

| 8.01.37 Inhaled Nitric Oxide | | | |
|------------------------------|----------------|-----------------|--------------|
| Original Policy Date: | March 30, 2012 | Effective Date: | July 1, 2023 |
| Section: | 8.0 Therapy | Page: | Page 1 of 33 |

Policy Statement

- I. Inhaled nitric oxide (INO) may be considered **medically necessary** as a component of treatment of:
 - A. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation
- II. Other indications for inhaled nitric oxide are considered **investigational**, including, but not limited to:
 - A. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure
 - B. Treatment of adults and children with acute hypoxemic respiratory failure
 - C. Postoperative use in adults and children with congenital heart disease
 - D. In lung transplantation, during and/or after graft reperfusion

NOTE: Refer to [Appendix A](#) to see the policy statement changes (if any) from the previous version.

Policy Guidelines

Inhaled nitric oxide (INO) appears to be of greatest benefit to neonates born at more than 34 weeks for whom primary or secondary pulmonary hypertension is a component of hypoxic respiratory failure.

The benefit of INO appears limited in term or near-term infants whose hypoxic respiratory failure is due to diaphragmatic hernia, unless there is associated pulmonary hypertension.

Hypoxic Respiratory Failure

The following criterion for hypoxic respiratory failure has been reported:

- An oxygenation index (OI) of at least 25 on 2 measurements made at least 15 minutes apart.

(The OI is calculated as the mean airway pressure times the fraction of inspired oxygen divided by the partial pressure of arterial oxygen times 100. An OI of 25 is associated with a 50% risk of requiring extracorporeal membrane oxygenation [ECMO] or dying. An OI of 40 or more is often used as a criterion to initiate ECMO therapy.)

If ECMO is initiated in near-term neonates, INO should be discontinued because there is no benefit to combined treatment.

The U.S. Food and Drug Administration Approval for INOmax™

INOmax™ (Ikaria®, Clinton, NJ) is a commercially available inhaled nitric oxide product approved by the U.S. Food and Drug Administration (FDA) for the following indication: INOmax, in conjunction with ventilatory support and other appropriate agents, is indicated for the treatment of term and near-term (greater than 34 weeks) neonates with hypoxic respiratory failure associated with clinical or echocardiographic evidence of pulmonary hypertension.

Description

Inhaled nitric oxide (INO) is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic

respiratory failure to improve oxygenation and reduce the need for invasive extracorporeal membrane oxygenation (ECMO). It is also proposed as a treatment for premature infants, critically ill children, and adults with respiratory failure, as well as in the postoperative management of children undergoing repair of congenital heart disease and patients after lung transplantation to prevent or reduce reperfusion injury.

Related Policies

- N/A

Benefit Application

Benefit determinations should be based in all cases on the applicable contract language. To the extent there are any conflicts between these guidelines and the contract language, the contract language will control. Please refer to the member's contract benefits in effect at the time of service to determine coverage or non-coverage of these services as it applies to an individual member.

Some state or federal mandates (e.g., Federal Employee Program [FEP]) prohibits plans from denying Food and Drug Administration (FDA)-approved technologies as investigational. In these instances, plans may have to consider the coverage eligibility of FDA-approved technologies on the basis of medical necessity alone.

Regulatory Status

In 1999, INOmax™ (Ikaria) was cleared for marketing by the U.S. Food and Drug Administration (FDA) through the 510(k) process for the following indication: "INOmax, in conjunction with ventilatory support and other appropriate agents, is indicated for the treatment of term and near-term (>34 weeks) neonates with hypoxic respiratory failure associated with clinical or echocardiographic evidence of pulmonary hypertension." In 2015, Mallinckrodt acquired Ikaria.

In 2014, Advanced Inhalation Therapies received orphan drug designation for its proprietary formulation of nitric oxide as an adjunctive treatment of cystic fibrosis.

In 2019, Genosyl® (nitric oxide for inhalation; Vero Biotech, LLC) received FDA approval to "improve oxygenation and reduce the need for extracorporeal membrane oxygenation in term and near-term (>34 weeks gestation) neonates with hypoxic respiratory failure associated with clinical or echocardiographic evidence of pulmonary hypertension." In April 2021, the GENOSYL DS Nitric Oxide Delivery System was recalled due to a software issue that leads to errors in the delivery of nitric oxide. For impacted devices, the issue was corrected with the release of Software 2.2.4.¹

In 2020, FDA granted emergency expanded access for INOpulse (Bellerophon Therapeutics) inhaled nitric oxide delivery system for treating COVID-19.

Rationale

Background

Hypoxic Respiratory Failure

Hypoxic respiratory failure may result from respiratory distress syndrome, persistent pulmonary hypertension, meconium aspiration, pneumonia, or sepsis.

Treatment

Treatment typically includes oxygen support, mechanical ventilation, induction of alkalosis, neuromuscular blockade, or sedation.

Extracorporeal membrane oxygenation (ECMO) is an invasive technique that may be considered in neonates when other therapies fail. Inhaled nitric oxide (INO) is both a vasodilator and a mediator in many physiologic and pathologic processes. Inhaled nitric oxide has also been proposed for use in preterm infants less than 34 weeks of gestation and in adults.

Also, there are several potential uses in surgery. One is the proposed use of INO to manage pulmonary hypertension after cardiac surgery in infants and children with congenital heart disease. In congenital heart disease patients, increased pulmonary blood flow can cause pulmonary hypertension. Cardiac surgery can restore the pulmonary vasculature to normal, but there is the potential for complications, including postoperative pulmonary hypertension, which can prevent weaning from ventilation and is associated with substantial morbidity and mortality. Another potential surgical application is the use of INO in lung transplantation to prevent or reduce reperfusion injury.

Literature Review

Evidence reviews assess the clinical evidence to determine whether the use of a technology improves the net health outcome. Broadly defined, health outcomes are length of life, quality of life, and ability to function, including benefits and harms. Every clinical condition has specific outcomes that are important to patients and to managing the course of that condition. Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of a technology, 2 domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial (RCT) is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. Randomized controlled trials are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.

Promotion of greater diversity and inclusion in clinical research of historically marginalized groups (e.g., People of Color [African-American, Asian, Black, Latino and Native American]; LGBTQIA (Lesbian, Gay, Bisexual, Transgender, Queer, Intersex, Asexual); Women; and People with Disabilities [Physical and Invisible]) allows policy populations to be more reflective of and findings more applicable to our diverse members. While we also strive to use inclusive language related to these groups in our policies, use of gender-specific nouns (e.g., women, men, sisters, etc.) will continue when reflective of language used in publications describing study populations.

**Hypoxic Respiratory Failure in Term or Late Preterm Neonates
Clinical Context and Therapy Purpose**

The purpose of inhaled nitric oxide (INO) is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure.

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are neonates, are term or late preterm at birth, and have hypoxic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic respiratory failure to improve oxygenation and reduce the need for invasive extracorporeal membrane oxygenation (ECMO). In late preterm neonates, INO primarily functions as a vasodilator to treat pulmonary hypertension, often due to meconium aspiration or bacterial pneumonia. However, in earlier preterm neonates with respiratory failure, pulmonary hypertension with shunting is less of a risk. Therefore, these 2 groups of neonates represent distinct clinical issues, and the results of INO in late preterm neonates cannot be extrapolated to preterm neonates. Also, the risk of intraventricular hemorrhage associated with INO is higher in premature infants.

Comparators

The following practice is currently being used to treat hypoxic respiratory failure in term or late preterm neonates: standard neonatal specialty care without INO.

Outcomes

The general outcomes of interest are overall survival (OS), hospitalizations, resource utilization, and treatment-related morbidity (Table 1).

Table 1. Outcomes of Interest

| Outcomes | Details | Timing |
|-----------------------------|---|--------------------|
| Resource utilization | Evaluated through outcomes such as requirement for ECMO before hospital discharge | 1 week to 6 months |
| Treatment-related morbidity | Evaluated through outcomes such as rates of adverse events including BPD and severe intracranial hemorrhage | 1 week to 6 months |

BPD: bronchopulmonary dysplasia; ECMO: extracorporeal membrane oxygenation.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence**Systematic Reviews**

A number of RCTs and a Cochrane review of RCT data on INO in infants with hypoxia born at or late preterm (>34 weeks of gestation) have been published. The Cochrane review, last updated by Barrington et al (2017), identified 17 trials.² Ten trials compared INO with a control (placebo or standard neonatal intensive care without INO) in infants who had moderately severe illness scores. One trial permitted backup treatment with INO and 2 enrolled only infants with a diaphragmatic hernia. Another 6 trials included infants with moderately severe disease and compared immediate INO with INO only when infants' conditions deteriorated to a more severe illness. The remaining trial compared INO with high-frequency ventilation. In all trials, hypoxemic respiratory failure was required for study entry, and most also required echocardiographic evidence of persistent pulmonary

hypertension. The main findings of the meta-analysis are provided in Table 2. Only findings of trials that did not allow backup INO or were not limited to patients with a diaphragmatic hernia are presented; there were too few studies on other subgroups to permit meaningful meta-analysis.

Table 2. Main Cochrane Findings on INO in Term or Near-Term Infants

| No. of Trials | N | Outcomes | Relative Risk | 95% CI | p | <i>I</i> ² | QOE ^a |
|---------------|-----|---------------------------------|---------------|--------------|-------|-----------------------|------------------|
| 8 | 860 | Death before hospital discharge | 0.89 | 0.60 to 1.31 | .55 | 0% | High |
| 7 | 815 | ECMO before hospital discharge | 0.60 | 0.50 to 0.71 | <.001 | 0% | High |
| 8 | 859 | Death or requirement of ECMO | 0.66 | 0.57 to 0.77 | <.001 | 0% | High |

Adapted from Barrington et al (2017).²

CI: confidence interval; ECMO: extracorporeal membrane oxygenation; INO: inhaled nitric oxide; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Reviewers found that INO in hypoxic infants significantly reduced the incidence of the combined endpoint of death or the need for ECMO compared with controls, in studies that did not allow INO backup in controls. Inhaled nitric oxide did not have a statistically significant effect on mortality when analyzed as the sole outcome measure; however, there was a significant effect of INO on the need for ECMO only. The analysis of mortality alone may have been underpowered.

Section Summary: Hypoxic Respiratory Failure in Term or Late Preterm Neonates

Evidence from RCTs and a meta-analysis of RCTs has supported the use of INO in term or late preterm infants to improve the net health outcome. Pooled analyses of RCT data have found that INO leads to a significant reduction in the combined outcome of ECMO or death and a significant reduction of ECMO use before hospital discharge.

Hypoxic Respiratory Failure in Premature Neonates

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are neonates, are premature at birth, and have hypoxic respiratory failure.

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are neonates, are premature at birth, and have hypoxic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure. Most commonly, it is used as an initial treatment for neonates with hypoxic respiratory failure to improve oxygenation and reduce the need for invasive ECMO.

Comparators

The following practice is currently being used to treat hypoxic respiratory failure in premature neonates: standard neonatal intensive care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity (Table 3).

Table 3. Outcomes of Interest

| Outcomes | Details | Timing |
|------------------------------------|---|--------------------|
| Resource utilization | Evaluated through outcomes such as utilization of ECMO before hospital discharge | 1 week to 6 months |
| Treatment-related morbidity | Evaluated through outcomes such as rates of adverse events including BPD and severe intracranial hemorrhage | 1 week to 6 months |

BPD: bronchopulmonary dysplasia; ECMO: extracorporeal membrane oxygenation.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

Numerous systematic reviews and RCTs on INO for treating hypoxic respiratory failure in preterm neonates have been published. A Cochrane review by Barrington et al (2017) identified 17 RCTs on the efficacy of INO for treating premature infants (i.e., <35 weeks of gestation) with respiratory disease.³ The main findings of the meta-analysis are provided in Table 4. Results are reported separately for studies with entry before 3 days based on oxygenation, studies with entry after 3 days based on oxygenation and bronchopulmonary dysplasia (BPD) risk, and studies of routine use of INO in premature infants on respiratory support. Pooled analyses of 3 or more studies are shown.

