on how long INO should be continued once initiated; most reviewers who responded cited an upper limit of not more than 2 weeks.

2010 Input

Input was received from 4 physician specialty societies and 5 academic medical centers while this policy was under review in 2010. Input was consistent in its agreement with the policy statements on the treatment of hypoxic respiratory failure in neonates born at 34 or more weeks of gestation and adults with acute respiratory distress syndrome (ARDS); it was mixed for the statement on premature neonates born at less than 34 weeks of gestation. There was no consensus among reviewers on potential additional medically necessary indications for INO therapy.

Practice Guidelines and Position Statements

Guidelines or position statements will be considered for inclusion in 'Supplemental Information' if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

Pediatric Academic Society

In April 2019, the Pediatric Academic Society convened a workshop regarding the role of INO in infants born preterm.²¹ The controversy surrounding its use in this patient population was reviewed by established experts in the field. The experts at the workshop concluded that the "rate of INO use in the infant born preterm is not declining, despite the publication of RCTs and related consensus statements that discourage its routine use due to lack of evidence for bronchopulmonary dysplasia prevention." These experts stated that "none of these studies or recommendations are based on its role in the management of persistent primary hypertension of the newborn in infants born preterm." In this setting, "extensive case series, guidelines, and others recommend the selective use of INO in infants born preterm with documented persistent primary hypertension of the newborn physiology as a contributing cause of hypoxemia, as best confirmed by echocardiography."

Pediatric Pulmonary Hypertension Network

In 2016, the Pediatric Pulmonary Hypertension Network (a network of clinicians, researchers, and centers) published recommendations on the use of INO in premature infants with severe pulmonary hypertension.²² Key recommendations included:

- (1) "iNO [inhaled nitric oxide] therapy should not be used in premature infants for the prevention of BPD [bronchopulmonary dysplasia], as multicenter studies data have failed to consistently demonstrate efficacy for this purpose.
- (2) iNO therapy can be beneficial for preterm infants with severe hypoxemia that is primarily due to PPHN [persistent pulmonary hypertension of the newborn] physiology rather than parenchymal lung disease, particularly if associated with prolonged rupture of membranes and oligohydramnios.
- (3) iNO is preferred over other pulmonary vasodilators in preterm infants based on a strong safety signal from short- and long-term follow-up of large numbers of patients from multicenter randomized clinical trials for BPD prevention..."

National Institutes of Health

The National Institutes of Health (2011) published a consensus development conference statement on INO for premature infants,²³ which was based on the Agency for Healthcare

Research and Quality–sponsored systematic review of the literature, previously described.⁴ Conclusions included:

“Taken as a whole, the available evidence does not support use of INO (inhaled NO) in early-routine, early-rescue, or later-rescue regimens in the care of premature infants of <34 weeks’ gestation who require respiratory support.”

“There are rare clinical situations, including pulmonary hypertension or hypoplasia, that have been inadequately studied in which INO may have benefit in infants of <34 weeks’ gestation. In such situations, clinicians should communicate with families regarding the current evidence on its risks and benefits as well as remaining uncertainties.”

American Heart Association/American Thoracic Society

The American Heart Association and American Thoracic Society (2015) published guidelines on the management of pediatric pulmonary hypertension.²⁴ Relevant recommendations related to INO included:

- “Inhaled nitric oxide (iNO) is indicated to reduce the need for extracorporeal membrane oxygenation (ECMO) support in term and near-term infants with persistent pulmonary hypertension of the newborn (PPHN) or hypoxemic respiratory failure who have an oxygenation index that exceeds 25 (Class I; Level of evidence A).”
- “iNO can be beneficial for preterm infants with severe hypoxemia that is due primarily to PPHN physiology rather than parenchymal lung disease, particularly if associated with prolonged rupture of membranes and oligohydramnios (Class IIa; Level of evidence B).”