Table 4. Main Cochrane Findings on INO in Preterm Infants

| No. of Trials | N | Outcomes | Relative Risk | 95% CI | p | I ² | QOE ^a |
|--|------|----------------------------------|---------------|--------------|------|----------------|------------------|
| Death before hospital discharge | | | | | | | |
| 10 | 1066 | Studies with entry before 3 days | 1.02 | 0.89 to 1.18 | .75 | 3% | High |
| 3 | 1075 | Studies with entry after 3 days | 1.18 | 0.81 to 1.71 | .39 | 0% | High |
| 4 | 1924 | Studies of routine use | 0.90 | 0.74 to 1.10 | .32 | 50% | Moderate |
| BPD at 36 weeks of gestation | | | | | | | |
| 8 | 681 | Studies with entry before 3 days | 0.89 | 0.76 to 1.04 | .13 | 29% | NR |
| 3 | 990 | Studies with entry after 3 days | 0.91 | 0.83 to 1.01 | .068 | 11% | NR |
| 4 | 1782 | Studies of routine use | 0.95 | 0.85 to 1.05 | .32 | 10% | NR |
| BPD or death at 36 weeks of gestation | | | | | | | |
| 8 | 957 | Studies with entry before 3 days | 0.94 | 0.87 to 1.01 | .084 | 26% | High |
| 3 | 1075 | Studies with entry after 3 days | 0.92 | 0.85 to 1.01 | .079 | 51% | High |
| 4 | 1924 | Studies of routine use | 0.94 | 0.87 to 1.02 | .12 | 11% | High |

Adapted from Barrington et al (2017).³

BPD: bronchopulmonary dysplasia; CI: confidence interval; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Reviewers found that use of INO in premature infants with respiratory failure did not significantly improve individual outcomes (e.g., death before hospital discharge, BPD at 36 weeks of

postmenstrual age) or the combined outcome (BPD or death at 36 weeks of postmenstrual age). Findings were not statistically significant in subgroups of studies that enrolled patients before 3 days old, enrolled patients after 3 days, and that used INO routinely. A fourth primary outcome (intraventricular hemorrhage) was only pooled in studies with entry before 3 days, and again did not find a significant benefit of INO versus control (relative risk [RR], 0.94; 95% confidence interval [CI], 0.69 to 1.28).

A meta-analysis by Yang et al (2016) identified 22 trials comparing INO with a control intervention in preterm infants.⁴ Reviewers did not define "preterm" as used to identify studies, beyond use of the keyword in literature searches. A pooled analysis of all 22 studies did not find a significant difference between groups in mortality (RR, 1.00; 95% CI, 0.92 to 1.09). There was also no significant difference between INO and control in the rate of severe intracranial hemorrhage in a pooled analysis of 17 studies (RR, 0.99; 95% CI, 0.83 to 1.16). However, a pooled analysis of 20 studies did find a significantly lower rate of BPD in the INO groups than in the control groups (RR, 0.88; 95% CI, 0.82 to 0.95). Reviewers noted that their findings on BPD differed from those in other meta-analyses and suggested that the difference might have been due to their inclusion of Chinese-language studies.

Previously, an Agency for Healthcare Research and Quality-sponsored systematic review by Donohue et al (2011) of randomized trials on INO for premature infants (<35 weeks gestation) was published.⁵ Thirty-one articles were initially selected; the authors included 14 unique RCTs. Regardless of how mortality was reported or defined (e.g., death ≤ 7 days or ≤ 28 days, or death in the neonatal intensive care unit), there were no statistically significant differences between the INO group and the control group in any of the 14 RCTs or pooled analyses of these RCTs. For example, in a pooled analysis of 11 trials that reported death by 36 weeks of postmenstrual age or in the neonatal intensive care unit, the RR was 0.97 (95% CI, 0.82 to 1.15). Twelve trials reported data on BPD at 36 weeks of postmenstrual age, and despite variations in reporting of BPD, there was no significant benefit of INO treatment in any trial. A pooled analysis of data from 8 trials reporting BPD at 36 weeks of postmenstrual age among survivors found a RR of 0.93 (95% CI, 0.86 to 1.00).

Randomized Controlled Trials

The largest trial to date was published by Mercier et al (2010).⁶ This multicenter industry-sponsored study, known as the European Union Nitric Oxide (EUNO) trial, evaluated low-dose INO therapy. Of 800 patients, 792 (99%) received their assigned treatment, and all 800 were included in the intention-to-treat analysis. Primary outcomes were survival without BPD at 36 weeks of postmenstrual age, OS at 36 weeks of postmenstrual age, and BPD at 36 weeks of postmenstrual age. The number of patients with BPD at 36 weeks of postmenstrual age was 81 (24%) in the INO group and 96 (27%) in the control group (RR, 0.83; 95% CI, 0.58 to 1.17; $p=.29$). The secondary endpoint (survival without brain injury at gestational age 36 weeks) also did not differ significantly between groups (RR, 0.78; 95% CI, 0.53 to 1.17; $p=.23$). This endpoint was attained by 181 (69%) patients in the INO group and 188 (76%) patients in the placebo group. The most common adverse event was intracranial hemorrhage, which affected 114 (29%) patients in the INO group and 91 (23%) patients in the control group (p value not reported).

Durrmeyer et al (2013) published 2-year outcomes of the EUNO trial.⁷ Of the original 800 patients, 737 (92%) were evaluable at this time point. There were also no statistically significant differences between groups in other outcomes (e.g., hospitalization rates, use of respiratory medications, growth). At 7 years of follow-up, 305 patients were available for evaluation, with no deaths reported from the end of the 2-year follow-up to the 7-year follow-up and no significant differences in any questionnaire-documented health outcomes between groups.⁸ Tables 5 and 6 summarize the key characteristics and results of the EUNO trial and its 2- and 7-year follow-ups.

Table 5. Summary of Key RCT Characteristics

| Study; Trial | Countries | Sites | Dates | Participants | Interventions | |
|-------------------------------------|-----------|-------|-----------|---|-----------------------------|---|
| Mercier (2010); EUNO ⁶ | EU | 35 | 2005-2008 | Preterm infants (between 24 and 28 weeks gestational age) weighing ≥ 500 g and requiring surfactant within 24 hours of birth | Active INO 5 ppm (n=399) | Comparator Placebo-equivalent nitrogen gas (n=401) |
| Durrmeyer (2013); EUNO ⁷ | EU | 35 | 2005-2008 | Infants born at <29 weeks gestational age with moderate respiratory failure | INO 5 ppm (n=306) | Placebo-equivalent nitrogen gas (n=324) |
| Greenough (2021); EUNO ⁸ | EU | 24 | 2005-2008 | Preterm infants (between 24 and 28 weeks gestational age) weighing ≥ 500 g and requiring surfactant within 24 hours of birth | INO 5 ppm (n=152) | Placebo-equivalent nitrogen gas (n=153) |

EU: European Union; EUNO: European Union Nitric Oxide trial; INO: inhaled nitric oxide; RCT: randomized controlled trial.

Table 6. Summary of Key RCT Results

| Study | Survival Outcomes | Adverse Events |
|-------------------------------------|--|---|
| Mercier (2010); EUNO ⁶ | OS at 36 weeks postmenstrual age | Serious adverse events^a |
| INO | 343 (86%) | 158 (40%) |
| Placebo | 359 (90%) | 164 (41%) |
| RR; 95% CI; p-value | 0.74; 0.48 to 1.15;.21 | NR; NR;.72 |
| | Survival without BPD at 36 weeks postmenstrual age | |
| INO | 258 (65%) | |
| Placebo | 262 (66%) | |
| RR; 95% CI; p-value | 1.05; 0.78 to 1.43;.73 | |
| Durrmeyer (2013); EUNO ⁷ | OS between 36 weeks postmenstrual age and 2 years | |
| INO | 391 (99%) | |
| Placebo | 390 (98.2%) | |
| RR; 95% CI; p-value | NR; NR; NR | |
| | Survival without severe or moderate disability at 2 years | |
| INO | 244 (79.7%) | |
| Placebo | 270 (83.3%) | |
| RR; 95% CI; p-value | NR; NR;.29 | |
| Greenough (2021); EUNO ⁸ | Hospitalization rates - end of 2 years to the 7-year follow-up | |
| INO | 44 (28.9%) | |
| Placebo | 53 (34.6%) | |
| p-value | .29 | |
| | Proportion of patients using respiratory medications at 7 years | |
| INO | 10 (6.6%) | |
| Placebo | 14 (9.2%) | |
| p-value | .40 | |

AEs: adverse events; BPD: bronchopulmonary dysplasia; CI: confidence interval; EUNO: European Union Nitric Oxide trial; INO: inhaled nitric oxide; NR: not reported; OS: overall survival; RCT: randomized controlled trial; RR: risk ratio.

^aSerious AEs included intraventricular hemorrhage, periventricular leukomalacia, patent ductus arteriosus, pneumothorax, pulmonary hemorrhage, necrotizing enterocolitis, and sepsis.

The purpose of the study design and conduct limitation table (Table 7) is to display notable limitations identified in each study. This information is synthesized as a summary of the body of evidence following each table and provides the conclusions on the sufficiency of evidence supporting the position statement. No relevance limitations were noted from these trials.

Table 7. Study Design and Conduct Limitations

| Study | Allocation ^a | Blinding ^b | Selective Reporting ^c | Data Completeness ^d | Power ^e | Statistical ^f |
|-------------------------------------|-----------------------------------|-----------------------|----------------------------------|--------------------------------|--------------------|---|
| Mercier (2010); EUNO ⁶ | 3. Allocation concealment unclear | | | | | |
| Durrmeyer (2013); EUNO ⁷ | 3. Allocation concealment unclear | | | | | 3. Confidence intervals not reported for all outcomes |
| Greenough (2021); EUNO ⁸ | 3. Allocation concealment unclear | | | | | 3. Confidence intervals not reported |

EUNO: European Union Nitric Oxide trial.

The evidence limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment.

^a Allocation key: 1. Participants not randomly allocated; 2. Allocation not concealed; 3. Allocation concealment unclear; 4. Inadequate control for selection bias.

^b Blinding key: 1. Not blinded to treatment assignment; 2. Not blinded outcome assessment; 3. Outcome assessed by treating physician.

^c Selective Reporting key: 1. Not registered; 2. Evidence of selective reporting; 3. Evidence of selective publication.

^d Data Completeness key: 1. High loss to follow-up or missing data; 2. Inadequate handling of missing data; 3. High number of crossovers; 4. Inadequate handling of crossovers; 5. Inappropriate exclusions; 6. Not intent to treat analysis (per protocol for noninferiority trials).

^e Power key: 1. Power calculations not reported; 2. Power not calculated for primary outcome; 3. Power not based on clinically important difference.

^f Statistical key: 1. Analysis is not appropriate for outcome type: (a) continuous; (b) binary; (c) time to event; 2. Analysis is not appropriate for multiple observations per patient; 3. Confidence intervals and/or p values not reported; 4. Comparative treatment effects not calculated.

Section Summary: Hypoxic Respiratory Failure in Premature Neonates

A large number of RCTs have evaluated INO for premature neonates, and most trials have reported no significant differences in primary endpoints such as mortality and BPD. Meta-analyses of these RCTs have not found better survival rates in patients who receive INO compared with a control intervention. Most meta-analyses also did not find other outcomes (e.g., BPD, intracranial hemorrhage) were improved by INO.

Acute Hypoxemic Respiratory Failure in Adults and Children Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are adults or children in acute hypoxemic respiratory failure.

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are adults or children in acute hypoxemic respiratory failure.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat acute hypoxemic respiratory failure in adults and children: standard medical intensive care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity (Table 8).

Table 8. Outcomes of Interest

| Outcomes | Details | Timing |
|------------------------------------|--|--------------------|
| Treatment-related morbidity | Evaluated through outcomes such as rates of adverse events including renal dysfunction | 1 week to 6 months |

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence**Systematic Reviews**

Several meta-analyses and RCTs have evaluated the efficacy of INO for treating acute respiratory distress syndrome (ARDS) and acute lung injury (together known as acute hypoxemic respiratory failure). A Cochrane review by Gebistorf et al (2016) identified 14 RCTs comparing INO with control interventions in adults and children with ARDS.⁹ The primary objective of the review was to evaluate the effects of INO on mortality, which was measured in several ways. The main findings of the meta-analysis are provided in Table 9.

Table 9. Main Cochrane Findings on INO in Patients With ARDS

| No. of Trials | N | Outcomes | Relative Risk | 95% CI | p | I ² | QOE ^a |
|---------------|------|---|---------------|--------------|-----|----------------|------------------|
| 11 | 1243 | Overall mortality | 1.04 | 0.90 to 1.19 | .63 | 0% | Moderate |
| 9 | 1105 | Mortality at 28–30 days | 1.08 | 0.92 to 1.27 | .36 | 0% | Moderate |
| | | Overall mortality stratified by age group | | | | | |
| 3 | 185 | Pediatric | 0.78 | 0.51 to 1.18 | .24 | 22% | Moderate |
| 10 | 1085 | Adult | 1.09 | 0.93 to 1.25 | .32 | 0% | NR |

Adapted from Gebistorf et al (2016).⁹

ARDS: acute respiratory distress syndrome; CI: confidence interval; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

^a QOE assessed using the GRADE tool.

Inhaled nitric oxide was not found to significantly improve mortality when used to treat ARDS. Other outcomes (e.g., mean number of ventilator days, duration of mechanical ventilation) also did not differ significantly between groups. Regarding potential harms associated with INO use in this population, a pooled analysis of 4 trials found a significantly higher rate of renal impairment in groups treated with INO than with a control intervention (RR, 1.59; 95% CI, 1.17 to 2.16).

Other systematic reviews and meta-analyses have reported similar findings on mortality.^{10,11} For example, a systematic review by Adhikari et al (2014) identified 9 RCTs conducted with adults or children (other than neonates) in which at least 80% of patients, or a separately reported subgroup, had ARDS.¹⁰ The trials selected compared INO with placebo or no gas, used INO as a treatment of ARDS (i.e., not a preventive measure), and had less than 50% crossover between groups. Findings were not stratified by adult and pediatric populations. A pooled analysis of data from the 9 trials (N=1142 patients) found no statistically significant benefit of INO on mortality (RR, 1.10; 95% CI, 0.94 to 1.29; $p=.24$). In a preplanned subgroup analysis, INO did not reduce mortality in patients with severe ARDS (baseline partial pressure of oxygen, arterial [P_{aO_2}]/fraction of expired oxygen [F_{iO_2}] ≤ 100 mm Hg) or patients with mild-to-moderate ARDS (baseline $P_{aO_2}/F_{iO_2} > 100$ mm Hg).

A systematic review by Prakash et al (2021) reviewed the impact of INO compared to standard of care in the treatment of severe ARDS in the context of the 2019 Coronavirus disease (COVID-19).¹² The review included 14 retrospective or prospective studies including 423 patients (range, 5 to 169). Racial and ethnic demographics of patients included in these studies were not described. Across these studies, INO demonstrated a slight increase in oxygenation, but appeared to have no impact on mortality.

Adverse Events

A cohort study by Ruan et al (2016) evaluated the risk of renal dysfunction in patients with ARDS treated using INO.¹³ Using electronic medical record data from a teaching hospital, 547 patients with ARDS were identified. Among these patients, 216 had been treated with and 331 without INO. The 30-day incidence of renal replacement therapy was 34% in the INO group and 23% in the non-INO group. In the final propensity-matched analysis, there was a significantly higher risk of need for renal replacement therapy in the INO group than in the non-INO group (hazard ratio, 1.59; 95% CI, 1.08 to 2.34; $p=.02$). Similarly, in a meta-analysis of 15 RCTs involving 1853 patients, INO therapy was associated with a significant increase in the risk of acute kidney injury in patients with ARDS (RR, 1.55; 95% CI, 1.15 to 2.10; $p=.004$).¹⁴

Section Summary: Acute Hypoxemic Respiratory Failure in Adults and Children

A large number of RCTs have evaluated INO for treatment of acute hypoxemic respiratory failure in adults and children. Meta-analyses of these RCTs have not found that INO significantly reduced mortality or shortened the duration of mechanical ventilation. Moreover, subgroup analysis by age group in a 2016 Cochrane review did not find a significant benefit of INO on mortality in either pediatric or adult studies. There is evidence from a meta-analysis of 4 RCTs included in the Cochrane review and from a cohort study and separate meta-analysis that INO increases the risk of renal impairment in patients with ARDS.

Adults and Children With Congenital Heart Disease Who Have had Heart Surgery

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients who are adults or children with congenital heart disease who have had heart surgery.

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals who are adults or children with congenital heart disease who have had heart surgery.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat adults and children with congenital heart disease who have had heart surgery: standard medical care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity (Table 10).

Table 10. Outcomes of Interest

| Outcomes | Details | Timing |
|------------------------------------|---|--------------------|
| Treatment-related morbidity | Evaluated through outcomes such as RVD, pulmonary arterial hypertension, mean arterial pressure, and neurodevelopmental disability | 1 week to 6 months |
| Resource utilization | Evaluated through outcomes such as mean number of days on mechanical ventilation, length of stay in intensive care unit or hospital | 1 to 6 weeks |

RVD: right ventricular dysfunction.

Study Selection Criteria

Methodologically credible studies were selected using the following principles:

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Adults

A trial by Potapov et al (2011) evaluated the prophylactic use of INO in adults undergoing left ventricular assist device implantation for congestive heart failure.¹⁵ This double-blind trial was conducted at 8 centers in the US and Germany. Patients were randomized to INO 40 ppm (n=73) or placebo (n=77) beginning at least 5 minutes before the first weaning attempt from mechanical ventilation. The primary trial outcome was right ventricular dysfunction (RVD). Patients continued use of INO or placebo until they were extubated, reached the study criteria for RVD, or were treated for 48 hours, whichever came first. Patients were permitted to crossover to open-label INO if they failed to wean from mechanical ventilation, still required pulmonary vasodilator support at 48 hours, or met criteria for RVD. Thirteen (9%) of 150 randomized patients did not receive the trial treatment. Also, crossover to open-label INO occurred in 15 (21%) of 73 patients in the INO group and 20 (26%) of 77 in the placebo group. In an intention-to-treat analysis, RVD criteria were met by 7 (9.6%) of 73 patients in the INO group and 12 (15.6%) of 77 patients in the placebo group; this difference between groups was not statistically significant (p=.33). Other outcomes also did not differ significantly between groups; e.g., mean number of days on mechanical ventilation (5.4 days for INO vs. 11.1 days for placebo; p=.77) and mean number of days in the hospital (41 in each group).

Children

Systematic Reviews

A Cochrane review by Bizzarro et al (2014) identified 4 RCTs (N=210 patients) comparing postoperative INO with placebo or usual care in the management of children who had congenital heart disease.¹⁶ All trials included participants identified as having pulmonary hypertension in the preoperative or postoperative period. Three trials were parallel group, and 1 trial was a crossover. Mortality was the primary outcome of the meta-analysis. Two trials (n=162 patients) reported mortality before discharge. A pooled analysis of findings from these 2 trials did not find a significant

difference in mortality between the INO group and the control group (odds ratio, 1.67; 95% CI, 0.38 to 7.30). Among secondary outcomes, a pooled analysis of 2 studies did not find a significant between-group difference in mean pulmonary arterial hypertension (pooled treatment effect, -2.94 mm Hg; 95% CI, -9.28 to 3.40 mm Hg), and likewise a pooled analysis of 3 studies did not find a significant difference between groups in mean arterial pressure (pooled treatment effect, -3.55 mm Hg; 95% CI, -11.86 to 4.76 mm Hg). Insufficient data were available for pooling other outcomes. Reviewers noted a lack of data on long-term mortality, length of stay in an intensive care unit or hospital, and neurodevelopmental disability, and concerns about the methodologic quality of studies, sample sizes, and heterogeneity between studies. These results did not support a benefit for INO treatment for this patient group. Wide CIs around the pooled treatment effects reflect the relative paucity of available data for each outcome.

Randomized Controlled Trials

The RCT assessing the largest sample was published by Miller et al (2000).¹⁷ This trial out of Australia included 124 infants (median age, 3 months) who were candidates for corrective heart surgery. Eligibility requirements included the presence of congenital heart lesions, high pulmonary flow pressure, or both, and objective evidence of pulmonary hypertension in the immediate preoperative period. Participants were randomized to INO gas 10 ppm (n=63) or placebo nitrogen gas (n=61) after surgery until just before extubation. Randomization was stratified by the presence (45/124 [36%]) or absence (79/124 [64%]) of Down syndrome. The primary outcome was a reduction of pulmonary hypertensive crisis episodes, defined as a pulmonary/systemic artery pressure ratio greater than 0.75. Episodes were classified as major if there was a fall in systemic artery pressure of at least 20% and/or a fall in transcutaneous oxygen saturation to less than 90%. Episodes were classified as minor if the systemic artery pressure and transcutaneous oxygen saturation remained stable. The trial found that infants who received INO after surgery had significantly fewer pulmonary hypertensive crisis episodes (median, 4) than those who received placebo (median, 7; unadjusted RR, 0.66; 95% CI, 0.59 to 0.74; p<.001). Among secondary outcomes, the median time to eligibility for extubation was significantly shorter in the INO group (80 hours) than in the placebo group (112 hours; p=.019). There were 5 deaths in the INO group and 3 deaths in the placebo group; this difference was not statistically significant (p=.49). Similarly, there was no significant between-group difference in median time to discharge from intensive care (138 hours for INO vs. 162 hours for placebo; p>.05). Although this trial reported a reduction in pulmonary hypertensive crisis episodes, changes in this physiologic outcome did not result in improvements in survival or other clinical outcomes. The trial was likely underpowered to detect differences in these more clinically relevant secondary outcomes.

Section Summary: Adults and Children With Congenital Heart Disease Who Have Had Heart Surgery

Evidence from a number of small RCTs and a systematic review of these trials did not find a significant benefit for INO on mortality and other health outcomes in the postoperative management of children with congenital heart disease. There is less evidence on the use of INO for adults with congenital heart disease. One RCT did not find a significant effect of INO treatment on the improvement of postoperative outcomes in adults with congestive heart failure who had left ventricular assist device surgery.

Lung Transplantation

Clinical Context and Therapy Purpose

The purpose of INO is to provide a treatment option that is an alternative to or an improvement on existing therapies in patients with lung transplant.

The following PICO was used to select literature to inform this review.

Populations

The relevant population of interest is individuals with a lung transplant.

Interventions

The therapy being considered is INO. Inhaled nitric oxide is a natural vasodilator and has been studied for a variety of types of respiratory failure.

Comparators

The following practice is currently being used to treat patients with a lung transplant: standard post-transplant care without INO.