American Academy of Pediatrics

In 2014, the American Academy of Pediatrics provided the following recommendations on the use of INO in premature infants (Table 13).²⁵

Table 13. Guidelines on Use of INO for Premature Infants

Recommendation	QOE	GOR
“Neither rescue nor routine use of iNO improves survival in preterm infants with respiratory failure.”	A	Strong
“The preponderance of evidence does not support treating preterm infants who have respiratory failure with INO for the purpose of preventing/ameliorating BPD, severe intraventricular hemorrhage, or other neonatal morbidities.”	A	Strong
“The incidence of cerebral palsy, neurodevelopmental impairment, or cognitive impairment in preterm infants treated within INO is similar to that of control infants.”	A	NR

BPD: bronchopulmonary dysplasia; GOR: grade of recommendation; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

National Institute for Health and Care Excellence

In April 2019, NICE issued a guidance on specialist neonatal respiratory care for preterm infants.²⁶ The guidance recommends against the routine use of INO for preterm infants who need respiratory support for respiratory distress syndrome, unless there are other indications such as pulmonary hypoplasia or pulmonary hypertension.

U.S. Preventive Services Task Force Recommendations

Not applicable.

Medicare National Coverage

There is no national coverage determination. In the absence of a national coverage determination, coverage decisions are left to the discretion of local Medicare carriers.

Ongoing and Unpublished Clinical Trials

Some currently ongoing and unpublished trials that might influence this review are listed in Table 14.

Table 14. Summary of Key Trials

NCT No.	Trial Name	Planned Enrollment	Completion Date
Ongoing			
NCT00515281	Inhaled Nitric Oxide and Neuroprotection in Premature Infants (NOVA2)	484	Jun 2021
NCT03661385	A Randomised Controlled Trial of Nitric Oxide Administration During Cardiopulmonary Bypass in Infants Undergoing Arterial Switch Operation for Repair of Transposition of the Great Arteries (NASO)	800	Dec 2021 (recruiting)
NCT02836899	Prevention of Acute Kidney Injury by Nitric Oxide in Prolonged Cardiopulmonary Bypass. A Double Blind Controlled Randomized Trial in Cardiac Surgical Patients With Endothelial Dysfunction (MGHK23)	250	Dec 2022
NCT04306393	Nitric Oxide Gas Inhalation Therapy for Mechanically Ventilated Patients With Severe Acute Respiratory Syndrome Caused by SARS-CoV2: a Randomized Clinical Trial (NOSARSCOVID)	200	Dec 2022
NCT04305457	Nitric Oxide Gas Inhalation Therapy in Spontaneous Breathing Patients With Mild/Moderate COVID-19: a Randomized Clinical Trial (NoCovid)	70	Apr 2022

NCT: national clinical trial.

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Documentation for Clinical Review

Please provide the following documentation:

- History and physical and/or consultation notes including:
 - Reason for needing iNO including diagnosis and circumstances
 - Previous treatment(s) and response(s)
 - MD progress notes and orders (specific to inhaled nitric oxide therapy) with documentation of ongoing need for each day of use
 - Inhalation/respiratory therapy notes (specific to inhaled nitric oxide therapy) including:
 - Arterial blood gases (ABGs)
 - Nitric oxide administration

- Oxygenation indices
- Pulse oximetry records

Post Service (in addition to the above, please include the following):

- Discharge summary (if available)

Coding

This Policy relates only to the services or supplies described herein. Benefits may vary according to product design; therefore, contract language should be reviewed before applying the terms of the Policy.

The following codes are included below for informational purposes. Inclusion or exclusion of a code(s) does not constitute or imply member coverage or provider reimbursement policy. Policy Statements are intended to provide member coverage information and may include the use of some codes for clarity. The Policy Guidelines section may also provide additional information for how to interpret the Policy Statements and to provide coding guidance in some cases.

Type	Code	Description
CPT®	None	
HCPCS	None	

Policy History

This section provides a chronological history of the activities, updates and changes that have occurred with this Medical Policy.