Outcomes

The general outcomes of interest are OS, hospitalizations, resource utilization, and treatment-related morbidity (Table 11).

Table 11. Outcomes of Interest

| Outcomes | Details | Timing |
|-----------------------------|---|--------------------|
| Resource utilization | Evaluated through outcomes such as length of hospital or intensive care unit stay | 1 to 6 weeks |
| Treatment-related morbidity | Evaluated through outcomes such as time to extubation, duration of ventilation, fluid balance during 24 hours after intensive care unit admission, development of grade II to III primary graft dysfunction or gas exchange | 1 week to 6 months |

Study Selection Criteria

Methodologically credible studies were selected using the following principles

- To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- Studies with duplicative or overlapping populations were excluded.

Review of Evidence

Systematic Reviews

Tavare and Tsakok (2011) reviewed the literature to assess whether the use of prophylactic INO in patients undergoing a lung transplant reduces morbidity and mortality.¹⁸ They identified 6 studies, 2 RCTs (Meade et al [2003],¹⁹ Perrin et al [2006]²⁰) and 4 uncontrolled cohort studies. They also identified a third RCT (Botha et al [2007]²¹), which they excluded from their review based on the utility of that trial's clinical outcomes. Reviewers noted the paucity of controlled studies and the small sample sizes of all available studies. Moreover, they found that none of the RCTs showed that INO reduced mortality or morbidity (e.g., time to extubation, length of hospital stay). Thus they concluded that "it is difficult to currently recommend the routine use of prophylactic inhaled NO [nitric oxide] in lung transplant surgery." Published RCTs are summarized in Table 12.

Table 12. Summary of RCTs Evaluating INO After Lung Transplantation

| Study | N | Interventions | Primary Endpoints | Results |
|----------------------------------|----|---|---|---|
| Meade et al (2003) ¹⁹ | 84 | INO 20 ppm 10 min after reperfusion vs. placebo gas mixture | Duration of mechanical ventilation from admission to intensive care unit to first successful extubation | No statistically significant difference in time to successful extubation (mean, 25.7 hours in INO group vs. 27.3 hours in control group; p=.76) No statistically significant differences in secondary outcomes (e.g., severe reperfusion injury, time to hospital discharge, hospital mortality, 30-day mortality) |

| Study | N | Interventions | Primary Endpoints | Results |
|-----------------------------------|----|--|-------------------|--|
| Perrin et al (2006) ²⁰ | 30 | INO 20 ppm at reperfusion for 12 hours vs. no intervention | Not specified | No statistically significant differences between groups in outcomes (e.g., intensive care unit length of stay, duration of ventilation, fluid balance during 24 hours after intensive care unit admission) |
| Botha et al (2007) ²¹ | 20 | Prophylactic INO 20 ppm vs. standard gas mixture for 30 min of reperfusion | Not specified | No statistically significant differences between groups in development of grade II to III primary graft dysfunction or gas exchange |

INO: Inhaled nitric oxide; RCT: randomized controlled trial.

Section Summary: Lung Transplantation

Three small RCTs have evaluated INO after lung transplantation, and none found statistically significant improvements in health outcomes. A systematic review of RCTs and observational studies concluded that available evidence did not support the routine use of INO after lung transplant.

Supplemental Information

The purpose of the following information is to provide reference material. Inclusion does not imply endorsement or alignment with the evidence review conclusions.

Clinical Input From Physician Specialty Societies and Academic Medical Centers

While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

2021 Input

Clinical input was sought to help determine whether the use of inhaled nitric oxide (INO) for individuals with various conditions would provide a clinically meaningful improvement in net health outcome and whether the use is consistent with generally accepted medical practice. In response to requests, clinical input on the use of INO was received from 4 respondents, including: 3 physician-level responses with academic affiliations identified through 1 specialty society and 1 physician-level response identified through BCBSA.

For individuals who are neonates, premature at birth, and have hypoxic respiratory failure, a limited quantity of clinical input indicated high confidence that the use of INO provides a clinically meaningful improvement in the net health outcome and is consistent with generally accepted medical practice. Cited evidence notes that the majority of randomized controlled trials (RCTs), and meta-analyses of these RCTs, have reported no significant difference with INO therapy for primary endpoints such as survival and bronchopulmonary dysplasia (BPD). Guidelines from the American Heart Association/American Thoracic Society and an expert workshop consensus statement state that INO can be beneficial for a subset of preterm infants with severe hypoxemia that is primarily due to persistent pulmonary hypertension of the newborn physiology rather than parenchymal disease; however, this recommendation is based on case series. Limited quantity of clinical input and insufficient published evidence showing improved health outcomes provide insufficient support regarding the effect on net health outcome.

For individuals who are adults or children in acute hypoxemic respiratory failure, clinical input responses were mixed as to whether use of INO provides a clinically meaningful improvement in net health outcome. Clinical input indicates this use of INO is consistent with generally accepted medical practice, and some respondents suggested that INO is often used as a rescue therapy and bridge to extracorporeal membrane oxygenation (ECMO). Cited evidence notes improved physiologic

outcomes such as transient improvement of oxygenation in the first 24 hours; however, the evidence does not demonstrate significant improvements in health outcomes such as overall mortality.

For individuals who are adults or children with congenital heart disease who have had heart surgery, clinical input responses indicate moderate confidence that the use of INO provides a clinically meaningful improvement in net health outcome and moderate to high confidence that this use is consistent with generally accepted medical practice. This appears to be based on cited evidence suggesting that INO can improve perioperative pulmonary hypertension; however, it is unclear that health outcomes are improved as no significant mortality benefit was observed in these patients. Further, some evidence suggests that use of INO may be associated with an increase in mortality for those without pulmonary hypertension.

For individuals with lung transplant, a limited quantity of clinical input respondents provided moderate to high confidence that use of INO during the perioperative period to manage pulmonary vascular resistance and pulmonary hypertension provides a clinically meaningful improvement in net health outcome and is consistent with generally accepted medical practice. Cited evidence includes small RCTs that found no statistically significant improvement in health outcomes with INO and case series or non-randomized trials of a limited number of patients with inconsistent endpoints, suggesting that INO may decrease the incidence of graft rejection and dysfunction and potentially prevent reperfusion injury. Limited quantity of clinical input and insufficient published evidence showing improved health outcomes provide insufficient support regarding the effect on net health outcome.

2012 Input

Input was received from 2 physician specialty societies and 9 academic medical centers while this policy was under review in 2012. There was a consensus that INO may be considered medically necessary as a component of treatment of hypoxic respiratory failure in neonates born at more than 34 weeks of gestation. There was general agreement with the criterion in the Policy Guidelines section for hypoxic respiratory failure: an oxygenation index of at least 25 on 2 measurements made at least 15 minutes apart. Also, input was mixed on whether other indications for INO should be considered investigational. Several reviewers stated that INO is clinically useful for the postoperative treatment of select patients with congenital heart disease.

Also, clinician reviewers generally agreed that INO should be discontinued when ECMO is initiated. There was near-consensus agreement that prolonged use of INO (e.g., >1 to 2 weeks in near-term neonates) does not improve outcomes (i.e., beyond a transient improvement in oxygenation). However, there was a wide range of responses to the question on how long INO should be continued once initiated; most reviewers who responded cited an upper limit of not more than 2 weeks.

2010 Input

Input was received from 4 physician specialty societies and 5 academic medical centers while this policy was under review in 2010. Input was consistent in its agreement with the policy statements on the treatment of hypoxic respiratory failure in neonates born at 34 or more weeks of gestation and adults with acute respiratory distress syndrome (ARDS); it was mixed for the statement on premature neonates born at less than 34 weeks of gestation. There was no consensus among reviewers on potential additional medically necessary indications for INO therapy.

Practice Guidelines and Position Statements

Guidelines or position statements will be considered for inclusion in 'Supplemental Information' if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

American Academy of Pediatrics

In 2014, the American Academy of Pediatrics provided the following recommendations on the use of INO in premature infants (Table 13).²²

Table 13. Guidelines on Use of INO for Premature Infants

| Recommendation | QOE | GOR |
|--|-----|--------|
| "Neither rescue nor routine use of iNO improves survival in preterm infants with respiratory failure." | A | Strong |
| "The preponderance of evidence does not support treating preterm infants who have respiratory failure with INO for the purpose of preventing/ameliorating BPD, severe intraventricular hemorrhage, or other neonatal morbidities." | A | Strong |
| "The incidence of cerebral palsy, neurodevelopmental impairment, or cognitive impairment in preterm infants treated within INO is similar to that of control infants." | A | NR |

BPD: bronchopulmonary dysplasia; GOR: grade of recommendation; INO: inhaled nitric oxide; NR: not reported; QOE: quality of evidence.

American Heart Association/American Thoracic Society

The American Heart Association and American Thoracic Society (2015) published guidelines on the management of pediatric pulmonary hypertension.²³ Relevant recommendations related to INO included:

- "Inhaled nitric oxide (iNO) is indicated to reduce the need for extracorporeal membrane oxygenation (ECMO) support in term and near-term infants with persistent pulmonary hypertension of the newborn (PPHN) or hypoxemic respiratory failure who have an oxygenation index that exceeds 25 (Class I; Level of evidence A)."
- "iNO can be beneficial for preterm infants with severe hypoxemia that is due primarily to PPHN physiology rather than parenchymal lung disease, particularly if associated with prolonged rupture of membranes and oligohydramnios (Class IIa; Level of evidence B)."

National Institute for Health and Care Excellence

In April 2019, NICE issued a guidance on specialist neonatal respiratory care for preterm infants.²⁴ The guidance recommends against the routine use of INO for preterm infants who need respiratory support for respiratory distress syndrome, unless there are other indications such as pulmonary hypoplasia or pulmonary hypertension.

National Institutes of Health

The National Institutes of Health (2011) published a consensus development conference statement on INO for premature infants,²⁵ which was based on the Agency for Healthcare Research and Quality–sponsored systematic review of the literature, previously described.⁵ Conclusions included:

"Taken as a whole, the available evidence does not support use of INO (inhaled NO) in early-routine, early-rescue, or later-rescue regimens in the care of premature infants of <34 weeks' gestation who require respiratory support."

"There are rare clinical situations, including pulmonary hypertension or hypoplasia, that have been inadequately studied in which INO may have benefit in infants of <34 weeks' gestation. In such situations, clinicians should communicate with families regarding the current evidence on its risks and benefits as well as remaining uncertainties."

The National Institutes for Health (2022) guidelines for COVID-19 treatment recommended against the routine use of INO in pediatric or adult patients who are mechanically ventilated; however, they suggest that INO may be used after other options have failed.²⁶

Pediatric Academic Society

In April 2019, the Pediatric Academic Society convened a workshop regarding the role of INO in infants born preterm.²⁷ The controversy surrounding its use in this patient population was reviewed

by established experts in the field. The experts at the workshop concluded that the "rate of INO use in the infant born preterm is not declining, despite the publication of RCTs and related consensus statements that discourage its routine use due to lack of evidence for bronchopulmonary dysplasia prevention." These experts stated that "none of these studies or recommendations are based on its role in the management of persistent primary hypertension of the newborn in infants born preterm." In this setting, "extensive case series, guidelines, and others recommend the selective use of INO in infants born preterm with documented persistent primary hypertension of the newborn physiology as a contributing cause of hypoxemia, as best confirmed by echocardiography."