Effective Date	Action
03/30/2012	New Policy adoption
01/11/2013	Policy revision without position change
04/16/2014	Policy revision without position change
06/30/2015	Coding update
07/01/2016	Policy revision without position change
07/01/2017	Policy revision without position change
07/01/2018	Policy revision without position change
08/01/2019	Policy revision without position change
07/01/2020	Annual review. No change to policy statement. Literature review updated.
07/01/2021	Annual review. No change to policy statement. Policy guidelines and literature review updated.
07/01/2022	Annual review. Policy statement, guidelines and literature updated.

Definitions of Decision Determinations

Medically Necessary: Services that are Medically Necessary include only those which have been established as safe and effective, are furnished under generally accepted professional standards to treat illness, injury or medical condition, and which, as determined by Blue Shield, are: (a) consistent with Blue Shield medical policy; (b) consistent with the symptoms or diagnosis; (c) not furnished primarily for the convenience of the patient, the attending Physician or other provider; (d) furnished at the most appropriate level which can be provided safely and effectively to the patient; and (e) not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of the Member's illness, injury, or disease.

Investigational/Experimental: A treatment, procedure, or drug is investigational when it has not been recognized as safe and effective for use in treating the particular condition in accordance with generally accepted professional medical standards. This includes services where approval by the federal or state governmental is required prior to use, but has not yet been granted.

Split Evaluation: Blue Shield of California/Blue Shield of California Life & Health Insurance Company (Blue Shield) policy review can result in a split evaluation, where a treatment, procedure, or drug will be considered to be investigational for certain indications or conditions, but will be deemed safe and effective for other indications or conditions, and therefore potentially medically necessary in those instances.

Prior Authorization Requirements (as applicable to your plan)

Within five days before the actual date of service, the provider must confirm with Blue Shield that the member's health plan coverage is still in effect. Blue Shield reserves the right to revoke an authorization prior to services being rendered based on cancellation of the member's eligibility. Final determination of benefits will be made after review of the claim for limitations or exclusions.

Questions regarding the applicability of this policy should be directed to the Prior Authorization Department at (800) 541-6652, or the Transplant Case Management Department at (800) 637-2066 ext. 3507708 or visit the provider portal at www.blueshieldca.com/provider.

Disclaimer: This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. Blue Shield of California may consider published peer-reviewed scientific literature, national guidelines, and local standards of practice in developing its medical policy. Federal and state law, as well as contract language, including definitions and specific contract provisions/exclusions, take precedence over medical policy and must be considered first in determining covered services. Member contracts may differ in their benefits. Blue Shield reserves the right to review and update policies as appropriate.

Appendix A

POLICY STATEMENT	
BEFORE	AFTER <u>Blue font: Verbiage Changes/Additions</u>
<p>Inhaled Nitric Oxide 8.01.37</p> <p>Policy Statement: Inhaled nitric oxide (INO) may be considered medically necessary as a component of treatment of:</p> <ul style="list-style-type: none"> I. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation. <p>Other indications for inhaled nitric oxide are investigational, including, but not limited to:</p> <ul style="list-style-type: none"> I. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure II. Treatment of adults and children with acute hypoxemic respiratory failure III. Postoperative use in adults and children with congenital heart disease IV. In lung transplantation, during and/or after graft reperfusion 	<p>Inhaled Nitric Oxide 8.01.37</p> <p>Policy Statement:</p> <ul style="list-style-type: none"> I. Inhaled nitric oxide (INO) may be considered medically necessary as a component of treatment of: <ul style="list-style-type: none"> A. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation. II. Other indications for inhaled nitric oxide are considered investigational, including, but not limited to: <ul style="list-style-type: none"> A. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure B. Treatment of adults and children with acute hypoxemic respiratory failure C. Postoperative use in adults and children with congenital heart disease D. In lung transplantation, during and/or after graft reperfusion