Pediatric Pulmonary Hypertension Network

In 2016, the Pediatric Pulmonary Hypertension Network (a network of clinicians, researchers, and centers) published recommendations on the use of INO in premature infants with severe pulmonary hypertension.²⁸ Key recommendations included:

- (1) "iNO therapy should not be used in premature infants for the prevention of BPD, as multicenter studies data have failed to consistently demonstrate efficacy for this purpose.
- (2) iNO therapy can be beneficial for preterm infants with severe hypoxemia that is primarily due to PPHN physiology rather than parenchymal lung disease, particularly if associated with prolonged rupture of membranes and oligohydramnios.
- (3) iNO is preferred over other pulmonary vasodilators in preterm infants based on a strong safety signal from short- and long-term follow-up of large numbers of patients from multicenter randomized clinical trials for BPD prevention..."

U.S. Preventive Services Task Force Recommendations

Not applicable.

Medicare National Coverage

There is no national coverage determination. In the absence of a national coverage determination, coverage decisions are left to the discretion of local Medicare carriers.

Ongoing and Unpublished Clinical Trials

Some currently ongoing and unpublished trials that might influence this review are listed in Table 14.

Table 14. Summary of Key Trials

| NCT No. | Trial Name | Planned Enrollment | Completion Date |
|--------------------|--|--------------------|-----------------|
| <i>Ongoing</i> | | | |
| NCT05757557 | Perioperative Nitric oxide-conditioning, Produced by Plasma-chemical Synthesis Technology, For prevEnt Acute kidNey Injury During carDiac surgEry in Patients With chRonic Kidney Disease (DEFENDER-trial) | 136 | Dec 2024 |
| NCT00515281 | Inhaled Nitric Oxide and Neuroprotection in Premature Infants (NOVA2) | 484 | Mar 2023 |
| NCT03661385 | A Randomised Controlled Trial of Nitric Oxide Administration During Cardiopulmonary Bypass in Infants Undergoing Arterial Switch Operation for Repair of Transposition of the Great Arteries (NASO) | 800 | Dec 2021 |
| NCT02836899 | Prevention of Acute Kidney Injury by Nitric Oxide in Prolonged Cardiopulmonary Bypass. A Double Blind Controlled Randomized Trial in Cardiac Surgical Patients With Endothelial Dysfunction (MGHK23) | 250 | Nov 2023 |
| NCT04306393 | Nitric Oxide Gas Inhalation Therapy for Mechanically Ventilated Patients With Severe Acute Respiratory Syndrome Caused by SARS-CoV2: a Randomized Clinical Trial (NOSARSCOVID) | 200 | Jun 2022 |

| NCT No. | Trial Name | Planned Enrollment | Completion Date |
|-------------|--|--------------------|-----------------|
| NCT04305457 | Nitric Oxide Gas Inhalation Therapy in Spontaneous Breathing Patients With Mild/Moderate COVID-19: a Randomized Clinical Trial (NoCovid) | 70 | Apr 2025 |

NCT: national clinical trial.

Appendix 1

2021 Clinical Input

Clinical Input Objective

Clinical input was sought to help determine whether the use of inhaled nitric oxide for various populations would provide a clinically meaningful improvement in net health outcome and whether the use is consistent with generally accepted medical practice.

Respondents

Clinical input was provided by the following specialty societies and physician members identified by a specialty society or clinical health system:

- Philip Ong, MD; Pulmonology/Lung Transplantation/Interventional Pulmonology, Assistant Professor, University of Texas Health San Antonio, identified by American College of Chest Physicians (ACCP/CHEST)
- Christopher L. Carroll, MD; Pediatric Critical Care; Professor of Pediatrics, University of Connecticut and Connecticut Children's, identified by ACCP/CHEST
- John P. Gaillard, MD; Critical Care, Emergency Medicine; Associate Professor of Anesthesia; Pulmonary, Critical Care, Allergy, and Immunologic Diseases; Emergency Medicine, Wake Forest Baptist Health, identified by ACCP/CHEST
- Anonymous, MD; Neonatology; Physician at an integrated healthcare organization, identified by BCBSA

* Indicates that no response was provided regarding conflicts of interest related to the topic where clinical input is being sought.

** Indicates that conflicts of interest related to the topic where clinical input is being sought were identified by this respondent (see Appendix).

Clinical input provided by the specialty society at an aggregate level is attributed to the specialty society. Clinical input provided by a physician member designated by a specialty society or health system is attributed to the individual physician and is not a statement from the specialty society or health system. Specialty society and physician respondents participating in the Evidence Street® clinical input process provide review, input, and feedback on topics being evaluated by Evidence Street. However, participation in the clinical input process by a specialty society and/or physician member designated by a specialty society or health system does not imply an endorsement or explicit agreement with the Evidence Opinion published by BCBSA or any Blue Plan.

Ratings

| | | | Confidence Level That Clinical Use Expected to Provide Clinically Meaningful Improvement in Net Health Outcome | | | | | | | | | | Confidence Level that Clinical Use is Consistent with Generally Accepted Medical Practice | | | | | | | | | | | |
|--|--------------|---------------|--|--------------|-----|-----|--------------|------|------|--------------|-----|-----|---|-----------|------|--------------|-----|-----|--------------|------|---|---|---|---|
| | | | NO | | | | | YES | | | | | NO | | | | | YES | | | | | | |
| | | | High | Intermediate | Low | Low | Intermediate | High | High | Intermediate | Low | Low | Intermediate | High | High | Intermediate | Low | Low | Intermediate | High | | | | |
| Clinical Indication | Respondent | Identified by | Yes or No | 5 | 4 | 3 | 2 | 1 | 1 | 2 | 3 | 4 | 5 | Yes or No | 5 | 4 | 3 | 2 | 1 | 1 | 2 | 3 | 4 | 5 |
| Use of inhaled nitric oxide for individuals who are neonates, are premature at birth, and have hypoxic respiratory failure | Dr. Ong | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Dr. Carroll | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Dr. Gaillard | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Anonymous | BCBSA | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| Use of inhaled nitric oxide for individuals who are adults or children in acute hypoxemic respiratory failure | Dr. Ong | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Dr. Carroll | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Dr. Gaillard | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Anonymous | BCBSA | No | | | | | | | | | | | Yes | | | | | | | | | | |
| Use of inhaled nitric oxide for individuals who are adults and children with congenital heart disease who have had heart surgery | Dr. Ong | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Dr. Carroll | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Dr. Gaillard | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Anonymous | BCBSA | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| Use of inhaled nitric oxide for individuals with lung transplant | Dr. Ong | ACCP/CHEST | Yes | | | | | | | | | | | Yes | | | | | | | | | | |
| | Dr. Carroll | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Dr. Gaillard | ACCP/CHEST | NR | | | | | | | | | | | NR | | | | | | | | | | |
| | Anonymous | BCBSA | Yes | | | | | | | | | | | Yes | | | | | | | | | | |

ACCP: American College of Chest Physicians; BCBSA: Blue Cross Blue Shield Association; CHEST: CHEST Foundation; NR: no response.

* Indicates that no response was provided regarding conflicts of interest related to the topic where clinical input is being sought.

** Indicates that conflicts of interest related to the topic where clinical input is being sought were identified by this respondent (see Appendix).

Respondent Profile

| Physician | | | | | |
|---|------------------------|--------|--|---|--|
| # | Name | Degree | Institutional Affiliation | Clinical Specialty | Board Certification and Fellowship Training |
| Identified by American College of Chest Physicians (ACCP) / CHEST | | | | | |
| 1 | Philip Ong | MD | Assistant Professor, Pulmonary Diseases & Critical Care Medicine, University of Texas Health San Antonio | Pulmonology, Lung Transplantation, Interventional Pulmonology | Pulmonology, Critical Care, Lung Transplantation, Interventional Pulmonology |
| 2 | Christopher L. Carroll | MD | Professor of Pediatrics, University of Connecticut School of Medicine; Connecticut Children's Medical Center, Medical Director, Surgical Critical Care; Research Director, Pediatric Critical Care | Pediatric Critical Care | Pediatrics, Pediatric Clinical Care |

| Physician | | | | | |
|--|------------------|----|--|-----------------------------------|--|
| 3 | John P. Gaillard | MD | Associate Professor, Anesthesia; Pulmonary, Critical Care, Allergy, and Immunologic Diseases; Emergency Medicine, Wake Forest Baptist Health | Critical Care, Emergency Medicine | Critical Care, Emergency Medicine, Critical Care |
| Identified by Blue Cross Blue Shield Association (BCBSA) | | | | | |
| 4 | Anonymous | MD | Integrated healthcare organization | Neonatology | Neonatal-Perinatal Medicine |

Respondent Conflict of Interest Disclosure

| # | 1) Research support related to the topic where clinical input is being sought | 2) Positions, paid or unpaid, related to the topic where clinical input is being sought | 3) Reportable, more than \$1,000, health care–related assets or sources of income for myself, my spouse, or my dependent children related to the topic where clinical input is being sought | 4) Reportable, more than \$350, gifts or travel reimbursements for myself, my spouse, or my dependent children related to the topic where clinical input is being sought |
|---|---|---|---|--|
| | YES/NO Explanation | YES/NO Explanation | YES/NO Explanation | YES/NO Explanation |
| 1 | No | No | No | No |
| 2 | No | No | No | No |
| 3 | No | No | No | No |
| 4 | No | No | No | No |

Individual physician respondents answered at individual level. Specialty Society respondents provided aggregate information that may be relevant to the group of clinicians who provided input to the Society-level response. NR=not reported.

Responses

Question 1:

We are seeking your opinion on whether using inhaled nitric oxide for the four indications listed below provides a clinically meaningful improvement in net health outcome. Please respond based on the evidence and your clinical experience. Please address these points in your rationale:

- Relevant clinical scenarios (e.g., a chain of evidence) where the technology is expected to provide a clinically meaningful improvement in net health outcome;
- Specific outcomes that are clinically meaningful;
- Any relevant patient inclusion/exclusion criteria or clinical context important to consider in identifying individuals for this indication;
- Supporting evidence from the authoritative scientific literature (please include PMID).

Indication 1: Individuals who are neonates, are premature at birth, and have hypoxic respiratory failure

| # | Rationale |
|---|---|
| 1 | Not qualified to comment |
| 2 | Nitric Oxide is a critical therapy for neonates with hypoxic respiratory failure and pulmonary hypertension. In this population nitric oxide has been standard care for 20 years and has been shown to decrease the need for extracorporeal life support in newborns with pulmonary hypertension (Neonatal Inhaled Nitric Oxide Study Group. Inhaled nitric oxide in full-term and nearly full-term infants with hypoxic respiratory failure. N Engl J Med. 1997 Feb 27;336(9):597-604. Erratum in: N Engl J Med 1997 Aug 7;337(6):434. PMID: 9036320.) |
| 3 | I have no clinical experience with iNO in this patient population. |

Rationale**4** Premature infants <34 weeks:

1. Relevant clinical scenarios where the iNO is expected to provide clinically meaningful net health outcomes
 - a. Infants born premature with severe hypoxemia that is primarily due to persistent pulmonary hypertension (PPHN) physiology
 - b. Infants born Preterm with oligohydramnios and premature prolonged rupture of membrane (PPROM)
 - c. Infants born premature with suspected or proven pulmonary hypoplasia
2. Specific outcomes that are clinically meaningful
 - a. Decreased Fio₂
 - b. Improved PaO₂
 - c. Reduced mortality
3. Any relevant patient inclusion/exclusion criteria or clinical context important to consider in identifying individuals for this indication
 - a. Current evidence suggests that iNO does not improve bronchopulmonary dysplasia
 - b. Current evidence does not support use of iNO for chronic lung disease
1. Abman, S. H., Hansmann, G., Archer, S. L., Ivy, D. D., Adatia, I., Chung, W. K.,... the American Thoracic, S. (2015). Pediatric Pulmonary Hypertension: Guidelines From the American Heart Association and American Thoracic Society. *Circulation*, 132(21), 2037-2099. doi:10.1161/CIR.0000000000000329. PMID: 26534956
2. Alvarado Socarras, J. L., Monsalve, J. C., & Diaz Quijano, F. A. (2018). Inhaled Nitric Oxide in Preterm Neonates with Refractory Hypoxemia Associated to Oligohydramnios. *Curr Drug Discov Technol*, 15(2), 156-160. doi:10.2174/1570163814666171017162730. PMID: 29046161
3. Chock, V. Y., Van Meurs, K. P., Hintz, S. R., Ehrenkranz, R. A., Lemons, J. A., Kendrick, D. E.,... Network, N. N. R. (2009). Inhaled nitric oxide for preterm premature rupture of membranes, oligohydramnios, and pulmonary hypoplasia. *Am J Perinatol*, 26(4), 317-322. doi:10.1055/s-0028-1104743. PMID: 19067285
4. Geary, C., & Whitsett, J. (2002). Inhaled nitric oxide for oligohydramnios-induced pulmonary hypoplasia: a report of two cases and review of the literature. *J Perinatol*, 22(1), 82-85. doi:10.1038/sj.jp.7210580. PMID: 11840249
5. Kinsella, J. P., Steinhorn, R. H., Krishnan, U. S., Feinstein, J. A., Adatia, I., Austin, E. D.,... Abman, S. H. (2016). Recommendations for the Use of Inhaled Nitric Oxide Therapy in Premature Newborns with Severe Pulmonary Hypertension. *J Pediatr*, 170, 312-314. doi:10.1016/j.jpeds.2015.11.050. PMID: 26703869
6. Lakshminrusimha, S., Kinsella, J. P., Krishnan, U. S., Van Meurs, K., Edwards, E. M., Bhatt, D. R.,... Abman, S. H. (2020). Just Say No to iNO in Preterms-Really? *J Pediatr*, 218, 243-252. doi:10.1016/j.jpeds.2019.10.063. PMID: 31810629

Indication 2: Individuals who are adults or children in acute hypoxemic respiratory failure**# Rationale****1** Not qualified to comment

2 For adults and children with profound hypoxemia, iNO often improves oxygenation. iNO helps dilate the pulmonary arteries so that V/Q mismatch is improved and RV afterload is reduced. It is often used as a rescue therapy and as a bridge to ECMO.

3 For adults with profound hypoxemia, iNO often improves oxygenation. iNO helps dilate the pulmonary arteries so that V/Q mismatch is improved and RV afterload is reduced.

- 4**
1. Specific outcomes that are clinically meaningful;
 - a. iNO for acute respiratory distress syndrome and acute lung injury in adults and children
 - i. 14 RCTs with total of 1303 participants (Afshari, Brok, Moller, & Wetterslev, 2011)
 1. iNO showed no statistically significant effect on overall mortality
 2. Limited data demonstrated a statistically insignificant effect of iNO on duration of ventilation, ventilator-free days, and length of stay in the intensive care unit and hospital
 3. statistically significant but transient improvement in oxygenation in the first 24 hours
 - ii. concerns with increased risk of renal failure
 - b. iNO for Acute hypoxemic respiratory failure (Gebistorf, Karam, Wetterslev, & Afshari, 2016)
 - i. There appears to be a statistically significant improvement in oxygenation at 24 hours

| # | Rationale |
|----|--|
| | <ul style="list-style-type: none"> ii. There was no statistically significant improvement in ventilator days iii. No statistically significant effects of iNO on mortality in adults iv. No statistically significant effects of iNO on mortality in children v. There was a statistically significant increase in renal failure in the iNO group |
| 2. | Any relevant patient inclusion/exclusion criteria or clinical context important to consider in identifying individuals for this indication; <ul style="list-style-type: none"> a. Patients at risk for renal failure b. Patients with renal failure <ul style="list-style-type: none"> i. There appears to be a statistically significant risk for increased renal failure in patients who received iNO c. Could be considered as a temporary measure prior to initiating ECMO or starting Sildenafil for severe pulmonary hypertension |
| 1. | Afshari, A., Brok, J., Moller, A. M., & Wetterslev, J. (2011). Inhaled nitric oxide for acute respiratory distress syndrome and acute lung injury in adults and children: a systematic review with meta-analysis and trial sequential analysis. <i>Anesth Analg</i> , 112(6), 1411-1421. doi:10.1213/ANE.0b013e31820bd185. PMID:20614430 |
| 2. | Gebistorf, F., Karam, O., Wetterslev, J., & Afshari, A. (2016). Inhaled nitric oxide for acute respiratory distress syndrome (ARDS) in children and adults. <i>Cochrane Database Syst Rev</i> (6), CD002787. doi:10.1002/14651858.CD002787.pub3. PMID:27347773 |

Indication 3: Individuals who are adults and children with congenital heart disease who have had heart surgery

| # | Rationale |
|---|---|
| 1 | Not qualified to comment |
| 2 | iNO is widely used in children and adults with congenital heart disease. In patients with pulmonary hypertension, iNO can improve outcomes by improving perioperative pulmonary hypertension. However, even absent pulmonary hypertension, the right ventricle is frequently dysfunctional following cardiac surgery due to surgical approaches. At times, reducing afterload to the right ventricle by reducing pulmonary pressures can significantly improve a patients condition perioperatively. |
| 3 | iNO helps dilate the pulmonary arteries so that V/Q mismatch is improved and RV afterload is reduced. |
| 4 | <ul style="list-style-type: none"> 1. Relevant clinical scenarios where the iNO is expected to provide clinically meaningful net health outcomes <ul style="list-style-type: none"> 1. Pediatric cardiac population 2. Adult patients with congenital heart disease 2. Specific outcomes that are clinically meaningful <ul style="list-style-type: none"> 1. Decreased mean pulmonary artery pressure 2. Length of stay remained unchanged with iNO 3. Use of iNO associated with increased mortality in patients WITHOUT pulmonary hypertension 4. Use of iNO appears to be associated with increased length of stay in patients WITHOUT pulmonary hypertension (length of stay increased by 10 days compared to 3 days for patients with pulmonary hypertension)-(Wong, et.al. 2019) 5. Use of iNO does not impact mortality in patients WITH pulmonary hypertension 6. Routine use of iNO after congenital heart surgery can lessen the risk of pulmonary hypertensive crises and shorten postoperative course with no toxic effects. 7. Better mid-term survival is obtained, in adult patient with congenital heart disease an pulmonary arterial hypertension, when pulmonary vascular reactivity, defined as responsiveness to iNO, is preserved (Post, Janssens, Van de Werf, & Budts, 2004) 3. Any relevant patient inclusion/exclusion criteria or clinical context important to consider in identifying individuals for this indication <ul style="list-style-type: none"> 1. There is no benefit of iNO for patients without pulmonary hypertension 1. Bizzarro, M., Gross, I., & Barbosa, F. T. (2014). Inhaled nitric oxide for the postoperative management of pulmonary hypertension in infants and children with congenital heart disease. <i>Cochrane Database Syst Rev</i>(7), CD005055. doi:10.1002/14651858.CD005055.pub3. PMID: 24991723 2. Curran, R. D., Mavroudis, C., Backer, C. L., Sautel, M., Zales, V. R., & Wessel, D. L. (1995). Inhaled nitric oxide for children with congenital heart disease and pulmonary hypertension. <i>Ann Thorac Surg</i>, 60(6), 1765-1771. doi:10.1016/0003-4975(95)00812-8. PMID: 8787478 |

3. Miller, O. I., Tang, S. F., Keech, A., Pigott, N. B., Beller, E., & Celermajer, D. S. (2000). Inhaled nitric oxide and prevention of pulmonary hypertension after congenital heart surgery: a randomised double-blind study. *Lancet*, 356(9240), 1464-1469. doi:10.1016/S0140-6736(00)02869-5. PMID: 11081528
4. Post, M. C., Janssens, S., Van de Werf, F., & Budts, W. (2004). Responsiveness to inhaled nitric oxide is a predictor for mid-term survival in adult patients with congenital heart defects and pulmonary arterial hypertension. *Eur Heart J*, 25(18), 1651-1656. doi:10.1016/j.ehj.2004.07.005. PMID: 15351165
5. Sardo, S., Osawa, E. A., Finco, G., Gomes Galas, F. R. B., de Almeida, J. P., Cutuli, S. L.,... Hajjar, L. A. (2018). Nitric Oxide in Cardiac Surgery: A Meta-Analysis of Randomized Controlled Trials. *J Cardiothorac Vasc Anesth*, 32(6), 2512-2519. doi:10.1053/j.jvca.2018.02.003. PMID: 29703580
6. Wong, J., Loomba, R. S., Evey, L., Bronicki, R. A., & Flores, S. (2019). Postoperative Inhaled Nitric Oxide Does Not Decrease Length of Stay in Pediatric Cardiac Surgery Admissions. *Pediatr Cardiol*, 40(8), 1559-1568. doi:10.1007/s00246-019-02187-z PMID:31446473

Indication 4: Individuals with lung transplant

Rationale

- 1 Nitric oxide is commonly used in patients with acute, chronic or acute and chronic right heart failure in the immediate perioperative period during lung transplantation. High pulmonary vascular resistance prior to transplant is common due to severe hypoxia from severe end stage lung disease, which results in right heart failure. Bilateral lung transplantation is usually sequential single lung transplant, which requires the right heart to handle single lung ventilation while the new contralateral lung graft is being grafted. At this point, the right ventricle needs to handle rapid shifts in pulmonary vascular resistance. Right heart failure is extremely common in the immediate perioperative period (within 0-5 days after transplantation) but eventually recovers after engraftment of the transplant. Furthermore, the new lung graft undergoes a period of ischemia during transport that produces hypoxic pulmonary vasoconstriction that compounds the issue. Nitric oxide is the shortest acting agent that can handle the rapid shifts in pulmonary vascular resistance and right heart pressure during the highest risk critical period. It is usually delivered inhaled to the target organ, and unlike IV prostacyclins has minimal systemic effect. Inhaled prostacyclins can also be given but due to its mechanism of effect (intermediate physiologic effect causing increase in NO), NO is usually given when rapid adjustments are required.
- 2 I have no clinical experience with iNO in this patient population.
- 3 I have no clinical experience with iNO in this patient population.
- 4
 1. Relevant clinical scenarios where the iNO is expected to provide clinically meaningful net health outcomes
 - a. While none of the studies reviewed was large enough to provide conclusive evidence, many suggest a benefit/utility in the use of iNO for individuals with lung transplantation
 - i. Perioperative lung transplantation
 1. iNO improves gas exchange and decreases pulmonary pressure in patients who develop reperfusion injury (Ardehali, et.al. 2001)
 2. iNO protective against ischemia and repulsion injury in the clinical setting of lung transplantation (Thabut, 2001)
 3. Patients receiving iNO were discharged from hospital more quickly (Cornfield, et. Al. 2003)
 4. iNO at the beginning of lung transplant and continued for first 48 hours decreases the incidence of primary graft dysfunction.
 5. iNO continues to be recommended by ISHLT as vasoactive agent when acute vasodilator challenge is unsuccessful
 6. ISHLT also recommends iNO for patients on waiting list for transplant to identify development of irreversible pulmonary vasoconstriction
 2. Specific outcomes that are clinically meaningful
 - a. Decreased Fio2, thus minimizing oxygen toxicity
 - b. Improved PaO2, thus improved oxygenation
 - c. Decreased reperfusion injury after lung transplantation
 - d. Reduces mean Pulmonary arterial pressure
 1. Ardehali, A., Laks, H., Levine, M., Shpiner, R., Ross, D., Watson, L. D.,... Waters, P. F. (2001). A prospective trial of inhaled nitric oxide in clinical lung transplantation. *Transplantation*, 72(1), 112-115. doi:10.1097/00007890-200107150-00022. PMID: 11468544

Rationale

2. Cornfield, D. N., Milla, C. E., Haddad, I. Y., Barbato, J. E., & Park, S. J. (2003). Safety of inhaled nitric oxide after lung transplantation. *J Heart Lung Transplant*, 22(8), 903-907. doi:10.1016/s1053-2498(02)00809-4. PMID: 12909471
3. Mehra, M. R., Canter, C. E., Hannan, M. M., Semigran, M. J., Uber, P. A., Baran, D. A.,... Transplantation, C. (2016). The 2016 International Society for Heart Lung Transplantation listing criteria for heart transplantation: A 10-year update. *J Heart Lung Transplant*, 35(1), 1-23. doi:10.1016/j.healun.2015.10.023. PMID:26776864
4. Moreno, I., Vicente, R., Mir, A., Leon, I., Ramos, F., Vicente, J. L., & Barbera, M. (2009). Effects of inhaled nitric oxide on primary graft dysfunction in lung transplantation. *Transplant Proc*, 41(6), 2210-2212. doi:10.1016/j.transproceed.2009.05.019. PMID: 19715875
5. Thabut, G., Brugiere, O., Leseche, G., Stern, J. B., Fradj, K., Herve, P.,... Mal, H. (2001). Preventive effect of inhaled nitric oxide and pentoxifylline on ischemia/reperfusion injury after lung transplantation. *Transplantation*, 71(9), 1295-1300. doi:10.1097/00007890-200105150-00019. PMID:11397965
6. Yerebakan, C., Ugurlucan, M., Bayraktar, S., Bethea, B. T., & Conte, J. V. (2009). Effects of inhaled nitric oxide following lung transplantation. *J Card Surg*, 24(3), 269-274. doi:10.1111/j.1540-8191.2009.00833.x. PMID: 19438780

Question 2:

Would you agree that the following criterion for hypoxic respiratory failure is clinically appropriate?

An oxygenation index (OI) of at least 25 on 2 measurements made at least 15 minutes apart.

(The OI is calculated as the mean airway pressure times the fraction of inspired oxygen divided by the partial pressure of arterial oxygen times 100. An OI of 25 is associated with a 50% risk of requiring extracorporeal membrane oxygenation [ECMO] or dying. An OI of 40 or more is often used as a criterion to initiate ECMO therapy.)

- Respond YES or NO; AND
- Please provide any supporting comments below.

| # | YES / NO | Comments |
|---|----------|---|
| 1 | Yes | Hypoxemic respiratory failure is commonly multifactorial. It may be a combination of volume overload, and non-cardiogenic edema (inflammatory extravasation of fluid). Although ECMO is increasingly used as rescue therapy, it is not available in most centers. Furthermore, ECMO is typically recommended only in 2 situations: as a bridge to transplant, and as a bridge to recovery. In many situations, improving ventilation-perfusion mismatch by abrogating inappropriate hypoxic vasoconstriction may buy enough time for the clinician to help reverse the hypoxemia. ARDS is the culmination of multiple factors but is a spectrum. Many studies of NO in ARDS or hypoxic respiratory failure do not show survival advantage, but there is literature to show at least transient improvement in hypoxia. This may sometimes buy enough time to bridge the patient to more advanced treatments such as lung transplant or ECMO. |
| 2 | Yes | This is a reasonable definition. For profound hypoxemia, iNO often improves oxygenation. iNO helps dilate the pulmonary arteries so that V/Q mismatch is improved and RV afterload is reduced. |
| 3 | Yes | For profound hypoxemia, iNO often improves oxygenation. iNO helps dilate the pulmonary arteries so that V/Q mismatch is improved and RV afterload is reduced. |
| 4 | No | This statement is not entirely accurate and is somewhat dependent on scenario, age of the patient and other factors that suggest impaired tissue oxygenation. In newborns who meet criteria for ECMO, an OI of >40 is predictive of a mortality risk of 80-90%. OI >40, PaO ₂ < 50 for 4 hours often used to as criteria for initiation of ECMO. |

Patients with an OI of 25-40 are predicted to have a 50-80% mortality (data from the University of MI retrieved from:
<http://www.med.umich.edu/ecmo/physicians/neonatal.html> on 1/31/2021.)

For Pediatric respiratory failure; OI > 25 and not improving combined with one sign of impaired tissue oxygenation such as: 1) rising serum lactate; 2) widening arterial-venous saturation gradient; 3) diminishing urine output; 5) increasing need for vasoactive support; 6) worsening metabolic acidosis. Therefore, multiple factors and not OI alone should be

| # | YES / NO | Comments |
|---|----------|---|
| | | used in determining need for ECMO and risk of dying. |
| | | 1)Friedman, M., & Hobson, M. (2018). Extracorporeal Membrane Oxygenation for Acute Pediatric Respiratory Failure. <i>Pediatric Critical Care: Current Controversies</i> , 17–41. https://doi.org/10.1007/978-3-319-96499-7_2 . PMID: PMC7119989 |

Question 3:

Prolonged use (>1-2 weeks) of inhaled nitric oxide has not been shown to improve outcomes. Use of inhaled nitric oxide beyond 2 weeks of treatment is therefore not recommended.

- Respond YES or NO; AND
- Please provide any supporting comments below.

| # | YES / NO | Rationale |
|---|----------|---|
| 1 | Yes | Tachyphylaxis to inhaled NO is well documented after 4-5 days. Therefore, prolonged use such as the situation stated without correcting the underlying reason for the respiratory failure likely will not produce additional effect. |
| 2 | No | Although I agree that prolonged use of inhaled nitric oxide has not been shown to improve outcomes, one cannot predict before starting the medication which patients will respond to nitric oxide and improve and wean off, and which patients will not. Frequently, it is challenging to wean off nitric oxide once started, so an arbitrary cutoff would be difficult to adhere to. |
| 3 | No | I know of no data to support this statement. |
| 4 | No | I disagree with this statement both from personal clinical experience with infants (unpublished) in the NICU and from review of the literature in both pediatric and adult medicine. |

Ivy et. Al. 1998, reported experience with 8 children with pulmonary hypertension who received short-term pulsed nasal delivery of iNO and found that iNO was effective in lowering pulmonary artery pressure and pulmonary vascular resistance.

Inhaled Nitric oxide was found to have utility as a bridge to heart-lung transplantation in patients with end-stage pulmonary hypertension. (Snell, et. Al. 1995)

Perez-Penate, et. Al. 2008, found that long-term treatment with ambulatory iNO, after 1 month of treatment improved walking distance, mean pulmonary artery pressure, pulmonary vascular resistance and cardiac index; these findings were sustained at 1 year. There were no reports of changes in methHb levels, adverse reactions, nitric oxide toxicity or rebound pulmonary hypertension from sudden withdrawal. Importantly, 8 of the 11 patients in the Perez-Penata cohort, who led a nonsedentary life were able to leave their home daily, with 4 returning to work while on long-term iNO therapy. In a second case report, Perez-Penata, et.al, 2001, followed a 32 year old male with primary hypertension and found that after prolonged NO mono-therapy, improved dyspnea, gas exchange and PaO2 levels with no signs of toxicity or tachyphylaxis.

1. Barst, R. J., Channick, R., Ivy, D., & Goldstein, B. (2012). Clinical perspectives with long-term pulsed inhaled nitric oxide for the treatment of pulmonary arterial hypertension. *Pulm Circ*, 2(2), 139-147. doi:10.4103/2045-8932.97589. PMID: 22837854
2. Ivy, D. D., Griebel, J. L., Kinsella, J. P., & Abman, S. H. (1998). Acute hemodynamic effects of pulsed delivery of low flow nasal nitric oxide in children with pulmonary hypertension. *J Pediatr*, 133(3), 453-456. doi:10.1016/s0022-3476(98)70287-2. PMID: 9738734
3. Perez-Penate, G., Julia-Serda, G., Pulido-Duque, J. M., Gorris-Gomez, E., & Cabrera-Navarro, P. (2001). One-year continuous inhaled nitric oxide for primary pulmonary hypertension. *Chest*, 119(3), 970-973. doi:10.1378/chest.119.3.970. PMID: 11243987
4. Perez-Penate, G. M., Julia-Serda, G., Ojeda-Betancort, N., Garcia-Quintana, A., Pulido-Duque, J., Rodriguez-Perez, A.,... Gomez-Sanchez, M. A. (2008). Long-term inhaled nitric oxide plus phosphodiesterase 5 inhibitors for severe

| # | YES / NO | Rationale |
|----|----------|--|
| | | pulmonary hypertension. J Heart Lung Transplant, 27(12), 1326-1332. doi:10.1016/j.healun.2008.08.007. PMID: 19059113 |
| 5. | | Snell, G. I., Salamonsen, R. F., Bergin, P., Esmore, D. S., Khan, S., & Williams, T. J. (1995). Inhaled nitric oxide used as a bridge to heart-lung transplantation in a patient with end-stage pulmonary hypertension. Am J Respir Crit Care Med, 151(4), 1263-1266. doi:10.1164/ajrccm/151.4.1263. PMID: 7697264 |

Question 4:

Would you agree that the following statement is clinically appropriate?

If extracorporeal membrane oxygenation is initiated in near-term neonates, inhaled nitric oxide should be discontinued because there is no benefit to combined treatment.

- Respond YES or NO; AND
- Please provide any supporting comments below.

| # | YES / NO | Comments |
|---|----------|---|
| 1 | NR | Not qualified to answer |
| 2 | Yes | NR |
| 3 | Yes | Once on ECMO, tidal volumes drop significantly so that the ability to inhale the NO drops dramatically, thus negating its effect. |
| 4 | Yes | One study (Muller, et. al. 1996) found utility in continuing iNO during ECMO in 6 out of 10 patients; 4 were non-responders. No data on whether NO shortened ECMO duration or improved survival were reported in this study, additionally, this study was too small to be conclusive. |

Theoretically, once on ECMO, the lungs are allowed to rest and it is the ECMO circuit that performs the function of ventilation and oxygenation, therefore use of iNO during ECMO seems counterintuitive. It is possible however, that the use of iNO during ECMO might be useful while trialing patients off of ECMO (Tadphale, et. al. 2016).

Tadphale, 2016 found that the duration of ECMO, duration of mechanical ventilation, and duration of hospital stay were longer among the patients in the iNO during ECMO group. In a stratified analysis, the authors found worse mortality among patients receiving NO during ECMO.

Based on lack of evidence to support continuing iNO during an ECMO run, concerns for increased mortality, I would agree that if extracorporeal membrane oxygenation is initiated in near-term neonates, inhaled nitric oxide should be discontinued because there is no benefit to combined treatment.

1. Muller, W., Kachel, W., Lasch, P., Varnholt, V., & Konig, S. (1996). Inhaled nitric oxide during extracorporeal membrane oxygenation for the treatment of severe persistent pulmonary hypertension of the newborn. Artif Organs, 20(1), 60-63. doi:10.1111/j.1525-1594.1996.tb04420.x. PMID: 8645132
2. Tadphale, S. D., Rettiganti, M., Gossett, J. M., Beam, B. W., Padiyath, A., Schmitz, M. L., & Gupta, P. (2016). Is Administration of Nitric Oxide During Extracorporeal Membrane Oxygenation Associated With Improved Patient Survival? Pediatr Crit Care Med, 17(11), 1080-1087. doi:10.1097/PCC.0000000000000939. PMID: 27632059

NR: not reported.

Question 5:

The benefit of inhaled nitric oxide appears limited in term or near-term infants whose hypoxic respiratory failure is due to diaphragmatic hernia.

- Respond YES or NO; AND
- Please provide any supporting comments below.

| # | YES / NO | Comments |
|---|----------|---|
| 1 | NR | Not qualified to answer |
| 2 | No | This is incorrect. Frequently these infants with diaphragmatic hernias have associated pulmonary hypertension for which iNO is beneficial. |
| 3 | NR | I have no clinical response with iNO in this patient population. |
| 4 | Yes | While previous studies have suggested no benefit to using iNO in patients with CDH, these studies did not assess severity of pulmonary hypertension or left ventricular function. In a recent study by Lawrence, et. al, 2020, iNO treatment was associated with improved oxygenation and reduced need for ECMO in a subpopulation of neonates with CDH and hypoxic respiratory failure who also had pulmonary hypertension and normal left ventricular systolic function. In contrast, iNO therapy did not improve oxygenation in patients with LV dysfunction, CDH and hypoxic respiratory failure. Patients who responded to treatment were less likely to be treated with ECMO or die from hypoxemic respiratory failure (Lawrence, et.al, 2020). Importantly, Lawrence, et.al, showed that it is the LV systolic dysfunction, rather than LV size that was associate with lack of response to treatment and subsequent ECMO treatment, potentially suggesting that pulmonary vasodilation and increased pulmonary venous return could precipitate cardiorespiratory failure in this subset of patients (Lawrence, et al 2020). |

Both the Canadian CDH collaborative and the American Heart Association guidelines state iNO therapy can be used to treat pulmonary hypertension in neonates with CDH and normal LV function, but should be discontinued if no clinical improvement is seen after 24 hours of treatment.

1. Abman, S. H., Hansmann, G., Archer, S. L., Ivy, D. D., Adatia, I., Chung, W. K.,... the American Thoracic, S. (2015). Pediatric Pulmonary Hypertension: Guidelines From the American Heart Association and American Thoracic Society. *Circulation*, 132(21), 2037-2099. doi:10.1161/CIR.0000000000000329. PMID: 26534956
2. Canadian Congenital Diaphragmatic Hernia, C., Puligandla, P. S., Skarsgard, E. D., Offringa, M., Adatia, I., Baird, R.,... Traynor, M. (2018). Diagnosis and management of congenital diaphragmatic hernia: a clinical practice guideline. *CMAJ*, 190(4), E103-E112. doi:10.1503/cmaj.170206. PMID: 29378870
3. Lawrence, K. M., Monos, S., Adams, S., Herkert, L., Peranteau, W. H., Munson, D. A.,... Hedrick, H. L. (2020). Inhaled Nitric Oxide Is Associated with Improved Oxygenation in a Subpopulation of Infants with Congenital Diaphragmatic Hernia and Pulmonary Hypertension. *J Pediatr*, 219, 167-172. doi:10.1016/j.jpeds.2019.09.052. PMID: 31706636

NR: not reported.

Question 6:

Additional narrative rationale or comments regarding the clinical context or specific clinical pathways for this topic and/or any relevant scientific citations (including the PMID) with evidence that demonstrates health outcomes you would like to highlight.

| # | Additional Comments |
|---|---|
| 1 | NR |
| 2 | NR |
| 3 | NR |
| 4 | With respect to use of iNO in premature infants (<34 weeks gestational age) with clinically significant pulmonary hypertension, there is evolving literature that suggests that these infants may benefit from use of iNO. Currently, in the clinical setting, premature infants with noted shunting as document by pre/post ductal sats and/or with pulmonary hypertension documented by echo have shown improvement and clinical stability upon initiating iNO. Therefore, its use should not be discounted in this population of patients. Cheng, D. R., Peart, S., Tan, K., & Sehgal, A. (2016). Nitric therapy in preterm infants: rationalised approach based on functional neonatal echocardiography. <i>Acta Paediatr</i> , 105(2), 165-171. doi:10.1111/apa.13238. PMID: 26450016 |

NR: not reported.

Question 7:

Is there any evidence missing from the attached draft review of evidence that demonstrates clinically meaningful improvement in net health outcome?

| # | YES / NO | Citations of Missing Evidence |
|---|----------|--|
| 1 | Yes | <p>Yerebakan C, Ugurlucan M, Bayraktar S, Bethea BT, Conte JV. Effects of inhaled nitric oxide following lung transplantation. <i>J Card Surg.</i> 2009 May-Jun;24(3):269-74. doi: 10.1111/j.1540-8191.2009.00833.x. PMID: 19438780.</p> <p>Adatia I, Lillehei C, Arnold JH, Thompson JE, Palazzo R, Fackler JC, Wessel DL. Inhaled nitric oxide in the treatment of postoperative graft dysfunction after lung transplantation. <i>Ann Thorac Surg.</i> 1994 May;57(5):1311-8. doi: 10.1016/0003-4975(94)91382-x. PMID: 8179406.</p> <p>Cornfield DN, Milla CE, Haddad IY, Barbato JE, Park SJ. Safety of inhaled nitric oxide after lung transplantation. <i>J Heart Lung Transplant.</i> 2003 Aug;22(8):903-7. doi: 10.1016/s1053-2498(02)00809-4. PMID: 12909471.</p> <p>Date H, Triantafillou AN, Trulock EP, Pohl MS, Cooper JD, Patterson GA. Inhaled nitric oxide reduces human lung allograft dysfunction. <i>J Thorac Cardiovasc Surg.</i> 1996 May;111(5):913-9. doi: 10.1016/s0022-5223(96)70364-1. PMID: 8622313.</p> |
| 2 | No | NR |
| 3 | No | NR |
| 4 | No | NR |

NR: not reported.

References

1. U.S. Food and Drug Administration (FDA). Medical Device Recalls: Vero Biotech Recalls GENOSYL DS; Nitric Oxide Delivery System Due to Software Error. 2021; <https://www.fda.gov/medical-devices/medical-device-recalls/vero-biotech-recalls-genosyl-ds-nitric-oxide-delivery-system-due-software-error>. Accessed March 16, 2023.
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Documentation for Clinical Review

Please provide the following documentation:

- History and physical and/or consultation notes including:
 - Reason for needing iNO including diagnosis and circumstances
 - Previous treatment(s) and response(s)
 - MD progress notes and orders (specific to inhaled nitric oxide therapy) with documentation of ongoing need for each day of use
 - Inhalation/respiratory therapy notes (specific to inhaled nitric oxide therapy) including:
 - Arterial blood gases (ABGs)
 - Nitric oxide administration
 - Oxygenation indices
 - Pulse oximetry records

Post Service (in addition to the above, please include the following):

- Discharge summary (if available)

Coding

This Policy relates only to the services or supplies described herein. Benefits may vary according to product design; therefore, contract language should be reviewed before applying the terms of the Policy.

The following codes are included below for informational purposes. Inclusion or exclusion of a code(s) does not constitute or imply member coverage or provider reimbursement policy. Policy Statements are intended to provide member coverage information and may include the use of some codes for clarity. The Policy Guidelines section may also provide additional information for how to interpret the Policy Statements and to provide coding guidance in some cases.

| Type | Code | Description |
|-------|------|-------------|
| CPT® | None | |
| HCPCS | None | |

Policy History

This section provides a chronological history of the activities, updates and changes that have occurred with this Medical Policy.

| Effective Date | Action |
|----------------|--|
| 03/30/2012 | New Policy adoption |
| 01/11/2013 | Policy revision without position change |
| 04/16/2014 | Policy revision without position change |
| 06/30/2015 | Coding update |
| 07/01/2016 | Policy revision without position change |
| 07/01/2017 | Policy revision without position change |
| 07/01/2018 | Policy revision without position change |
| 08/01/2019 | Policy revision without position change |
| 07/01/2020 | Annual review. No change to policy statement. Literature review updated. |

| Effective Date | Action |
|----------------|--|
| 07/01/2021 | Annual review. No change to policy statement. Policy guidelines and literature review updated. |
| 07/01/2022 | Annual review. Policy statement, guidelines and literature review updated. |
| 07/01/2023 | Annual review. No change to policy statement. Literature review updated. |

Definitions of Decision Determinations

Medically Necessary: Services that are Medically Necessary include only those which have been established as safe and effective, are furnished under generally accepted professional standards to treat illness, injury or medical condition, and which, as determined by Blue Shield, are: (a) consistent with Blue Shield medical policy; (b) consistent with the symptoms or diagnosis; (c) not furnished primarily for the convenience of the patient, the attending Physician or other provider; (d) furnished at the most appropriate level which can be provided safely and effectively to the patient; and (e) not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of the Member's illness, injury, or disease.

Investigational/Experimental: A treatment, procedure, or drug is investigational when it has not been recognized as safe and effective for use in treating the particular condition in accordance with generally accepted professional medical standards. This includes services where approval by the federal or state governmental is required prior to use, but has not yet been granted.

Split Evaluation: Blue Shield of California/Blue Shield of California Life & Health Insurance Company (Blue Shield) policy review can result in a split evaluation, where a treatment, procedure, or drug will be considered to be investigational for certain indications or conditions, but will be deemed safe and effective for other indications or conditions, and therefore potentially medically necessary in those instances.

Prior Authorization Requirements and Feedback (as applicable to your plan)

Within five days before the actual date of service, the provider must confirm with Blue Shield that the member's health plan coverage is still in effect. Blue Shield reserves the right to revoke an authorization prior to services being rendered based on cancellation of the member's eligibility. Final determination of benefits will be made after review of the claim for limitations or exclusions.

Questions regarding the applicability of this policy should be directed to the Prior Authorization Department at (800) 541-6652, or the Transplant Case Management Department at (800) 637-2066 ext. 3507708 or visit the provider portal at www.blueshieldca.com/provider.

We are interested in receiving feedback relative to developing, adopting, and reviewing criteria for medical policy. Any licensed practitioner who is contracted with Blue Shield of California or Blue Shield of California Promise Health Plan is welcome to provide comments, suggestions, or concerns. Our internal policy committees will receive and take your comments into consideration.

For utilization and medical policy feedback, please send comments to: MedPolicy@blueshieldca.com

Disclaimer: This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. Blue Shield of California may consider published peer-reviewed scientific literature, national guidelines, and local standards of practice in developing its medical policy. Federal and state law, as well as contract language, including definitions and specific contract provisions/exclusions, take precedence over medical policy and must be considered first in determining covered services. Member contracts may differ in their benefits. Blue Shield reserves the right to review and update policies as appropriate.

Appendix A

| POLICY STATEMENT (No changes) | |
|---|--|
| BEFORE | AFTER |
| <p>Inhaled Nitric Oxide 8.01.37</p> <p>Policy Statement:</p> <ol style="list-style-type: none"> I. Inhaled nitric oxide (INO) may be considered medically necessary as a component of treatment of: <ol style="list-style-type: none"> A. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation. II. Other indications for inhaled nitric oxide are considered investigational, including, but not limited to: <ol style="list-style-type: none"> A. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure B. Treatment of adults and children with acute hypoxemic respiratory failure C. Postoperative use in adults and children with congenital heart disease D. In lung transplantation, during and/or after graft reperfusion | <p>Inhaled Nitric Oxide 8.01.37</p> <p>Policy Statement:</p> <ol style="list-style-type: none"> I. Inhaled nitric oxide (INO) may be considered medically necessary as a component of treatment of: <ol style="list-style-type: none"> A. Hypoxic respiratory failure in neonates born at more than 34 weeks of gestation II. Other indications for inhaled nitric oxide are considered investigational, including, but not limited to: <ol style="list-style-type: none"> A. Treatment of premature neonates born at less than or equal to 34 weeks of gestation with hypoxic respiratory failure B. Treatment of adults and children with acute hypoxemic respiratory failure C. Postoperative use in adults and children with congenital heart disease D. In lung transplantation, during and/or after graft reperfusion |